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Email: HelpAAU@uspto.gov (link sends e-mail)

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09:26 2019-08-15

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Before you apply for a trademark, you should familiarize yourself with the basics. Determine if you need a trademark or another form of Intellectual Property protection.

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[Mandatory electronic filing](#)

A change in federal trademark regulations will make electronic filing mandatory for trademark applicants and registrants.

[Read more about how mandatory electronic filing will affect you.](#)

[Requirement for U.S.-licensed attorney](#)

A change in federal trademark regulations requires foreign-domiciled trademark applicants, registrants, and parties to Trademark Trial and Appeal Board proceedings to hire a U.S.-licensed attorney to represent them at the USPTO.

[Read more about how this requirement to hire a U.S.-licensed attorney affects you.](#)

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trademark applicants and registrants

Search trademark database

Search for trademark applications and registrations with Trademark Electronic Search System (TESS)

Apply online

Apply for a trademark, respond to a letter from the USPTO, maintain your registration, and more through the Trademark Electronic Application System (TEAS)

Check Status & View Documents

Check your application status and filing due dates and view records in Trademark Status and Document Retrieval (TSDR)

Trademark fee information

Fees, payment methods, mailing addresses, and answers to fee questions

Trademark Trial and Appeal Board

Decides proceedings for trademark oppositions, cancellations, concurrent use and ex parte appeals

Trademark assignments: change & search ownership

Transferring registration ownership, changing owner name

Guides, Manuals and Resources

TMEP, TBMP, ID Manual, Design Code Manual, practice tips, and more

Laws & Regulations

U.S. Trademark law, proposed rules and comments, recent final rules, information about regulated products and activities

Trademark Official Gazette

Weekly publication of registered, renewed, and canceled registrations.

System Status

System status and planned outages

Trademark Documents for Prosecutors (Official Government Use Only)

Certified documents for court proceedings

Madrid Protocol

Protection for marks in multiple countries through one international application

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See all Trademarks news & updates

Subscribing to Trademark Alert Emails

A reminder that one of the best ways to keep current with developments in the Trademark Operation is...

Trademark updates & announcements

The USPTO has begun sending courtesy e-mail reminders of upcoming post-registration maintenance filing deadlines for §§8 and 71 declarations and §9 renewals to registration owners.

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General Information

USPTO Contact Center (UCC)

Toll-Free

800-786-9199

Local

571-272-1000

TTY

800-877-8339

For general information, mailing addresses, Internet addresses, USPTO.gov accounts, how to use the online fee payment management system and contact information for other USPTO services. General Support is available Monday through Friday from 8:30 a.m. to 8 p.m. ET (except federal holidays).

USPTO-Contact-Center-(UCC)=571-272-1000

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Patent and Trademark Copy Fulfillment Branch

Toll-Free

800-972-6382

Local

571-272-3150

Fax

571-273-3250

Copies of official U.S. Patent and U.S. Trademark documents are available for sale from the Patent and Trademark Copy Fulfillment Branch.

USPTO-Patent-and-Trademark-Copy=571-272-3150

19:03 2021-08-23

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[Registration Maintenance/Renewal/Correction Forms](#)

Use the forms here to file required registration maintenance documents.

[Responding to office actions](#)

An office action is an official letter from the USPTO. In it, an examining attorney lists any legal problems with your chosen trademark, as well as with the application...

[Post-Registration FAQs](#)

[International Applications/Madrid Protocol FAQs](#)

[Keeping your registration alive](#)

Once you own a trademark registration, you must do a few important things to maintain that registration and keep it alive. First, you must use your trademark in commerce. Additionally, you must file certain documents at regular intervals to show that you're continuing to use your trademark. If you don't file these documents before the deadline, your registration will be canceled or will expire, or your extension of protection to the U.S. will be invalidated (if you filed via WIPO under the Madrid system). Exceptions to the requirement to use your trademark are rare.

For more information, see the following:

[Continue using your trademark to maintain your registration](#)

[Deadlines for filing registration maintenance documents](#)

[Deadlines for registrations based on the Madrid Protocol](#)

[Common mistakes to avoid](#)

[What happens next and timelines](#)

[Look out for misleading notices from private companies](#)

[Questions](#)

[Video on keeping your registration alive](#)

[Continue using your trademark to maintain your registration](#)

Generally, trademark owners are only entitled to a federal registration if they're using their trademark in commerce. That's why most applicants submit specimens when

they apply – to show that they're using their trademark. However, demonstrating trademark use once isn't enough. You must regularly demonstrate use throughout the life of your trademark if you want to maintain your registration and keep its benefits.

Process overview for required maintenance documents

Typically, when you file a required maintenance document, you'll submit a signed declaration saying that you're continuing to use your trademark with the goods and services in your registration. You'll also submit one specimen for each class of goods or services.

We'll examine your maintenance document to determine if you meet the requirements to maintain your registration. If your registration gets audited, you'll need to provide more proof of use. If you don't provide acceptable proof of use, you'll need to provide proof of use for every good and service listed in your registration in the audited classes, delete any goods and services for which you can't prove use, and pay a fee for each class with deletions.

Delete goods or services promptly if no longer in use

If you stop using your trademark with one or more of the goods or services in your registration between required maintenance filings, you should promptly delete them from your registration. File a section 7 request to delete these goods or services. You won't be charged a fee for deleting goods or services in this way between maintenance filings.

Before you file any required maintenance document, carefully reevaluate your trademark use. If you aren't using your trademark with one or more of the goods or services in your registration, you must identify those goods or services in your declaration to delete them from your registration. You won't be charged a fee for deleting goods or services in this way.

It's vital that you file accurate maintenance documents to avoid jeopardizing your registration. Only include goods or services for which you can provide evidence of your trademark use, or request an exception.

Fees and consequences

If you submit one of the required maintenance documents listed below but then delete goods or services before your maintenance document is accepted, you'll be required to pay a fee for each class with deletions. If you're required to pay this fee but you don't pay it when you delete the goods or services, we'll send you an office action. If you don't pay the fee by the deadline for responding to the office action, your entire registration will be canceled.

We charge this fee to ensure that you keep your registration up to date by telling us about changes in your trademark use. If you don't, you're violating the legal requirements of owning a federal trademark registration. Members of the public rely on our official records of federally registered trademarks (called the "trademark register"). Outdated information about use in the trademark register diminishes its utility to provide notice of trademark rights to businesses and the public.

Exceptions are rare

In a few limited situations, you may request to be temporarily excused from the requirement to use your trademark. You must show that you've stopped using your trademark because of special circumstances beyond your control and that you don't intend to abandon the trademark. See TMEP §1604.11 for more information about excusable nonuse.

Excusable nonuse during COVID-19

If COVID-19 has directly impacted you or your business and temporarily prevented you from using your trademark, you may request to be temporarily excused from using your trademark. See the Registration Maintenance/Renewal/Correction Forms page for more information.

Deadlines for filing registration maintenance documents

Note: These are for registrations not based on the Madrid Protocol. See below for information about Madrid-based registrations.

Required filings

You must file these documents within these deadlines to keep your trademark registration alive:

Between the fifth and sixth years after the registration date

File a Declaration of Use and/or Excusable Nonuse under section 8

Between the ninth and 10th years after the registration date

File the first Declaration of Use and/or Excusable Nonuse and an Application for Renewal under sections 8 and 9

Every 10 years after that (between the 19th and 20th years, 29th and 30th years, etc.)

File subsequent Declarations of Use and/or Excusable Nonuse and an Application for Renewal under sections 8 and 9

We send courtesy email reminders when you have an upcoming deadline for a maintenance filing. However, even if you don't receive a reminder, you must still file these documents on time.

Deadlines that fall on a Saturday, Sunday, or federal holiday

If your maintenance filing is due on a Saturday, Sunday, or federal holiday, we consider it timely if we receive it on the following business day.

File early

File in the beginning of the year in which your required maintenance filing is due. Doing so should give you enough time to resolve any correctable errors without paying an additional fee if the USPTO rejects your filing.

Grace periods

There is a six-month grace period after each of the above deadlines. You can file during the grace period, but you'll need to pay an additional fee. If you don't file before the end of the grace period, your registration will be canceled or deemed expired.

Optional filings

Declaration of Incontestability

If your mark is registered on the Principal Register and meets certain legal requirements, including at least five years continuous use in commerce, you may file an optional Declaration of Incontestability under section 15. If your mark qualifies for incontestability between the fifth and sixth years after registration, you can combine the filing with your §8 filing using the Combined Declarations of Use and Incontestability under section 8 and section 15 form. For more information, see TMEP section 1605.

Section 7 Amendment or Correction of Registration

To make a non-material correction or amendment to your registration, file a Section 7 Request for Amendment or Correction of Registration Certificate. For example, if you stop using your trademark with certain goods or services and you don't have a required maintenance document due, you must use this form to request that we delete those goods or services from your registration. You are legally required to keep your registration accurate so that it only lists goods and services on which you are currently using your trademark in commerce. If you fail to do this prior to filing a required maintenance document, you will incur additional fees. For information on the legal requirements, see TMEP section 1609.

Deadlines for registrations based on the Madrid Protocol

If you don't know whether your registration is based on the Madrid Protocol, check the application serial number on your U.S. registration certificate. Trademark registrations based on the Madrid Protocol have application serial numbers that begin with "79."

Required filings

You must file these documents within these deadlines to keep your Madrid-based U.S. trademark registration alive:

Between the fifth and sixth years after the U.S. registration date

File a Declaration of Use and/or Excusable Nonuse under section 71

Between the ninth and 10th years after the U.S. registration date

File the next Declaration of Use and/or Excusable Nonuse under section 71

Every 10 years after that (between the 19th and 20th years, 29th and 30th years, etc.)

File subsequent Declarations of Use and/or Excusable Nonuse under section 71

Every 10 years after the international registration date

Renew your international registration directly with the International Bureau of the World Intellectual Property Office (IB). You can't do this through the USPTO.

We send courtesy email reminders when you have an upcoming deadline for a maintenance filing. However, even if you don't receive a reminder, you must still file these documents on time.

Deadlines that fall on a Saturday, Sunday, or federal holiday

If your USPTO maintenance filing is due on a Saturday, Sunday, or federal holiday, we consider it timely if we receive it on the following business day.

File early

File in the beginning of the year in which your required maintenance filing is due.

Doing so should give you enough time to resolve any correctable errors without paying an additional fee if the USPTO rejects your filing.

Grace periods

There is a six-month grace period after each of the above USPTO deadlines. You can file during the grace period, but you'll have to pay an additional fee. If you don't file before the end of the grace period, your registration will be canceled.

Optional filings

Declaration of Incontestability

If your mark is registered on the Principal Register and meets certain legal requirements, including at least five years continuous use in commerce, you may file an optional Declaration of Incontestability under section 15. If your mark qualifies for incontestability between the fifth and sixth years after registration, you can combine the filing with your §8 filing using the Combined Declarations of Use and Incontestability under section 8 and section 15 form. For more information, see TMEP section 1605.

Section 7 Amendment or Correction of Registration

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Common mistakes to avoid

Ensure all information in your post registration filings is accurate and current.

Ownership

If the ownership information in your maintenance filing doesn't match the information in our electronic records, your filing could be refused. If ownership information has changed - whether the business was sold, changed its name, or for some other reason-you can file one of the following forms to record the change:

For non-Madrid registrations, file an assignment or a "change of name" document through the USPTO's Assignment Recordation Branch to address this issue or submit evidence of the change with your maintenance filing.

For Madrid-based registrations, file an MM5 Request for the Recording of a Change in Ownership or an MM9 Request for the Recording of a Change in the Name or Address of the Holder with the International Bureau (IB). Changes in ownership, holder's name, and/or address for a Madrid-based registration cannot be recorded directly with the USPTO.

Goods and services

Don't file to maintain your registration for goods or services with which your trademark is no longer in use in commerce. You must delete these goods and services from your registration when you file your section 8 or section 71 filing.

Specimens

If the specimen you provide doesn't show proper use in commerce of your trademark, your section 8 or section 71 filing may be refused and your registration canceled. See the Specimen webpage for more information.

What happens next and timelines

After you file, your maintenance documents will be reviewed by a post registration trademark examiner. In about one to two months, the USPTO will issue one of the following:

A notice. If your section 8 or section 71 declaration and/or section 9 renewal is acceptable, or your section 15 declaration can be acknowledged, the USPTO will send a Notice of Acceptance, Notice of Renewal, and/or Notice of Acknowledgement.

An updated registration certificate. If your section 7 request to amend or correct the registration is acceptable, the USPTO will send an updated registration certificate that reflects the changes requested in your filing.

An office action. If your section 8, section 71 or section 15 declaration and/or section 9 renewal or section 7 request isn't acceptable, the USPTO will send an office action stating the reasons for refusal and any remedies available. You also will receive an office action if your section 8 or section 71 submission is selected for review under the Post-Registration Audit Program. Respond using the Post Registration Response to Office Action form. If you don't respond to an office action issued for a section 8 or section 71 declaration or a section 9 renewal application, your registration will be canceled and/or expire. If you don't respond to an office action issued for a section 7 request or for a separately filed section 15 declaration, the filing itself will be abandoned.

Check the status of your registration after filing your documents to confirm that we've received them, and then check again a couple of months later. Use the Trademark Status and Document Retrieval (TSDR) to view all documents in the registration record. Enter your U.S. registration number and click on "Status." If we've issued a notice or office action, you can view it in TSDR to see if further action on your part is required.

Timelines

View the post-registration timeline or the Madrid Protocol post-registration timeline for an overview of the process.

Look out for misleading notices from private companies

We are aware that applicants and registrants may receive unsolicited third-party mailings from private companies not associated with the USPTO around the time your maintenance filings are due. While some of these may be from legitimate companies, many are fraudulent and should be avoided. All official USPTO email correspondence is only from the domain "@uspto.gov." If you do receive a suspicious communication, you can check our list of known scammers here where you will also find guidance on what to do.

Questions

Contact the Trademark Assistance Center for general questions about maintaining a U.S. trademark registration. For specific questions about a post-registration office action, contact the assigned examiner identified in the office action.

TMIN News 12: Post-registration video

TMIN News 12: Post-registration issues

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[Effective January 2, 2021 \(Last revised June 25, 2021\)](#)

The fee schedule provides information and fee rates for USPTO's products and services. All payments must be paid in U.S. dollars for the full amount of the fee required. View the [Accepted payment methods page](#) or call the USPTO Contact Center at 571-272-1000 or 800-786-9199 for assistance.

SCAM ALERT - Warning about non-USPTO solicitations requesting payments that are sent by numerous companies unaffiliated with the USPTO.

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Patent fees

The fees subject to reduction upon establishment of small entity status (37 CFR 1.27) or micro entity status (37 CFR 1.29) are shown in separate columns. Except for provisional applications, each application for a patent requires the appropriate search fee and examination fee in addition to the appropriate fees in the "Patent application filing fees" section below. This means each fee listed as a "Basic filing fee" in the "Patent application filing fees" section should be accompanied by the appropriate search fee listed in the "Patent search fees" section as well as the appropriate examination fee listed in the "Patent examination fees" section. The \$400/\$200 non-electronic filing fee (fee codes 1090/2090/3090 or 1690/2690/3690) must be paid in addition to the filing, search and examination fees, in each original nonprovisional utility application filed in paper with the USPTO. The only way to avoid payment of the non-electronic filing fee is by filing your nonprovisional utility application via EFS-Web. The non-electronic filing fee does not apply to reissue, design, plant, or provisional applications.

Patent application filing fees

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1011/2011/3011	1.16(a)	Basic filing fee - Utility (paper filing also requires non-electronic filing fee under 1.16(t))	320.00	160.00	80.00
4011†	1.16(a)	Basic filing fee - Utility (electronic filing for small entities)	80.00	n/a	n/a
1012/2012/3012	1.16(b)	Basic filing fee - Design	220.00	110.00	55.00
1017/2017/3017	1.16(b)	Basic filing fee - Design CPA	220.00	110.00	55.00
1013/2013/3013	1.16(c)	Basic filing fee - Plant	220.00	110.00	55.00
1005/2005/3005	1.16(d)	Provisional application filing fee	300.00	150.00	75.00
1014/2014/3014	1.16(e)	Basic filing fee - Reissue	320.00	160.00	80.00
1019/2019/3019	1.16(e)	Basic filing fee - Reissue (Design CPA)	320.00	160.00	80.00
1051/2051/3051	1.16(f)	Surcharge - Late filing fee, search fee, examination fee, inventor's oath or declaration, or application filed without at least one claim or by reference	160.00	80.00	40.00
1052/2052/3052	1.16(g)	Surcharge - Late provisional filing fee or cover sheet	30.00	15.00	60.00
1201/2201/3201	1.16(h)	Each independent claim in excess of three	240.00	120.00	480.00
1204/2204/3204	1.16(h)	Each reissue independent claim in excess of three			

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480.00	240.00	120.00			
1202/2202/3202	1.16(i)	Each claim in excess of 20	100.00	50.00	25.00
1205/2205/3205	1.16(i)	Each reissue claim in excess of 20	100.00	50.00	25.00
1203/2203/3203	1.16(j)	Multiple dependent claim	860.00	430.00	215.00
1081/2081/3081	1.16(s)	Utility application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	210.00	105.00
1082/2082/3082	1.16(s)	Design application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	210.00	105.00
1083/2083/3083	1.16(s)	Plant application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	210.00	105.00
1084/2084/3084	1.16(s)	Reissue application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	210.00	105.00
1085/2085/3085	1.16(s)	Provisional application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	210.00	105.00
1090/2090/3090	1.16(t)	Non-electronic filing fee – Utility (additional fee for applications filed in paper)	400.00	200.00	200.00
1053/2053/3053	1.17(i)(1)	Non-English translation	140.00	70.00	35.00
1091/2091/3091	1.21(o)(1)	Submission of sequence listings of 300MB to 800MB	1,060.00	530.00	265.00
1092/2092/3092	1.21(o)(2)	Submission of sequence listings of more than 800MB	10,500.00	5,250.00	2,625.00

† The 4000 series fee code may be used via EFS-Web

Patent search fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1111/2111/3111	1.16(k)	Utility search fee	700.00	350.00	175.00
1112/2112/3112	1.16(l)	Design search fee or Design CPA search fee	160.00	80.00	40.00
1113/2113/3113	1.16(m)	Plant search fee	440.00	220.00	110.00
1114/2114/3114	1.16(n)	Reissue search fee or Reissue (Design CPA) search fee	700.00	350.00	175.00

Patent examination fees

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Fee code

37 CFR §

Description

Fee	Small entity fee	Micro entity fee			
1311/2311/3311	1.16(o)	Utility examination fee	800.00	400.00	200.00
1312/2312/3312	1.16(p)	Design examination fee or Design CPA examination fee	640.00	320.00	160.00
1313/2313/3313	1.16(q)	Plant examination fee	660.00	330.00	165.00
1314/2314/3314	1.16(r)	Reissue examination fee or Reissue (Design CPA) examination fee	2,320.00	1,160.00	580.00

Patent post-allowance fees

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entity fee

1501/2501/3501	1.18(a)(1)	Utility issue fee	1,200.00	600.00	300.00
1511/2511/3511	1.18(a)(1)	Reissue issue fee	1,200.00	600.00	300.00
1502/2502/3502	1.18(b)(1)	Design issue fee	740.00	370.00	185.00
1503/2503/3503	1.18(c)(1)	Plant issue fee	840.00	420.00	210.00
n/a	1.18(d)(1)	Publication fee for early, voluntary, or normal publication			0.00
0.00	0.00				0.00
1505/2505/3505	1.18(d)(3)	Publication fee for republication			320.00
320.00	320.00*				

* Third-party filers are not eligible for the micro entity fee.

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1251/2251/3251	1.17(a)(1)	Extension for response within first month			
220.00	110.00		55.00		
1252/2252/3252	1.17(a)(2)	Extension for response within second month			
640.00	320.00		160.00		
1253/2253/3253	1.17(a)(3)	Extension for response within third month			
1,480.00	740.00		370.00		
1254/2254/3254	1.17(a)(4)	Extension for response within fourth month			
2,320.00	1,160.00		580.00		
1255/2255/3255	1.17(a)(5)	Extension for response within fifth month			
3,160.00	1,580.00		790.00		

Patent maintenance fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1551/2551/3551	1.20(e)	For maintaining an original or any reissue patent, due at 3.5 years	2,000.00	1,000.00	500.00
1552/2552/3552	1.20(f)	For maintaining an original or any reissue patent, due at 7.5 years	3,760.00	1,880.00	940.00
1553/2553/3553	1.20(g)	For maintaining an original or any reissue patent, due at 11.5 years	7,700.00	3,850.00	1,925.00
1554/2554/3554	1.20(h)	Surcharge - 3.5 year - late payment within 6 months	500.00	250.00	125.00
1555/2555/3555	1.20(h)	Surcharge - 7.5 year - late payment within 6 months	500.00	250.00	125.00
1556/2556/3556	1.20(h)	Surcharge - 11.5 year - late payment within 6 months	500.00	250.00	125.00
1558/2558/3558	1.17(m)	Petition for the delayed payment of the fee for maintaining a patent in force	2,100.00	1,050.00	525.00

Miscellaneous patent fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1817/2817/3817	1.17(c)	Request for prioritized examination		4,200.00	
2,100.00	1,050.00				

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1819/2819/3819	1.17(d)	Correction of inventorship after first action on merits	640.00	320.00	160.00
1801/2801/3801	1.17(e)(1)	Request for continued examination (RCE) - 1st request (see 37 CFR 1.114)	1,360.00	680.00	340.00
1820/2820/3820	1.17(e)(2)	Request for continued examination (RCE) - 2nd and subsequent request (see 37 CFR 1.114)	2,000.00	1,000.00	500.00
1830/2830/3830	1.17(i)(1)	Processing fee, except in provisional applications	140.00	70.00	35.00
1808/2808/3808	1.17(i)(2)	Other publication processing fee	140.00	140.00	
1803/2803/3803	1.17(i)(2)	Request for voluntary publication or republication	140.00	140.00	140.00*
1802/2802/3802	1.17(k)	Request for expedited examination of a design application	1,600.00	800.00	400.00
1818/2818	1.17(o)	Document fee for third-party submissions (see 37 CFR 1.290(f))	180.00	90.00	n/a*
1806/2806/3806	1.17(p)	Submission of an Information Disclosure Statement	260.00	130.00	65.00
1807/2807/3807	1.17(q)	Processing fee for provisional applications	50.00	50.00	50.00
1809/2809/3809	1.17(r)	Filing a submission after final rejection (see 37 CFR 1.129(a))	880.00	440.00	220.00
1810/2810/3810	1.17(s)	For each additional invention to be examined (see 37 CFR 1.129(b))	880.00	440.00	220.00

* Third-party filers are not eligible for the micro entity fee.

Post issuance fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1811/2811/3811	1.20(a)	Certificate of correction	160.00	160.00	160.00
1816/2816/3816	1.20(b)	Processing fee for correcting inventorship in a patent	160.00	160.00	160.00
1831/2831/3831	1.20(c)(1)	Ex parte reexamination (§1.510(a)) streamlined	6,300.00	3,150.00	1,575.00*
1812/2812/3812	1.20(c)(2)	Ex parte reexamination (§1.510(a)) non-streamlined	12,600.00	6,300.00	3,150.00*
1821/2821/3821	1.20(c)(3)	Each reexamination independent claim in excess of three and also in excess of the number of such claims in the patent under reexamination	480.00	240.00	120.00
1822/2822/3822	1.20(c)(4)	Each reexamination claim in excess of 20 and also in excess of the number of claims in the patent under reexamination	25.00	100.00	50.00
1814/2814/3814	1.20(d)	Statutory disclaimer, including terminal disclaimer	170.00	170.00	170.00
1826/2826/3826	1.20(k)(1)	Request for supplemental examination	2,310.00	1,155.00	4,620.00
1827/2827/3827	1.20(k)(2)	Reexamination ordered as a result of supplemental examination	12,700.00	6,350.00	3,175.00
1828/2828/3828	1.20(k)(3)(i)	Supplemental examination document size fee - for nonpatent document having between 21 and 50 sheets	180.00	90.00	45.00

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1829/2829/3829 1.20(k)(3)(ii) Supplemental examination document size fee - for each additional 50 sheets or a fraction thereof in a nonpatent document 300.00
150.00 75.00

* Third-party filers are not eligible for the micro entity fee.

Patent trial and appeal fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1405/2405/3405	41.20(a)	Petitions to the Chief Administrative Patent Judge under 37 CFR 41.3	420.00	420.00	420.00
1401/2401/3401	41.20(b)(1)	Notice of appeal		840.00	420.00 210.00*
n/a	41.20(b)(2)(i)	Filing a brief in support of an appeal		0.00	0.00 0.00
1404/2404/3404	41.20(b)(2)(ii)	Filing a brief in support of an appeal in an inter partes reexamination proceeding	2,100.00	1,050.00	525.00*
1403/2403/3403	41.20(b)(3)	Request for oral hearing			1,360.00
680.00	340.00*				
1413/2413/3413	41.20(b)(4)	Forwarding an appeal in an application or ex parte reexamination proceeding to the Board	2,360.00	1,180.00	590.00*
1406/2406/3406	42.15(a)(1)	Inter partes review request fee - Up to 20 claims	19,000.00	19,000.00	19,000.00
1414/2414/3414	42.15(a)(2)	Inter partes review post-institution fee - Up to 20 claims	22,500.00	22,500.00	22,500.00
1407/2407/3407	42.15(a)(3)	Inter partes review request of each claim in excess of 20	375.00	375.00	375.00
1415/2415/3415	42.15(a)(4)	Inter partes post-institution request of each claim in excess of 20	750.00	750.00	750.00
1408/2408/3408	42.15(b)(1)	Post-grant or covered business method review request fee - Up to 20 claims	20,000.00	20,000.00	20,000.00
1416/2416/3416	42.15(b)(2)	Post-grant or covered business method review post-institution fee - Up to 20 claims	27,500.00	27,500.00	27,500.00
1409/2409/3409	42.15(b)(3)	Post-grant or covered business method review request of each claim in excess of 20	475.00	475.00	475.00
1417/2417/3417	42.15(b)(4)	Post-grant or covered business method review post-institution request of each claim in excess of 20	1,050.00	1,050.00	1,050.00
1412/2412/3412	42.15(c)(1)	Petition for a derivation proceeding			420.00
420.00	420.00				
1411/2411/3411	42.15(d)	Request to make a settlement agreement available and other requests filed in a patent trial proceeding		420.00	420.00 420.00
1418	42.15(e)	Pro hac vice admission fee		250.00	n/a n/a

* Third-party filers are not eligible for the micro entity fee.

Patent petition fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1462/2462/3462	1.17(f)	Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)	420.00	210.00	105.00
1463/2463/3463	1.17(g)	Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)	220.00	110.00	55.00*
1464/2464/3464	1.17(h)	Petitions requiring the petition fee set forth in 37 CFR			

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1.17(h) (Group III)	140.00	70.00	35.00		
1453/2453/3453 1.17(m) Petition for revival of an abandoned application for a patent, for the delayed payment of the fee for issuing each patent, or for the delayed response by the patent owner in any reexamination proceeding	2,100.00	1,050.00	525.00	2,100.00	
1454/2454/3454 1.17(m) Petition for the delayed submission of a priority or benefit claim	2,100.00	1,050.00	525.00		
1784/2784/3784 1.17(m) Petition to excuse applicant's failure to act within prescribed time limits in an international design application	2,100.00				
1783/2783/3783 1.17(t) Petition to convert an international design application to a design application under 35 U.S.C. chapter 16	180.00	90.00	45.00		
1455/2455/3455 1.18(e) Filing an application for patent term adjustment	210.00	210.00	210.00		
1456/2456/3456 1.18(f) Request for reinstatement of term reduced	420.00	420.00			420.00
1824/2824/3824 1.20(c)(6) Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d)	2,040.00				
	1,020.00	510.00*			
1457/2457/3457 1.20(j)(1) Extension of term of patent	1,180.00	1,180.00			1,180.00
1458/2458/3458 1.20(j)(2) Initial application for interim extension (see 37 CFR 1.790)	440.00	440.00	440.00		
1459/2459/3459 1.20(j)(3) Subsequent application for interim extension (see 37 CFR 1.790)	230.00	230.00	230.00		

* Third-party filers are not eligible for the micro entity fee.

PCT fees - national stage

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1631/2631/3631	1.492(a)	Basic national stage fee		320.00	160.00 80.00
n/a	1.492(b)(1)	National stage search fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	0.00	0.00	0.00
1641/2641/3641	1.492(b)(2)	National stage search fee - U.S. was the ISA			
140.00	70.00	35.00			
1642/2642/3642	1.492(b)(3)	National stage search fee - search report prepared and provided to USPTO	540.00	270.00	135.00
1632/2632/3632	1.492(b)(4)	National stage search fee - all other situations			
700.00	350.00	175.00			
n/a	1.492(c)(1)	National stage examination fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	0.00	0.00	0.00
1633/2633/3633	1.492(c)(2)	National stage examination fee - all other situations			
800.00	400.00	200.00			
1614/2614/3614	1.492(d)	Each independent claim in excess of three			
480.00	240.00	120.00			
1615/2615/3615	1.492(e)	Each claim in excess of 20		100.00	50.00 25.00
1616/2616/3616	1.492(f)	Multiple dependent claim		860.00	430.00
215.00					
1617/2617/3617	1.492(h)	Search fee, examination fee or oath or declaration after the date of commencement of the national stage	160.00	80.00	40.00

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1618/2618/3618 1.492(i) English translation after thirty months from priority date 140.00 70.00 35.00
 1681/2681/3681 1.492(j) National stage application size fee - for each additional 50 sheets that exceeds 100 sheets 420.00 210.00 105.00
 PCT fees - international stage

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1601/2601/3601	1.445(a)(1)(i)(A)	Transmittal fee	260.00	130.00	65.00
1690/2690/3690	1.445(a)(1)(ii)	Non-electronic filing fee (additional fee for applications filed in paper)	400.00	200.00	200.00
1602/2602/3602	1.445(a)(2)(i)	Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16)			2,180.00
			1,090.00	545.00	
1604/2604/3604	1.445(a)(3)(i)	Supplemental search fee when required, per additional invention	2,180.00	1,090.00	545.00
1621/2621/3621	1.445(a)(4)(i)	Transmitting application to Intl. Bureau to act as receiving office	260.00	130.00	65.00
1605/2605/3605	1.482(a)(1)(i)	Preliminary examination fee - U.S. was the ISA	640.00	320.00	160.00
1606/2606/3606	1.482(a)(1)(ii)	Preliminary examination fee - U.S. was not the ISA	800.00	400.00	200.00
1607/2607/3607	1.482(a)(2)	Supplemental examination fee per additional invention	640.00	320.00	160.00
1619/2619/3619		Late payment fee		variable	variable
			variable		
1627/2627/3627	1.445(a)(5) and 1.482(c)	Late furnishing fee for providing a sequence listing in response to an invitation under PCT rule 13ter	320.00	160.00	80.00
1628/2628/3628	1.17(m)	Petition for the extension of the twelve-month (six-month for designs) period for filing a subsequent application		2,100.00	1,050.00
			525.00		

PCT fees to foreign offices**

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1701		International filing fee (first 30 pages - filed electronically without ePCT or PCT-EASY .zip file)	1,344.00	1,344.00	1,344.00
1710		International filing fee (first 30 pages - filed electronically with ePCT or PCT-EASY .zip file)	1,235.00	1,235.00	1,235.00
1702		International filing fee (first 30 pages)		1,453.00	
			1,453.00		
1703		Supplemental fee (for each page over 30)		16.00	16.00
1704		International search (EPO)	2,091.00	2,091.00	
			2,091.00		
1715		International search (ILPO)	1,107.00	1,107.00	
			1,107.00		
1712		International search (IPAU)	1,697.00	1,697.00	
			1,697.00		
1717		International search (IPOS)	1,646.00	1,646.00	

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1,646.00				
1716	International search (JPO)	1,476.00	1,476.00	
1,476.00				
1709	International search (KIPO)	1,036.00	1,036.00	
1,036.00				
1714	International search (Rospatent)	543.00	543.00	543.00
1705	Handling fee	218.00	218.00	218.00
1706	Handling fee - 90% reduction, if applicant meets criteria specified at: http://www.wipo.int/export/sites/www/pct/en/fees/fee_reduction.pdf	21.80	21.80	21.80

** PCT fees to foreign offices subject to periodic change due to fluctuations in exchange rate.

Hague - international design application fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1781/2781/3781	1.1031(a)	Hague international design application - transmittal fee	120.00	60.00	30.00
1782	1.1031(c)	International design application fees payable to WIPO variable	variable	variable	variable

Patent service fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
8001	1.19(a)(1)	Printed copy of patent w/o color, delivery by USPS, USPTO Box, or electronic means	3.00	3.00	3.00
8005	1.19(a)(1)	Patent Application Publication (PAP)	3.00	3.00	3.00
8003	1.19(a)(2)	Printed copy of plant patent in color	15.00	15.00	15.00
8004	1.19(a)(3)	Color copy of patent (other than plant patent) containing a color drawing	25.00	25.00	25.00
8007	1.19(b)(1)(i)(A) and (ii)(A)	Copy of patent application as filed	35.00	35.00	35.00
8051	1.19(b)(1)(i)(B)	Copy patent file wrapper, paper medium, any number of sheets	290.00	290.00	290.00
8010	1.19(b)(1)(i)(D)	Individual application documents, other than application as filed, per document	25.00	25.00	25.00
8052	1.19(b)(1)(ii)(B)	Copy patent file wrapper, electronic medium, any size or provided electronically	60.00	60.00	60.00
8013	1.19(b)(3)	Copy of office records, except copies of applications as filed	25.00	25.00	25.00
8014	1.19(b)(4)	For assignment records, abstract of title and certification, per patent	35.00	35.00	35.00
8904	1.19(c)	Library service	50.00	50.00	50.00
8017	1.19(f)	Copy of non-U.S. document	25.00	25.00	25.00
8020	1.21(e)	International type search report	40.00	40.00	40.00
n/a	1.21(h)(1)	Recording each patent assignment, agreement or other paper, per property - if submitted electronically	0.00	0.00	0.00
8021	1.21(h)(2)	Recording each patent assignment, agreement or other paper, per property - if not submitted electronically	50.00	50.00	50.00
8022	1.21(i)	Publication in Official Gazette	25.00	25.00	25.00

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8026	1.21(n)	Handling fee for incomplete or improper application			140.00
140.00	140.00				
8053	1.21(p)	Additional fee for overnight delivery	40.00	40.00	40.00
8054	1.21(q)	Additional fee for expedited service	170.00	170.00	170.00

Patent enrollment fees
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 Fee code

37 CFR §	Description	Fee
9001	1.21(a)(1)(i) Application fee (non-refundable)	110.00
9010	1.21(a)(1)(ii)(A) For test administration by commercial entity	
210.00		
9011	1.21(a)(1)(ii)(B) For test administration by the USPTO	470.00
9029	1.21(a)(1)(iii) For USPTO-administered review of registration examination	
470.00		
9030	1.21(a)(1)(iv) Request for extension of time in which to schedule examination for registration to practice (non-refundable)	115.00
9003	1.21(a)(2)(i) On registration to practice under §11.6	210.00
9026	1.21(a)(2)(ii) On grant of limited recognition under §11.9(b)	210.00
9025	1.21(a)(2)(iii) On change of registration from agent to attorney	
110.00		
9005	1.21(a)(4)(i) Certificate of good standing as an attorney or agent, standard	40.00
9006	1.21(a)(4)(ii) Certificate of good standing as an attorney or agent, suitable for framing	50.00
9012	1.21(a)(5)(i) Review of decision by the Director of Enrollment and Discipline under §11.2(c)	420.00
9013	1.21(a)(5)(ii) Review of decision of the Director of Enrollment and Discipline under §11.2(d)	420.00
9028	1.21(a)(6)(ii) For USPTO-assisted change of address within the Office of Enrollment and Discipline Information System	70.00
9020	1.21(a)(9)(i) Delinquency fee	50.00
9004	1.21(a)(9)(ii) Administrative reinstatement fee	210.00
9014	1.21(a)(10) On petition for reinstatement by a person excluded or suspended on ethical grounds, or excluded on consent from practice before the Office	
1,680.00		
9024	1.21(k) Unspecified other services, excluding labor	AT COST

Trademark fees

Trademark application-related fees

Electronically filed

Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee
code					
2.6(a)(1)(i)	Application (paper), per class	n/a	n/a	\$750.00	6001
2.6(a)(1)(ii)	Application (§66(a)), per class	\$500.00	7931	n/a	n/a
2.6(a)(1)(iii)	Application (TEAS Standard), per class	\$350.00	7009	n/a	n/a
2.6(a)(1)(iv)	Application (TEAS Plus), per class	\$250.00	7007	n/a	n/a
2.6(a)(1)(v)	Fee for failing to meet TEAS Plus requirements, per class				

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\$100.00 7008	\$100.00 6008				
2.6(a)(2)(i)-(ii)	Amendment to allege use (AAU), per class			\$100.00 7002	
\$200.00 6002					
2.6(a)(3)(i)-(ii)	Statement of use (SOU), per class			\$100.00 7003	
\$200.00 6003					
2.6(a)(4)(i)-(ii)	Request for six-month extension for filing an SOU, per class				
\$125.00 7004	\$225.00 6004				
2.6(a)(19)(i)-(ii)	Request to divide an application, per new application created				
\$100.00 7006	\$200.00 6006				
Trademark petition and letter of protest fees					
Electronically filed					
Paper filed					
37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee
code					
2.6(a)(15)(i)-(ii)	Petition to the Director	\$250.00 7005		\$350.00 6005	
2.6(a)(15)(iii)-(iv)	Petition to revive an application			\$150.00 7010	
\$250.00 6010					
2.6(a)(25)	Letter of protest	\$50.00 7011	n/a	n/a	
Trademark post registration fees					
Electronically filed					
Paper filed					
37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee
code					
2.6(a)(5)(i)-(ii)	§9 registration renewal application, per class	\$300.00 7201		\$300.00 7201	
\$500.00 6201					
2.6(a)(6)(i)-(ii)	§9 registration renewal application grace period fee, per class	\$100.00 7203		\$200.00 6203	
2.6(a)(21)(i)-(ii)	§9 registration renewal application deficiency fee				
\$100.00 7204	\$200.00 6204				
2.6(a)(12)(i)-(ii)	§8 declaration, per class	\$225.00 7205		\$325.00 6205	
2.6(a)(14)(i)-(ii)	§8 declaration grace period fee, per class			\$100.00 7206	
\$200.00 6206					
2.6(a)(20)(i)-(ii)	§8 declaration deficiency fee	\$100.00 7207		\$200.00 6207	
2.6(a)(13)(i)-(ii)	§15 declaration, per class	\$200.00 7208		\$300.00 6208	
2.6(a)(12)(i)-(ii), (a)(13)(i)-(ii)	Combined §8 and §15 declarations, per class				
\$425.00 7205, 7208	\$625.00 6205, 6208				
2.6(a)(12)(i)-(ii), (a)(13)(i)-(ii)					
a)(14)(i)-(ii)	Combined §8 and §15 declarations filed during grace period, per class				
\$525.00 7205, 7206, 7208	\$825.00 6205, 6206, 6208				
2.6(a)(5)(i)-(ii),					
(a)(12)(i)-(ii)	Combined §8 declaration and §9 renewals, per class			\$525.00 7201,	
7205	\$825.00 6201, 6205				
2.6(a)(5)(i)-(ii),					
(a)(6)(i)-(ii),					
(a)(12)(i)-(ii),					
(a)(14)(i)-(ii)	Combined §8 declaration and §9 renewals both filed during grace period, per class				
\$725.00 7201, 7203, 7205, 7206	\$1,225.00 6201, 6203, 6205, 6206				
2.6(a)(7)(i)-(ii)	§12(c) affidavit, per class	\$100.00 7210		\$200.00 6210	
2.6(a)(8)(i)-(ii)	New registration certificate	\$100.00 7211		\$200.00 6211	

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2.6(a)(9)(i)-(ii) Corrected registration certificate, registrant's error
 \$100.00 7212 \$200.00 6212
 2.6(a)(10)(i)-(ii) Adding a disclaimer to a registration \$100.00 7213
 \$200.00 6213
 2.6(a)(11)(i)-(ii) Amending registration for other than adding a disclaimer
 \$100.00 7214 \$200.00 6214
 2.6(a)(11)(iii) Deleting only goods/services/classes from registration, before filing
 §8 declaration, and making no other changes \$0.00 n/a n/a n/a
 2.6(a)(12)(iii)-(iv) Deleting goods/services/classes from registration, after
 filing §8 declaration and before acceptance, per class \$250.00 7012 \$350.00 6012
 Trademark Trial and Appeal Board (TTAB) fees
 Electronically filed
 Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee code
2.6(a)(16)(i)-(ii)	TTAB petition for cancellation, per class	\$600.00	7401	\$700.00	6401
2.6(a)(17)(i)-(ii)	TTAB notice of opposition, per class	\$600.00	7402	\$700.00	6402
2.6(a)(18)(i)-(ii)	TTAB ex parte appeal, per class	\$225.00	7403	\$325.00	6403
2.6(a)(18)(iii)	TTAB ex parte appeal brief extension, first	\$0.00	n/a	n/a	n/a
2.6(a)(18)(iv)-(v)	TTAB ex parte appeal brief extension, second or subsequent	\$100.00	7407	\$200.00	6407
2.6(a)(18)(vi)-(vii)	TTAB ex parte appeal brief, per class	\$200.00	7406	\$300.00	6406
2.102(c)(1)(i)	TTAB first 30-day request for extension of time to file a notice of opposition	\$0.00	n/a	n/a	n/a
2.6(a)(22)(i)-(ii)	TTAB first 90-day or second 60-day request for extension of time to file a notice of opposition	\$200.00	7404	\$400.00	6404
2.6(a)(23)(i)-(ii)	TTAB final 60-day request for extension of time to file a notice of opposition	\$400.00	7405	\$500.00	6405
2.6(a)(24)	TTAB request for an oral hearing	\$500.00	7408	n/a	n/a

Trademark Madrid Protocol fees
 Electronically filed
 Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee code
7.7(1)	International fee for international application Paid to USPTO and forwarded to WIPO.				

See 37 CFR §7.7 and the WIPO fee calculator.

7951

Paid to USPTO and forwarded to WIPO.

See 37 CFR §7.7 and the WIPO fee calculator.

n/a

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7.14(c)	International fee for correcting irregularities in an international application	7952	n/a		
7.21	International fee for subsequent designation	7953	n/a		
7.23	International fee for recording of an assignment of an international registration	7954	n/a		
7.6(a)(1)(i)-(ii)	Certifying an international application based on a single basic application or registration, per class	\$100.00 7901	\$200.00 6901		
7.6(a)(2)(i)-(ii)	Certifying an international application based on more than one basic application or registration, per class	\$150.00 7902	\$250.00 6902		
7.6(a)(3)(i)-(ii)	Transmittal fee for subsequent designation	\$100.00 7907			
		\$200.00 6907			
7.6(a)(4)(i)-(ii)	Transmittal fee for request to record an assignment or restriction to the international registration	\$100.00 7903	\$200.00 6903		
7.6(a)(5)(i)-(ii)	Notice of replacement, per class	\$100.00 7904			
		\$200.00 6904			
7.6(a)(6)(i)-(ii)	§71 declaration, per class	\$225.00 7905	\$325.00 6905		
7.6(a)(7)(i)-(ii)	§71 declaration grace period fee, per class	\$100.00 7906			
		\$200.00 6906			
7.6(a)(8)(i)-(ii)	§71 declaration deficiency fee	\$100.00 7908	\$200.00 6908		
7.6(a)(6)(i)-(ii), 2.6(a)(13)(i)-(ii)	Combined §71 and §15 declarations, per class	\$425.00 7905, 7208	\$625.00 6905, 6208		
7.6(a)(6)(i)-(ii), (a)(7)(i)-(ii), 2.6(a)(13)(i)-(ii)	Combined §71 and §15 declarations filed during grace period, per class	\$525.00 7905, 7906, 7208	\$825.00 6905, 6906, 6208		
2.6(a)(11)(iii)	Deleting only goods/services/classes from registration, before filing §71 declaration, and making no other changes	\$0.00 n/a	n/a n/a		
7.6(a)(6)(iii)-(iv)	Deleting goods/services/classes from registration, after filing §71 declaration and before acceptance, per class	\$250.00 7013	\$350.00 6013		

Trademark service fees

Electronically filed

Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee code
2.6(b)(1)	Printed copy of registration, delivery by USPS, USPTO box, or electronic means	\$3.00 8501	\$3.00 8501		
2.6(b)(4)(i)	Certified copy of registration, with title and/or status, regular service	\$15.00 8503	\$15.00 8503		
2.6(b)(4)(ii)	Certified copy of registration, with title and/or status, expedited local service	\$30.00 8504	\$30.00 8504		
2.6(b)(2)	Certified copy of domestic application as filed	\$15.00 8507			
2.6(b)(3)	Certified or uncertified copy of application/registration file	\$50.00 8508	\$50.00 8508		
2.6(b)(5)	Certified or uncertified copy of trademark document, unless otherwise provided	\$25.00 8513	\$25.00 8513		
2.6(b)(7)	For assignment records, abstracts of title and certification per registration	\$25.00 8514	\$25.00 8514		

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2.6(b)(6)(i)	Recording trademark assignment, agreement or other ownership document, first mark per document	\$40.00	8521	\$40.00	8521
2.6(b)(6)(ii)	Recording trademark assignment, agreement or other ownership document, second and subsequent marks in the same document	\$25.00	8522		
2.6(b)(9)	Additional fee for expedited service	\$160.00	8534	\$160.00	8534
2.6(b)(8)	Additional fee for overnight delivery	\$40.00	8533	\$40.00	8533

Fastener Quality Act fees

Electronically filed

Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee code
2.7(a)	Recordal application	n/a	n/a	\$20.00	6991
2.7(b)	Renewal application	n/a	n/a	\$20.00	6992
2.7(c)	Late fee for renewal application			\$20.00	6993
2.7(a)	Application fee for reactivation of insignia, per request			n/a	n/a
\$20.00	6994				

General service fees

General service fees

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Fee code	37 CFR §	Description	Fee amount
9202/9209	1.21(b)(2), (b)(3) or 2.6(b)(11)	Service charge for below minimum balance	25.00
9101	1.21(m) or 2.6(b)(10)	Processing each payment refused or charged back	50.00

Upcoming events

AUG 26, 2021

The Path to a Patent, Part VI: Learn how to protect your IP abroad

Did you know that patents offer territorial rights, meaning that there is no such thing as an international or global patent? Learn how to help protect your intellectual property (IP) in foreign jurisdictions. USPTO's International Patent Legal Administration will host a two-hour seminar on...

SEP 2, 2021

Innovators and entrepreneurs: Learn about IP basics and helpful resources

Unfamiliar with patents, trademarks, copyrights, and trade secrets? Don't miss this opportunity to learn from USPTO experts about intellectual property (IP) basics and potential ways to protect your innovation as you transition from idea to product. The USPTO's Midwest Regional Office offers this...

SEP 7 - 10, 2021

Agents and attorneys: Learn to think like a patent examiner with STEPP's four-day course

If you are a USPTO registered patent attorney or agent from Europe, it helps to think like a patent examiner. Get a better understanding of how examiners make decisions at the Stakeholder Training on Examination Practice and Procedure (STEPP) agent/attorney four-day course. Registration is...

SEP 15, 2021

Meet the Patent Experts: Class Three

Attend an interactive Q&A session with patent experts at the USPTO's Texas Regional Office (TXRO). The TXRO will continue its five-class curriculum on patents. Space is limited, so register early. Class Three covers key aspects of nonprovisional applications. Class Four covers the components of...

SEP 16, 2021

The Path to a Patent, Part VIII: Common mistakes and support after filing

Are you an inventor? Join us for this session full of important tips on filing a patent application and what to do after you filed for a patent. Learn about common mistakes to avoid and gain a better understanding of post filing procedures and support. This virtual event is free and open to the...

OCT 20, 2021

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Attend an interactive Q&A session with patent experts at the USPTO's Texas Regional Office (TXRO). The TXRO will continue its five-class curriculum on patents. Space is limited, so register early. Class Four covers the components of nonprovisional applications and includes a discussion on claim...

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DECEMBER 28, 2020USPTO year in review: Nearly 400 applications receive prioritized examination under USPTO's historic COVID-19 relief programs

In response to the COVID-19 pandemic, the United States Patent and Trademark Office (USPTO) earlier this year launched the COVID-19 Prioritized Patent Examination Pilot Program and the COVID-19 Prioritized Trademark Examination Program. These programs enable the USPTO to grant requests for...

FEBRUARY 13, 2019Henry "Jamie" Holcombe appointed chief information officer

WASHINGTON - The United States Patent and Trademark Office (USPTO) today announced the appointment of Henry "Jamie" Holcombe as the agency's Chief Information Officer. Holcombe's first full day in his new role will be Monday, February 25, 2019. Holcombe joins the USPTO after more than 20 years of...

FEBRUARY 7, 2019United States moves up in international rankings for patent protection

WASHINGTON - Today, the U.S. Chamber of Commerce Global Innovation Policy Center (GIPC) ranked the United States in second place for Patents, Related Rights, and Limitations in its 2019 International IP Index, reflecting a significant improvement in patent protection policy. In addition, this year...

JANUARY 30, 2019U.S. ratification of the Marrakesh Treaty

WASHINGTON - The United States Patent and Trademark Office (USPTO) welcomed the recent ratification of the Marrakesh Treaty, which allows limited copyright exceptions for the reproduction of published works in formats accessible to the blind and visually impaired. President Donald J. Trump signed...

JANUARY 16, 2019 Nominations sought for National Medal of Technology and Innovation
WASHINGTON - The United States Patent and Trademark Office (USPTO) is seeking nominations for the 2019 National Medal of Technology and Innovation. The medal is the country's highest award for technological achievement and is presented by the president of the United States. The medal is awarded to...

JANUARY 10, 2019 Thomas Krause appointed Deputy General Counsel for Intellectual Property and Solicitor
WASHINGTON - The United States Patent and Trademark Office (USPTO) today announced the appointment of Thomas W. Krause as the new Deputy General Counsel for Intellectual Property Law and Solicitor of the USPTO. Krause, who has served as the agency's Deputy Solicitor since 2014, begins his new role...

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Trademark fees

Trademark application-related fees

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Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee code
2.6(a)(1)(i)	Application (paper), per class	n/a	n/a	\$750.00	6001
2.6(a)(1)(ii)	Application (§66(a)), per class	\$500.00	7931	n/a	n/a
2.6(a)(1)(iii)	Application (TEAS Standard), per class	\$350.00	7009	n/a	n/a
2.6(a)(1)(iv)	Application (TEAS Plus), per class	\$250.00	7007	n/a	n/a
2.6(a)(1)(v)	Fee for failing to meet TEAS Plus requirements, per class				
\$100.00 7008	\$100.00 6008				
2.6(a)(2)(i)-(ii)	Amendment to allege use (AAU), per class			\$100.00	7002
\$200.00 6002					
2.6(a)(3)(i)-(ii)	Statement of use (SOU), per class			\$100.00	7003
\$200.00 6003					
2.6(a)(4)(i)-(ii)	Request for six-month extension for filing an SOU, per class				
\$125.00 7004	\$225.00 6004				
2.6(a)(19)(i)-(ii)	Request to divide an application, per new application created				
\$100.00 7006	\$200.00 6006				

Trademark petition and letter of protest fees

Electronically filed

Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee code
2.6(a)(15)(i)-(ii)	Petition to the Director	\$250.00	7005	\$350.00	6005
2.6(a)(15)(iii)-(iv)	Petition to revive an application			\$150.00	7010
\$250.00 6010					
2.6(a)(25)	Letter of protest	\$50.00	7011	n/a	n/a

Trademark post registration fees

Electronically filed

Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee code
2.6(a)(5)(i)-(ii)	§9 registration renewal application, per class			\$300.00	7201
\$500.00 6201					
2.6(a)(6)(i)-(ii)	§9 registration renewal application grace period fee, per class	\$100.00 7203	\$200.00 6203		
2.6(a)(21)(i)-(ii)	§9 registration renewal application deficiency fee				
\$100.00 7204	\$200.00 6204				
2.6(a)(12)(i)-(ii)	§8 declaration, per class	\$225.00	7205	\$325.00	6205
2.6(a)(14)(i)-(ii)	§8 declaration grace period fee, per class			\$100.00	7206
\$200.00 6206					
2.6(a)(20)(i)-(ii)	§8 declaration deficiency fee	\$100.00	7207	\$200.00	6207
2.6(a)(13)(i)-(ii)	§15 declaration, per class	\$200.00	7208	\$300.00	6208

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2.6(a)(12)(i)-(ii), (a)(13)(i)-(ii) Combined §8 and §15 declarations, per class
 \$425.00 7205, 7208 \$625.00 6205, 6208

2.6(a)(12)(i)-(ii), (a)(13)(i)-(ii)
 a)(14)(i)-(ii) Combined §8 and §15 declarations filed during grace period, per class
 \$525.00 7205, 7206, 7208 \$825.00 6205, 6206, 6208

2.6(a)(5)(i)-(ii),
 (a)(12)(i)-(ii) Combined §8 declaration and §9 renewals, per class \$525.00 7201,
 7205 \$825.00 6201, 6205

2.6(a)(5)(i)-(ii),
 (a)(6)(i)-(ii),
 (a)(12)(i)-(ii),
 (a)(14)(i)-(ii) Combined §8 declaration and §9 renewals both filed during grace
 period, per class
 \$725.00 7201, 7203, 7205, 7206 \$1,225.00 6201, 6203, 6205, 6206

2.6(a)(7)(i)-(ii) §12(c) affidavit, per class \$100.00 7210 \$200.00 6210

2.6(a)(8)(i)-(ii) New registration certificate \$100.00 7211 \$200.00 6211

2.6(a)(9)(i)-(ii) Corrected registration certificate, registrant's error
 \$100.00 7212 \$200.00 6212

2.6(a)(10)(i)-(ii) Adding a disclaimer to a registration \$100.00 7213
 \$200.00 6213

2.6(a)(11)(i)-(ii) Amending registration for other than adding a disclaimer
 \$100.00 7214 \$200.00 6214

2.6(a)(11)(iii) Deleting only goods/services/classes from registration, before filing
 §8 declaration, and making no other changes \$0.00 n/a n/a n/a

2.6(a)(12)(iii)-(iv) Deleting goods/services/classes from registration, after
 filing §8 declaration and before acceptance, per class \$250.00 7012 \$350.00 6012

Trademark Trial and Appeal Board (TTAB) fees
 Electronically filed
 Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee
2.6(a)(16)(i)-(ii)	TTAB petition for cancellation, per class			\$600.00	7401
		\$700.00	6401		
2.6(a)(17)(i)-(ii)	TTAB notice of opposition, per class			\$600.00	7402
		\$700.00	6402		
2.6(a)(18)(i)-(ii)	TTAB ex parte appeal, per class	\$225.00	7403	\$325.00	6403
2.6(a)(18)(iii)	TTAB ex parte appeal brief extension, first	\$0.00	n/a	n/a	n/a
2.6(a)(18)(iv)-(v)	TTAB ex parte appeal brief extension, second or subsequent				
		\$100.00	7407	\$200.00	6407
2.6(a)(18)(vi)-(vii)	TTAB ex parte appeal brief, per class			\$200.00	7406
		\$300.00	6406		
2.102(c)(1)(i)	TTAB first 30-day request for extension of time to file a notice of opposition	\$0.00	n/a	n/a	n/a
2.6(a)(22)(i)-(ii)	TTAB first 90-day or second 60-day request for extension of time to file a notice of opposition	\$200.00	7404	\$400.00	6404
2.6(a)(23)(i)-(ii)	TTAB final 60-day request for extension of time to file a notice of opposition	\$400.00	7405	\$500.00	6405
2.6(a)(24)	TTAB request for an oral hearing			\$500.00	7408
				n/a	n/a

Trademark Madrid Protocol fees

Electronically filed

Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee
7.7(1)	International fee for international application Paid to USPTO and forwarded to WIPO.				

See 37 CFR §7.7 and the WIPO fee calculator.

7951

Paid to USPTO and forwarded to WIPO.

See 37 CFR §7.7 and the WIPO fee calculator.

n/a

7.14(c)	International fee for correcting irregularities in an international application	7952	n/a		
7.21	International fee for subsequent designation		7953	n/a	
7.23	International fee for recording of an assignment of an international registration	7954	n/a		
7.6(a)(1)(i)-(ii)	Certifying an international application based on a single basic application or registration, per class	\$100.00	7901	\$200.00	6901
7.6(a)(2)(i)-(ii)	Certifying an international application based on more than one basic application or registration, per class	\$150.00	7902	\$250.00	6902
7.6(a)(3)(i)-(ii)	Transmittal fee for subsequent designation	\$100.00	7907	\$200.00	6907
7.6(a)(4)(i)-(ii)	Transmittal fee for request to record an assignment or restriction to the international registration	\$100.00	7903	\$200.00	6903
7.6(a)(5)(i)-(ii)	Notice of replacement, per class	\$100.00	7904	\$200.00	6904
7.6(a)(6)(i)-(ii)	§71 declaration, per class	\$225.00	7905	\$325.00	6905
7.6(a)(7)(i)-(ii)	§71 declaration grace period fee, per class	\$100.00	7906	\$200.00	6906
7.6(a)(8)(i)-(ii)	§71 declaration deficiency fee	\$100.00	7908	\$200.00	6908
7.6(a)(6)(i)-(ii), 2.6(a)(13)(i)-(ii)	Combined §71 and §15 declarations, per class	\$425.00	7905, 7208	\$625.00	6905, 6208
7.6(a)(6)(i)-(ii), (a)(7)(i)-(ii), 2.6(a)(13)(i)-(ii)	Combined §71 and §15 declarations filed during grace period, per class	\$525.00	7905, 7906, 7208	\$825.00	6905, 6906, 6208
2.6(a)(11)(iii)	Deleting only goods/services/classes from registration, before filing §71 declaration, and making no other changes	\$0.00	n/a	n/a	n/a
7.6(a)(6)(iii)-(iv)	Deleting goods/services/classes from registration, after filing §71 declaration and before acceptance, per class	\$250.00	7013	\$350.00	6013

Trademark service fees

Electronically filed

Paper filed

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37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee
2.6(b)(1)	Printed copy of registration, delivery by USPS, USPTO box, or electronic means	\$3.00 8501	\$3.00 8501		
2.6(b)(4)(i)	Certified copy of registration, with title and/or status, regular service	\$15.00 8503	\$15.00 8503		
2.6(b)(4)(ii)	Certified copy of registration, with title and/or status, expedited local service	\$30.00 8504	\$30.00 8504		
2.6(b)(2)	Certified copy of domestic application as filed	\$15.00 8507	\$15.00 8507		
2.6(b)(3)	Certified or uncertified copy of application/registration file	\$50.00 8508	\$50.00 8508		
2.6(b)(5)	Certified or uncertified copy of trademark document, unless otherwise provided	\$25.00 8513	\$25.00 8513		
2.6(b)(7)	For assignment records, abstracts of title and certification per registration	\$25.00 8514	\$25.00 8514		
2.6(b)(6)(i)	Recording trademark assignment, agreement or other ownership document, first mark per document	\$40.00 8521	\$40.00 8521		
2.6(b)(6)(ii)	Recording trademark assignment, agreement or other ownership document, second and subsequent marks in the same document	\$25.00 8522	\$25.00 8522		
2.6(b)(9)	Additional fee for expedited service	\$160.00 8534	\$160.00 8534		
2.6(b)(8)	Additional fee for overnight delivery	\$40.00 8533	\$40.00 8533		

Fastener Quality Act fees

Electronically filed

Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee
2.7(a)	Recordal application	n/a n/a	\$20.00 6991		
2.7(b)	Renewal application	n/a n/a	\$20.00 6992		
2.7(c)	Late fee for renewal application	n/a n/a	\$20.00 6993		
2.7(a)	Application fee for reactivation of insignia, per request	n/a n/a	\$20.00 6994		

General service fees

General service fees

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Fee code	37 CFR §	Description	Fee amount
9202/9209	1.21(b)(2), (b)(3) or 2.6(b)(11)	Service charge for below minimum balance	25.00
9101	1.21(m) or 2.6(b)(10)	Processing each payment refused or charged back	

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571-272-3150

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571-273-3250

Email

dsd@uspto.gov

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Receipts Accounting Division (RAD)

Local

571-272-6500

Fax

571-273-6500

Email

RADHelpdesk@uspto.gov

For information on fee payments, including maintenance fees, deposit accounts, and refund requests.

Public Search Facility

Local

571-272-3275

Fax

571-273-0021

Located in Alexandria, VA with trained staff to assist the public in person, via email, and by phone with patent and trademark information and historical collections.

Patents

Contact Patents

Contact information for USPTO resources accessible at all stages of the patent process.

Inventors Assistance Center

Toll-Free

800-786-9199

Local

571-272-1000

TTY/TDD

800-877-8339

Provides patent information and services to the public. Staffed with experienced examiners who answer general questions about patent examining policy and procedures.

Application Assistance Unit

Toll-Free

888-786-0101

Local

571-272-4000

Email

HelpAAU@uspto.gov

Staff are trained to answer questions about the pre- and post-examination processing of patent applications.

Patent Cooperation Treaty Helpdesk

Local

571-272-4300

Email

PCTHelp@uspto.gov

The Patent Cooperation Treaty (PCT) is an international agreement among IP offices and intergovernmental authorities regarding the process of international patent

applications. Contact the PCT Helpdesk for assistance.

Patent Trial and Appeal Board

Local

571-272-9797

Fax

571-273-0053

PTAB includes statutory members and Administrative Patent Judges. It is charged with rendering decisions on appeals from adverse examiner decisions, post-issuance challenges to patents, and interferences.

Patent Electronic Business Center

Toll-Free

866-217-9197

Local

571-272-4100

Email

ebc@uspto.gov

Assists customers with filing and review of electronic patent application submissions via the EFS-Web and Patent Center.

Patents Ombuds Office

Local

1-571-272-5555 and 1-855-559-8589

Email

PatentsOmbudsOffice@uspto.gov

Provides assistance for patent applications that may appear to be stalled in the patent examination process and can assist with getting applications back on track.

Trademarks

Contact Trademarks

Contact information for business units involved in all stages of the application and registration process.

Trademark Assistance Center

Toll-Free

800-786-9199 (press 1)

Email

TrademarkAssistanceCenter@uspto.gov

The Trademark Assistance Center (TAC) is the main support center for all customers, from first-time filers to legal professionals and experienced trademark applicants.

Trademark Trial and Appeal Board

Local

571-272-8500

Email

TTABInfo@uspto.gov

TTAB hears and decides adversary proceedings involving oppositions, petitions, and proceedings involving applications for trademark registrations. The Board also decides appeals taken from the examining attorneys' refusals.

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Local

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Fax

571-273-0340

Email
OCCOfeedback@uspto.gov
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Identity verification for trademark filers

To better protect our customers from scams and fraudulent activities related to the

trademark register, earlier this year the United States Patent and Trademark Office (USPTO) introduced identity verification for USPTO.gov account holders who use the Trademark Electronic Application System (TEAS) and TEAS international (TEASi). To complete this one-time process, customers can choose to verify their identities through a paper identity verification process or online via ID.me. Legal professional support staff, such as paralegals, must use the sponsorship tool and have their identities verified by their sponsoring attorney.

As of August 6, 2022, identity verification is required for USPTO.gov account holders to file through TEAS and TEASi. Read our related Director's blog and Federal Register Notice for more information.

If you have a filing deadline today and can't verify your identity in time, read our instructions for submitting documents by fax.

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Benefits of identity verification

Identity verification helps us deter bad actors who make fraudulent trademark filings and scam our customers. It's part of our ongoing initiative to strengthen the security of our trademark filing process and protect the integrity of the U.S. trademark register.

In 2019, we began requiring anyone accessing TEAS or TEASi to be logged in to a USPTO.gov account. Identity verification builds on that requirement by ensuring that account holders are who they say they are. It also lays the groundwork for future enhancements that will allow verified account holders to control and delegate access to their filings and prevent unauthorized changes.

The USPTO offers three different methods for stakeholders to verify their identities: two online through ID.me and one through our traditional paper verification process.

Who is required to verify their identity?

You must verify your identity if you are a:

Trademark owner or corporate officer not represented by an attorney

U.S.-licensed attorney, including in-house counsel

Canadian attorney or agent

You must be sponsored by a verified attorney if you are:

A paralegal

Other support staff working for an attorney

If you are a paralegal or support staff, you can't use the online or paper verification processes. Instead, your sponsoring attorney must verify your identity. You must have a USPTO.gov account before you can be sponsored. See the sponsorship section for more information.

Who doesn't need to verify their identity?

In general, if you need to file or edit data in TEAS or TEASi forms, you must be logged in to an ID-verified USPTO.gov account. There are, however, some situations where verification is not required:

If the USPTO has previously verified your identity through the patent application process, you don't need to verify again, but you may need to select a trademark user role if we're unable to automatically determine it. See the instructions for patent-proofed customers in the common questions section below.

If you are a trademark owner represented by an attorney, you currently do not need to verify your identity to electronically sign forms that your attorney sends for your signature. You will, however, need to verify your identity to file once attorney representation ends.

Selecting your user role

play

Mandatory trademark identity verification

As part of the verification process, you'll choose a user role associated with your USPTO.gov account. Your user role tells us how you use our filing system and what verification options you need. Read the trademark user roles page for detailed information on selecting the right role for your filing situation. You can also watch the recording of our webinar on user roles.

The following user roles are available:

A trademark owner can file anything they need to on their own applications and registrations if they are unrepresented, or they can appoint an attorney to handle these matters for them.

A U.S.-licensed attorney can file all documents for their clients and can also sponsor support staff and paralegals to work under their supervision.

A Canadian attorney/agent can file certain, permitted documents for their clients and can also sponsor support staff and paralegals to work under their supervision.

Attorney support staff can assist with filing documents for clients, but must be sponsored by a verified attorney to do so.

Paper verification

The paper verification form must be notarized before mailing to the USPTO. Review the instructions for completing the paper verification form and follow these main steps:

Create a USPTO.gov account if you haven't already

Download and complete the paper ID verification form

Present two forms of government identification to a notary public

Mail the original, notarized form (no faxes or copies) to:

Mail Stop EBC

Commissioner for Trademarks

P.O. Box 1451

Alexandria, VA 22313-1451

Expect two to three weeks processing time. We recommend not waiting until after the deadline to verify by paper, as it will delay your ability to file electronically.

The name listed on your USPTO.gov account must be identical to the one submitted on

the paper ID verification form. Processing will be delayed if the names don't match exactly, and you'll be asked to modify your USPTO.gov account name to match the name on the verification form.

Online verification
play

Using ID.me to verify your identity as a trademark filer

Two options for verifying your identity online

If you choose the online verification method, you have two options for verifying your identity:

Self-service (requires biometric data in the form of a selfie)

Video Chat Agent (does not require a selfie)

Both options require you to create an account with ID.me if you don't already have one. If you have an existing ID.me account, you must use that.

What you'll need to complete the process

In addition to a USPTO.gov account, you'll need:

A camera-equipped smartphone, tablet, or computer with an internet connection.

A government-issued photo ID with the name identical to the name on your USPTO.gov account. If they don't match, the name on your USPTO.gov account will be

automatically updated to match your ID. See the step-by-step instructions on verifying with a driver's license or state ID or verifying with a passport. If you select the Video Chat Agent option, you'll need to provide additional identification documents.

ID.me will ask you for the following information, which will not be shared with the USPTO or anyone else:

Your Social Security number, which ensures your account can't be duplicated.

Permission to access your credit profile header information, which includes data such as your name, address history, and phone number. It does not involve checking your credit and has no impact on your credit score or rating.

Additionally, if you select the self-service option, you'll provide biometric data in the form of a selfie.

Getting started with online verification

To complete the ID verification process, follow these basic instructions:

Log in to your USPTO.gov account or create one now if you haven't already

Go to the Trademark account ID verification page by selecting any TEAS form link

Choose your user role

Select "Verify with ID.me" and follow the prompts on ID.me and choose either the self-service option or Video Chat Agent option

After you successfully complete online verification with ID.me, you may need to do any or all of the following before you can access TEAS forms:

Turn off pop-up blockers on USPTO.gov

Log out of your USPTO.gov account and clear your browser cache and cookies

Close and restart your browser. Log back into USPTO.gov.

Self-service option

This option typically takes less than 15 minutes. To complete, you will:

Take a selfie with your smartphone or computer. If using a webcam-equipped computer, please review ID.me's instructions for taking a selfie using a webcam.

Take a photo or upload images of your government-issued photo ID.

Provide your Social Security number and permit ID.me access to your credit profile header information to confirm you are who you say you are. This does not affect your credit rating.

In the event that ID.me can't automatically verify your identity with the information you provide—for example, if your selfie or photo of government ID is blurry—you'll be prompted to continue in a short video chat session where you will be required to show your physical documents. After the session, you'll receive an email with instructions on how to share your verification status with the USPTO. You must follow the instructions to complete the identity verification process and maintain access to our filing system.

Video Chat Agent option

This option does not require biometric data or use facial recognition technology. You will instead verify your identity in a live video chat with an ID.me representative. The time to complete the verification depends on the volume of customers and estimated wait times will be displayed.

To complete, you will:

Upload two primary forms of IDs or one primary and two secondary IDs.

Provide your Social Security number and permit access to your credit profile to confirm you are who you say you are. This does not affect your credit rating.

Once your documents have been reviewed, you will receive an email from ID.me inviting you to join a video call with an ID.me Video Chat Agent. An estimated wait time is displayed on screen for your convenience.

The Video Chat Agent will ask you a few questions and have you hold up your IDs to the camera so the Agent can verify your identity. Video sessions are recorded for security purposes and will be deleted within 30 days.

After verification, you'll receive an email with instructions for sharing your verification status with the USPTO. You must follow the instructions to complete the identity verification process.

ID.me and your privacy

ID.me uses your information solely to verify that you are who you say you are and prevent your account from being duplicated by anyone attempting to impersonate you. ID.me uses secure technology and federally compliant information protection practices to safeguard your data. You can view or change your privacy settings online at any time.

Keep in mind:

The USPTO does not receive any personally identifiable information from ID.me other than your name.

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Your ID.me account is only used to verify your identity, and the USPTO does not require you to maintain it after that. However, in the future if you want to change your legal name or make certain changes to your USPTO.gov account, you'll need an active ID.me account to confirm the changes.

If you have already verified your identity using ID.me, you can delete your selfie and associated biometric data from your ID.me account at any time.

If you select the self-service option, you will provide biometric data in the form of a selfie. It will be deleted 24 hours after your ID.me account is created. ID.me matches your selfie to your government-issued photo ID using facial-recognition technology known as 1:1 matching.

The USPTO-specific ID.me page addresses many issues you may encounter during the ID.me process, and the ID.me privacy bill of rights explains how you are in complete control of your data during this process.

Sponsoring legal support staff

The Trademark sponsorship tool allows verified attorneys to sponsor paralegals and support staff to submit and edit documents on their behalf in TEAS and TEASi. Using the sponsorship tool, verified attorneys, paralegals, and support staff can manage their sponsorship requests, view sponsorship history, and more.

Before using the sponsorship tool, attorneys must be verified, and their sponsored paralegals and support staff must have USPTO.gov accounts.

Paralegals and support staff can't use the online or paper verification processes. Instead, their sponsoring attorney verifies their identity at the time of sponsorship.

For a complete list of sponsorship functions and directions, see the Trademark sponsorship tool guide. For in-depth information on sponsorship verification requirements, see the Trademark Filing System Access Document.

Common questions

Expand the sections below to find answers to common questions about identity verification.

General

What if I'm not ready to verify yet?

Identity verification is mandatory. You will need a verified USPTO.gov account to file using TEAS or TEASi. Try to verify your account well before any filing deadlines. We can't make exceptions to statutory filing deadlines for customers unable to access TEAS or TEASi because their accounts were not verified.

Accounts

How does my USPTO.gov account work with identity verification?

A USPTO.gov account is required to access TEAS and TEASi. When you create a USPTO.gov account, you provide your name and primary email address. After verification, the name field is locked and your account is authorized. This helps the USPTO ensure that a person using the trademark system to enter information or make changes is authorized to do so.

Will the name and postal address in my verified USPTO.gov account appear in my TEAS or TEASi filings?

No. The name and postal address in your account is used only for validation purposes. It will not automatically appear on any form or on any filing that is available to the public. The information that appears publicly is the information that you submit in the TEAS or TEASi form.

What if I need to change my verified name, email address, or user role?

After your identity is verified, you won't be able to change certain details in your account without making a request. Email TEAS@uspto.gov for instructions on making changes to the following details in your USPTO.gov account:

Account email address

Verified name

User role

When emailing us, please provide your current USPTO.gov account email address, name, and user role to help speed up the request.

Am I required to use my verified name when filing trademark documents?

No. The name on your account does not need to exactly match the name that you use when filing, as long as both names are truthful and meet our other signature requirements. We understand that many people experience issues updating their records after a name change. We also understand that many people use nicknames, middle names, or other names professionally. If, however, the name on a filing doesn't seem to identify the same person named in the account, we may inquire about it.

Can I use a company account?

No. Each USPTO.gov account must correspond to a single person. Company accounts are not currently allowed, and will continue to be disallowed for identity verification purposes. You won't be able to verify an account that has a company, firm, or other entity name in the account holder name fields.

Can I share my account with others?

No. Account sharing is not permitted under the Terms of Use for USPTO websites. However, if you are an attorney and need legal support staff to work on your behalf, you may sponsor them using the Trademark sponsorship tool.

Can I have duplicate accounts, such as one for myself and one for work?

Each individual person may only have one trademark verified account used to access trademark filing systems. This prevents bad actors from abusing our filing systems and scamming our customers. When filing, you may still enter your alternative contact information into the record. It doesn't need to be the same that is associated with your verified USPTO.gov account.

Can I use a separate account to pay for filings?

You may have only one trademark verified USPTO.gov account, and you must remain logged into that same account to enter your fee payment information during filing. It is currently not possible to pay for a filing from an unverified USPTO.gov account. However, to help manage your payments, you may do any of the following:

Create and add multiple USPTO deposit accounts to Financial Manager in your trademark verified USPTO.gov account

Contact the administrator of an existing deposit account and request that your trademark verified USPTO.gov account email address be added as an authorized user for it

Use the "pay as a guest" feature to make a payment using a credit card that is not associated with your Financial Manager

I already verified my identity for patent filing purposes. Now what?

If you've previously verified your identity as a patent-proofed practitioner or patent-proofed independent inventor in order to access the patent filing systems EFS-Web and Patent Center, you do not need to verify your identity again to access TEAS or TEASi. Follow these steps to confirm your trademark verified status:

Log into your USPTO.gov account that you've previously verified as a Patent customer. Go to the Trademark account ID verification page.

Under "ID verification options," you'll see a message indicating you have already been verified as a Patent customer. If you don't see this, you are not logged in with a patent-proofed account.

Below, select the appropriate trademark user role and continue.

Your account will be automatically updated.

Remember to log in with your patent-proofed USPTO.gov account. If you created a new account or logged in with any different account, you will be asked to verify your identity. Patent customers who are currently sponsored as Proofed Practitioner Support will need to be separately sponsored as Attorney Support Staff in the trademark system by a verified attorney using the Trademark sponsorship tool before they can access TEAS and TEASi.

Paper verification

How long will it take to verify my identity using the paper verification form?

We anticipate an initial processing time of two to three weeks after we receive your paper form. To ensure there are no additional delays, review the instructions for completing the paper verification form. Once identity verification becomes mandatory, you must wait until your paper form is processed before you can access TEAS or TEASi. If you're facing any deadlines, consider using the online verification method instead.

How will I know when processing is complete?

We'll send a confirmation to your USPTO.gov account's primary email address when your paper form verification is complete. We'll also notify you if there is any issue that requires further attention. Unfortunately we can't offer detailed tracking information or expedited service at this time.

Who can notarize the paper form in the United States?

Notaries commissioned by and operating in any state, U.S. territory, or the District of Columbia can notarize documents in person. Remote online notarization is not permitted at this time.

Online verification

I'm having issues with my ID.me account setup or completing the ID.me verification

process.

Check the USPTO & ID.me help page for general questions about the verification process. If you're still having issues setting up your account or completing the verification process, you can submit a request for support to ID.me. The USPTO will work with ID.me customer service to make sure our trademark customers' identity verification problems are resolved.

I completed verification with ID.me, but I still can't access TEAS forms. If you successfully completed verification with ID.me, but you are returned to the identity verification page in TEAS and can't access TEAS forms, you may be experiencing a known technical issue. Please do the following:

Turn off pop-up blockers on USPTO.gov

Log out of your USPTO.gov account and clear your browser cache and cookies

Close and restart your browser. Log back into USPTO.gov.

If you're still unable to access TEAS forms after this, email TEAS@uspto.gov and indicate that you are experiencing the "verification loop problem." To speed up the request, include the name and email address associated with your USPTO.gov account.

What time of day can I access online verification?

You can verify your identity online 24 hours a day, seven days a week. If you can't complete the automated verification process and are routed to a video call with an ID.me Video Chat Agent, there may be a wait time depending on call volume.

What standards does the online verification process follow?

The online verification process uses ID.me, which meets NIST's 800-63-3 guidelines for Identity Assurance Level 2.

User roles

What is my user role?

Read the trademark user roles page for detailed information about selecting the right role for your filing situation.

Sponsorship

How do I get sponsored?

After your supervising attorney verifies their own identity, they can use the Trademark sponsorship tool to sponsor you. You must have a USPTO.gov account and provide your sponsoring attorney with the email address associated with it. Your supervising attorney can then sponsor you in less than a minute. Ensure that the name in your USPTO.gov account is up-to-date and accurate or your supervising attorney may not be able to sponsor you.

Should all the attorneys I work with sponsor me, or is just one enough?

All verified attorneys who are supervising your work must sponsor you because they are responsible for your work. Though you will be able to access TEAS or TEASi after just one attorney sponsors you, if that attorney withdraws their sponsorship, you'll lose access to TEAS or TEASi and be unable to work on behalf of other attorneys until they sponsor you.

How do I use the Trademark sponsorship tool?

Only verified attorneys and their support staff can use the Trademark sponsorship tool. Attorney support staff will not be able to access it until at least one attorney has verified their identity by sponsoring them. After verification, you can view and manage your sponsorships in the tool. Consult the Trademark sponsorship tool guide for detailed information and examples.

Getting help

How can I get help with my USPTO.gov account or verifying my account using any method other than ID.me?

Send any questions by email to TEAS@uspto.gov.

How can I get help with ID.me?

If you're having issues specific to the ID.me verification process and require assistance, you may be able to solve the problem by video conferencing with an ID.me Video Chat Agent. If that doesn't work, please open an ID.me support ticket using the following steps:

Visit the ID.me help page

Scroll to the bottom of the page and select "Submit a request"

Provide the email address that you used to register with ID.me

From the "How can we help you?" dropdown, select "I Need Help Getting Verified"

Select "United States Patent and Trademark Office (USPTO)" in the "What verification are you completing?" dropdown

Add a description of the issue you're running into. You can attach files, such as screenshots of any error messages

Submit your request

An ID.me Member Support Representative will reach out to you via email to help you resolve your issue. If you do not hear back from ID.me in 24 hours, please email them again and notify the USPTO.

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Verifying for USPTO using self-service
Before you verify

1. Sign in to MyUSPTO
2. Verify your identity using self-service

ID.me works with the United States Patent and Trademark Office (USPTO) to verify USPTO users accessing the Trademark Electronic Application System (TEAS) filing system. This article explains how you can verify with USPTO using self-service, an automated process.

Before you verify
To verify using self-service, you'll need:

A mobile phone that has a camera or a computer with a webcam
Email address
Photo ID (Driver's License, Passport, Passport Card, or State ID)
Social Security number

1. Sign in to MyUSPTO

To begin the verification process, sign in to your MyUSPTO account:

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Go to www.uspto.gov and select MyUSPTO in the upper right corner of the page. Sign in to your USPTO.gov account. If you haven't set up an account, select Create a USPTO.gov account and enter your information. Access the TEAS filing system. When prompted for a one-time verification, select the appropriate role from the drop down. Select Verify with ID.me. If you haven't created an ID.me account, select Create account and confirm your email to begin. Note: It's not possible to have more than one verified account. If you already have an ID.me account, sign in instead. Set up multi-factor authentication. If you're creating a new ID.me account, you'll need to set up multi-factor authentication (MFA) to continue with verification. For steps to set up MFA, select one of the following MFA methods:

Text Message or Phone Call

Push Notification

Code Generator

NFC-Enabled Security Key

Security Key

After you've successfully set up MFA, continue to Step 2. Verify your identity using self-service.

2. Verify your identity using self-service

After you're signed in to your ID.me account, you can verify your identity with a video selfie, or over a video call with a live agent. To verify your identity with a video selfie:

Select Self-Service, then select Continue. Self-service is an automated process where you'll need your Government ID and a selfie.

Select_Self-Service__then_select_Continue.png

Select the government ID you want to upload. You can take a photo of the document with your smartphone or upload one from your computer. Select_a_verification_method.gif

Take and submit a video selfie. Your selfie will only be used to confirm that the person in the selfie matches your government ID.

Take_and_submit_a_selfie.gif

Enter your Social Security number.

Note: If you don't have a US phone number, US address, or Social Security Number, you can verify with a video call by selecting the link at the bottom of the screen. To learn more about verifying your identity over a video call, read [How do I verify with a Video Chat Agent?](#)

Review and confirm that all of your information is accurate, then select Yes. After ID.me verifies your identity you will receive a confirmation message as a way to protect you from fraud.

Select Allow to finish your identity verification process. This step securely shares your identity information with USPTO.

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USPTO fee schedule

Effective December 29, 2022 (Last revised April 13, 2023)

The fee schedule provides information and fee rates for USPTO's products and services. All payments must be paid in U.S. dollars for the full amount of the fee required. View the Accepted payment methods page or call the USPTO Contact Center at 571-272-1000 or 800-786-9199 for assistance.

SCAM ALERT - Warning about non-USPTO solicitations requesting payments that are sent by numerous companies unaffiliated with the USPTO.

Current fee schedule

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Patent fees

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The fees subject to reduction upon establishment of small entity status (37 CFR 1.27) or micro entity status (37 CFR 1.29) are shown in separate columns. Except for

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provisional applications, each application for a patent requires the appropriate search fee and examination fee in addition to the appropriate fees in the "Patent application filing fees" section below. This means each fee listed as a "Basic filing fee" in the "Patent application filing fees" section should be accompanied by the appropriate search fee listed in the "Patent search fees" section as well as the appropriate examination fee listed in the "Patent examination fees" section. The \$400/\$200 non-electronic filing fee (fee codes 1090/2090/3090 or 1690/2690/3690) must be paid in addition to the filing, search and examination fees, in each original nonprovisional utility application filed in paper with the USPTO. The only way to avoid payment of the non-electronic filing fee is by filing your nonprovisional utility application via EFS-Web. The non-electronic filing fee does not apply to reissue, design, plant, or provisional applications.

Patent application filing fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1011/2011/3011	1.16(a)	Basic filing fee - Utility (paper filing also requires non-electronic filing fee under 1.16(t))	320.00	128.00	64.00
4011†	1.16(a)	Basic filing fee - Utility (electronic filing for small entities)			
n/a	64.00	n/a			
1012/2012/3012	1.16(b)	Basic filing fee - Design		220.00	88.00 44.00
1017/2017/3017	1.16(b)	Basic filing fee - Design CPA		220.00	88.00 44.00
1013/2013/3013	1.16(c)	Basic filing fee - Plant		220.00	88.00 44.00
1005/2005/3005	1.16(d)	Provisional application filing fee		300.00	120.00 60.00
1014/2014/3014	1.16(e)	Basic filing fee - Reissue		320.00	128.00 64.00
1019/2019/3019	1.16(e)	Basic filing fee - Reissue (Design CPA)		320.00	128.00 64.00
1051/2051/3051	1.16(f)	Surcharge - Late filing fee, search fee, examination fee, inventor's oath or declaration, or application filed without at least one claim or by reference	160.00	64.00	32.00
1052/2052/3052	1.16(g)	Surcharge - Late provisional filing fee or cover sheet	24.00	12.00	60.00
1201/2201/3201	1.16(h)	Each independent claim in excess of three	192.00	96.00	480.00
1204/2204/3204	1.16(h)	Each reissue independent claim in excess of three	480.00	192.00	96.00
1202/2202/3202	1.16(i)	Each claim in excess of 20		100.00	40.00 20.00
1205/2205/3205	1.16(i)	Each reissue claim in excess of 20		100.00	40.00 20.00
1203/2203/3203	1.16(j)	Multiple dependent claim		860.00	344.00 172.00
1081/2081/3081	1.16(s)	Utility application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	168.00	84.00
1082/2082/3082	1.16(s)	Design application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	168.00	84.00
1083/2083/3083	1.16(s)	Plant application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	168.00	84.00
1084/2084/3084	1.16(s)	Reissue application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	168.00	84.00
1085/2085/3085	1.16(s)	Provisional application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	168.00	84.00
1090/2090/3090	1.16(t)	Non-electronic filing fee - Utility (additional fee for			

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applications filed in paper)	400.00	200.00	200.00		
1053/2053/3053 1.17(i)(1)	Non-English translation	140.00	56.00	28.00	
1091/2091/3091 1.21(o)(1)	Submission of sequence listings of 300MB to 800MB				
1,060.00	424.00	212.00			
1092/2092/3092 1.21(o)(2)	Submission of sequence listings of more than 800MB				
10,500.00	4,200.00	2,100.00			

† The 4000 series fee code may be used via EFS-Web

Patent search fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1111/2111/3111	1.16(k)	Utility search fee	700.00	280.00	140.00
1112/2112/3112	1.16(l)	Design search fee or Design CPA search fee	160.00	64.00	32.00
1113/2113/3113	1.16(m)	Plant search fee	440.00	176.00	88.00
1114/2114/3114	1.16(n)	Reissue search fee or Reissue (Design CPA) search fee	700.00	280.00	140.00

Patent examination fees

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Fee code

37 CFR §

Description

Fee	Small entity fee	Micro entity fee
1311/2311/3311 1.16(o)	Utility examination fee	800.00 320.00 160.00
1312/2312/3312 1.16(p)	Design examination fee or Design CPA examination fee	640.00 256.00 128.00
1313/2313/3313 1.16(q)	Plant examination fee	660.00 264.00 132.00
1314/2314/3314 1.16(r)	Reissue examination fee or Reissue (Design CPA) examination fee	2,320.00 928.00 464.00

Patent issue and publication fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1501/2501/3501 1.18(a)(1)		Utility issue fee	1,200.00	480.00	240.00
1511/2511/3511 1.18(a)(1)		Reissue issue fee	1,200.00	480.00	240.00
1502/2502/3502 1.18(b)(1)		Design issue fee	740.00	296.00	148.00
1503/2503/3503 1.18(c)(1)		Plant issue fee	840.00	336.00	168.00
n/a 1.18(d)(1)		Publication fee for early, voluntary, or normal publication	0.00	0.00	0.00
1505/2505/3505 1.18(d)(3)		Publication fee for republication	320.00	320.00*	320.00

* Third-party filers are not eligible for the micro entity fee.

Patent extension of time fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro
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entity fee

1251/2251/3251	1.17(a)(1)	Extension for response within first month			
220.00	88.00	44.00			
1252/2252/3252	1.17(a)(2)	Extension for response within second month			
640.00	256.00	128.00			
1253/2253/3253	1.17(a)(3)	Extension for response within third month			
1,480.00	592.00	296.00			
1254/2254/3254	1.17(a)(4)	Extension for response within fourth month			
2,320.00	928.00	464.00			
1255/2255/3255	1.17(a)(5)	Extension for response within fifth month			
3,160.00	1,264.00	632.00			

Patent maintenance fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1551/2551/3551	1.20(e)	For maintaining an original or any reissue patent, due at 3.5 years	2,000.00	800.00	400.00
1552/2552/3552	1.20(f)	For maintaining an original or any reissue patent, due at 7.5 years	3,760.00	1,504.00	752.00
1553/2553/3553	1.20(g)	For maintaining an original or any reissue patent, due at 11.5 years	7,700.00	3,080.00	1,540.00
1554/2554/3554	1.20(h)	Surcharge - 3.5 year - late payment within 6 months	500.00	200.00	100.00
1555/2555/3555	1.20(h)	Surcharge - 7.5 year - late payment within 6 months	500.00	200.00	100.00
1556/2556/3556	1.20(h)	Surcharge - 11.5 year - late payment within 6 months	500.00	200.00	100.00
1558/2558/3558	1.17(m)	Petition for the delayed payment of the fee for maintaining a patent in force	2,100.00	840.00	420.00

Miscellaneous patent fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1817/2817/3817	1.17(c)	Request for prioritized examination	1,680.00	840.00	420.00
1819/2819/3819	1.17(d)	Correction of inventorship after first action on merits	640.00	256.00	128.00
1801/2801/3801	1.17(e)(1)	Request for continued examination (RCE) - 1st request (see 37 CFR 1.114)	1,360.00	544.00	272.00
1820/2820/3820	1.17(e)(2)	Request for continued examination (RCE) - 2nd and subsequent request (see 37 CFR 1.114)	2,000.00	800.00	400.00
1830/2830/3830	1.17(i)(1)	Processing fee, except in provisional applications	140.00	56.00	28.00
1808/2808/3808	1.17(i)(2)	Other publication processing fee	140.00	140.00	
1803/2803/3803	1.17(i)(2)	Request for voluntary publication or republication	140.00	140.00	140.00*
1802/2802/3802	1.17(k)	Request for expedited examination of a design application	1,600.00	640.00	320.00
1818/2818	1.17(o)	Document fee for third-party submissions (see 37 CFR			

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1.290(f))	180.00	72.00	n/a*		
1806/2806/3806	1.17(p)	Submission of an Information Disclosure Statement			
260.00	104.00	52.00			
1807/2807/3807	1.17(q)	Processing fee for provisional applications		50.00	50.00
50.00					
1809/2809/3809	1.17(r)	Filing a submission after final rejection (see 37 CFR			
1.129(a))	880.00	352.00	176.00		
1810/2810/3810	1.17(s)	For each additional invention to be examined (see 37 CFR			
1.129(b))	880.00	352.00	176.00		

* Third-party filers are not eligible for the micro entity fee.

Post issuance fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1811/2811/3811	1.20(a)	Certificate of correction		160.00	160.00
1816/2816/3816	1.20(b)	Processing fee for correcting inventorship in a patent		160.00	160.00
160.00	160.00	160.00			
1831/2831/3831	1.20(c)(1)	Ex parte reexamination (§1.510(a)) streamlined			
6,300.00	2,520.00	1,260.00*			
1812/2812/3812	1.20(c)(2)	Ex parte reexamination (§1.510(a)) non-streamlined			
12,600.00	5,040.00	2,520.00*			
1821/2821/3821	1.20(c)(3)	Each reexamination independent claim in excess of			
three and also in excess of the number of such claims in the patent under					
reexamination	480.00	192.00	96.00		
1822/2822/3822	1.20(c)(4)	Each reexamination claim in excess of 20 and also in			
excess of the number of claims in the patent under reexamination				100.00	40.00
20.00					
1814/2814/3814	1.20(d)	Statutory disclaimer, including terminal disclaimer			
170.00	170.00	170.00			
1826/2826/3826	1.20(k)(1)	Request for supplemental examination		4,620.00	
1,848.00	924.00				
1827/2827/3827	1.20(k)(2)	Reexamination ordered as a result of supplemental			
examination	12,700.00	5,080.00	2,540.00		
1828/2828/3828	1.20(k)(3)(i)	Supplemental examination document size fee - for			
nonpatent document having between 21 and 50 sheets			180.00	72.00	36.00
1829/2829/3829	1.20(k)(3)(ii)	Supplemental examination document size fee - for each			
additional 50 sheets or a fraction thereof in a nonpatent document				300.00	
120.00	60.00				

* Third-party filers are not eligible for the micro entity fee.

Patent trial and appeal fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1405/2405/3405	41.20(a)	Petitions to the Chief Administrative Patent Judge			
under 37 CFR 41.3			420.00	420.00	420.00
1401/2401/3401	41.20(b)(1)	Notice of appeal		840.00	336.00
n/a	41.20(b)(2)(i)	Filing a brief in support of an appeal		0.00	0.00
1404/2404/3404	41.20(b)(2)(ii)	Filing a brief in support of an appeal in an inter			
partes reexamination proceeding			2,100.00	840.00	420.00*
1403/2403/3403	41.20(b)(3)	Request for oral hearing		1,360.00	

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544.00 272.00*

1413/2413/3413	41.20(b)(4)	Forwarding an appeal in an application or ex parte reexamination proceeding to the Board	2,360.00	944.00	472.00*
1406/2406/3406	42.15(a)(1)	Inter partes review request fee - Up to 20 claims	19,000.00	19,000.00	19,000.00
1414/2414/3414	42.15(a)(2)	Inter partes review post-institution fee - Up to 20 claims	22,500.00	22,500.00	22,500.00
1407/2407/3407	42.15(a)(3)	Inter partes review request of each claim in excess of 20	375.00	375.00	375.00
1415/2415/3415	42.15(a)(4)	Inter partes post-institution request of each claim in excess of 20	750.00	750.00	750.00
1408/2408/3408	42.15(b)(1)	Post-grant or covered business method review request fee - Up to 20 claims	20,000.00	20,000.00	20,000.00
1416/2416/3416	42.15(b)(2)	Post-grant or covered business method review post-institution fee - Up to 20 claims	27,500.00	27,500.00	27,500.00
1409/2409/3409	42.15(b)(3)	Post-grant or covered business method review request of each claim in excess of 20	475.00	475.00	475.00
1417/2417/3417	42.15(b)(4)	Post-grant or covered business method review post-institution request of each claim in excess of 20	1,050.00	1,050.00	1,050.00
1412/2412/3412	42.15(c)(1)	Petition for a derivation proceeding	420.00	420.00	420.00
1411/2411/3411	42.15(d)	Request to make a settlement agreement available and other requests filed in a patent trial proceeding	420.00	420.00	420.00
1418	42.15(e)	Pro hac vice admission fee	250.00	n/a	n/a

* Third-party filers are not eligible for the micro entity fee.

Patent petition fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1462/2462/3462	1.17(f)	Petitions requiring the petition fee set forth in 37 CFR 1.17(f) (Group I)	420.00	168.00	84.00
1463/2463/3463	1.17(g)	Petitions requiring the petition fee set forth in 37 CFR 1.17(g) (Group II)	220.00	88.00	44.00*
1464/2464/3464	1.17(h)	Petitions requiring the petition fee set forth in 37 CFR 1.17(h) (Group III)	140.00	56.00	28.00
1453/2453/3453	1.17(m)	Petition for revival of an abandoned application for a patent, for the delayed payment of the fee for issuing each patent, or for the delayed response by the patent owner in any reexamination proceeding	2,100.00	840.00	420.00
1454/2454/3454	1.17(m)	Petition for the delayed submission of a priority or benefit claim	2,100.00	840.00	420.00
1784/2784/3784	1.17(m)	Petition to excuse applicant's failure to act within prescribed time limits in an international design application	2,100.00	840.00	420.00
1783/2783/3783	1.17(t)	Petition to convert an international design application to a design application under 35 U.S.C. chapter 16	180.00	72.00	36.00
1455/2455/3455	1.18(e)	Filing an application for patent term adjustment	210.00	210.00	210.00
1456/2456/3456	1.18(f)	Request for reinstatement of term reduced			420.00

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420.00	420.00				
1824/2824/3824	1.20(c)(6)	Petitions in a reexamination proceeding, except for those specifically enumerated in 37 CFR 1.550(i) and 1.937(d)	2,040.00		
816.00	408.00*				
1457/2457/3457	1.20(j)(1)	Extension of term of patent	1,180.00		
1,180.00	1,180.00				
1458/2458/3458	1.20(j)(2)	Initial application for interim extension (see 37 CFR 1.790)	440.00	440.00	440.00
1459/2459/3459	1.20(j)(3)	Subsequent application for interim extension (see 37 CFR 1.790)	230.00	230.00	230.00

* Third-party filers are not eligible for the micro entity fee.

PCT fees - national stage

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1631/2631/3631	1.492(a)	Basic national stage fee	320.00	128.00	64.00
n/a	1.492(b)(1)	National stage search fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	0.00	0.00	0.00
1641/2641/3641	1.492(b)(2)	National stage search fee - U.S. was the ISA	140.00	56.00	28.00
1642/2642/3642	1.492(b)(3)	National stage search fee - search report prepared and provided to USPTO	540.00	216.00	108.00
1632/2632/3632	1.492(b)(4)	National stage search fee - all other situations	700.00	280.00	140.00
n/a	1.492(c)(1)	National stage examination fee - U.S. was the ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	0.00	0.00	0.00
1633/2633/3633	1.492(c)(2)	National stage examination fee - all other situations	800.00	320.00	160.00
1614/2614/3614	1.492(d)	Each independent claim in excess of three	480.00	192.00	96.00
1615/2615/3615	1.492(e)	Each claim in excess of 20	100.00	40.00	20.00
1616/2616/3616	1.492(f)	Multiple dependent claim	860.00	344.00	172.00
1617/2617/3617	1.492(h)	Search fee, examination fee or oath or declaration after the date of commencement of the national stage	160.00	64.00	32.00
1618/2618/3618	1.492(i)	English translation after thirty months from priority date	140.00	56.00	28.00
1681/2681/3681	1.492(j)	National stage application size fee - for each additional 50 sheets that exceeds 100 sheets	420.00	168.00	84.00

PCT fees - international stage

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1601/2601/3601	1.445(a)(1)(i)(A)	Transmittal fee	260.00	104.00	52.00
1690/2690/3690	1.445(a)(1)(ii)	Non-electronic filing fee (additional fee for applications filed in paper)	400.00	200.00	200.00
1602/2602/3602	1.445(a)(2)(i)	Search fee - regardless of whether there is a corresponding application (see 35 U.S.C. 361(d) and PCT Rule 16)			2,180.00
872.00	436.00				
1604/2604/3604	1.445(a)(3)(i)	Supplemental search fee when required, per additional			

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invention	2,180.00	872.00	436.00	
1621/2621/3621	1.445(a)(4)(i)	Transmitting application to Intl. Bureau to act as receiving office	260.00	104.00 52.00
1605/2605/3605	1.482(a)(1)(i)	Preliminary examination fee - U.S. was the ISA	640.00	256.00 128.00
1606/2606/3606	1.482(a)(1)(ii)	Preliminary examination fee - U.S. was not the ISA	800.00	320.00 160.00
1607/2607/3607	1.482(a)(2)	Supplemental examination fee per additional invention	640.00	256.00 128.00
1619/2619/3619		Late payment fee		variable variable
1627/2627/3627	1.445(a)(5) and 1.482(c)	Late furnishing fee for providing a sequence listing in response to an invitation under PCT rule 13ter	320.00	128.00 64.00
1628/2628/3628	1.17(m)	Petition for the extension of the twelve-month (six-month for designs) period for filing a subsequent application	420.00	2,100.00 840.00

PCT fees to foreign offices**

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1701		International filing fee (first 30 pages - filed electronically without ePCT or PCT-EASY .zip file)	1,327.00	1,327.00	1,327.00
1710		International filing fee (first 30 pages - filed electronically with ePCT or PCT-EASY .zip file)	1,219.00	1,219.00	
1702		International filing fee (first 30 pages)	1,435.00	1,435.00	
1703		Supplemental fee (for each page over 30)		16.00	16.00 16.00
1704		International search (EPO)	1,875.00	1,875.00	
1715		International search (ILPO)	1,075.00	1,075.00	
1712		International search (IPAU)	1,523.00	1,523.00	
1717		International search (IPOS)	1,664.00	1,664.00	
1716		International search (JPO)	1,277.00	1,277.00	
1709		International search (KIPO)	924.00	924.00	924.00
1705		Handling fee	216.00	216.00	216.00
1706					

Handling fee - 90% reduction, if applicant meets criteria specified on the WIPO table.

21.60 21.60 21.60

** PCT fees to foreign offices subject to periodic change due to fluctuations in exchange rate.

Hague - international design application fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro
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entity fee

1781/2781/3781 1.1031(a) Hague international design application - transmittal
 fee 120.00 48.00 24.00
 1782 1.1031(c) International design application fees payable to WIPO
 variable variable variable

Patent service fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
8005	1.19(a)(1)	Patent Application Publication (PAP)	3.00	3.00	3.00
8003	1.19(a)(2)	Uncertified printed copy of plant patent in color	15.00	15.00	15.00
8007	1.19(b)(1)(i)(A) and (ii)(A)	Copy of patent application as filed	35.00	35.00	35.00
8051	1.19(b)(1)(i)(B)	Copy patent file wrapper, paper medium, any number of sheets	290.00	290.00	290.00
8010	1.19(b)(1)(i)(D)	Individual application documents, other than application as filed, per document	25.00	25.00	25.00
8052	1.19(b)(1)(ii)(B)	Copy patent file wrapper, electronic medium, any size or provided electronically	60.00	60.00	60.00
8013	1.19(b)(3)	Copy of office records, except copies of applications as filed	25.00	25.00	25.00
8014	1.19(b)(4)	For assignment records, abstract of title and certification, per patent	35.00	35.00	35.00
8904	1.19(c)	Library service	50.00	50.00	50.00
8017	1.19(f)	Copy of non-U.S. document	25.00	25.00	25.00
8020	1.21(e)	International type search report	40.00	40.00	40.00
n/a	1.21(h)(1)	Recording each patent assignment, agreement or other paper, per property - if submitted electronically	0.00	0.00	0.00
8021	1.21(h)(2)	Recording each patent assignment, agreement or other paper, per property - if not submitted electronically	50.00	50.00	50.00
8022	1.21(i)	Publication in Official Gazette	25.00	25.00	25.00
8026	1.21(n)	Handling fee for incomplete or improper application	140.00	140.00	140.00
8053	1.21(p)	Additional fee for overnight delivery	40.00	40.00	40.00
8054	1.21(q)	Additional fee for expedited service	170.00	170.00	170.00

Patent enrollment fees

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Fee code

37 CFR §	Description	Fee
9001	1.21(a)(1)(i) Application fee (non-refundable)	110.00
9010	1.21(a)(1)(ii)(A) For test administration by commercial entity	210.00
9011	1.21(a)(1)(ii)(B) For test administration by the USPTO	470.00
9029	1.21(a)(1)(iii) For USPTO-administered review of registration examination	470.00
9030	1.21(a)(1)(iv) Request for extension of time in which to schedule examination for registration to practice (non-refundable)	115.00
9003	1.21(a)(2)(i) On registration to practice under §11.6	210.00

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9026	1.21(a)(2)(ii)	On grant of limited recognition under §11.9(b)	210.00
9025	1.21(a)(2)(iii)	On change of registration from agent to attorney	110.00
9005	1.21(a)(4)(i)	Certificate of good standing as an attorney or agent, standard	40.00
9006	1.21(a)(4)(ii)	Certificate of good standing as an attorney or agent, suitable for framing	50.00
9012	1.21(a)(5)(i)	Review of decision by the Director of Enrollment and Discipline under §11.2(c)	420.00
9013	1.21(a)(5)(ii)	Review of decision of the Director of Enrollment and Discipline under §11.2(d)	420.00
9028	1.21(a)(6)(ii)	For USPTO-assisted change of address within the Office of Enrollment and Discipline Information System	70.00
9020	1.21(a)(9)(i)	Delinquency fee	50.00
9004	1.21(a)(9)(ii)	Administrative reinstatement fee	210.00
9014	1.21(a)(10)	On petition for reinstatement by a person excluded or suspended on ethical grounds, or excluded on consent from practice before the Office	1,680.00
9024	1.21(k)	Unspecified other services, excluding labor	AT COST

Trademark fees

As of the implementation of mandatory electronic filing on February 15, 2020, paper trademark filings are no longer accepted. The paper filing fees are provided for the very limited circumstances in which a paper filing is permitted. The “electronically filed” fees are relevant for most customers.

Trademark application-related fees

Electronically filed

Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee
2.6(a)(1)(i)	Application (paper), per class	n/a	n/a	\$750.00	6001
2.6(a)(1)(ii)	Application (§66(a)), per class	\$500.00	7931	n/a	n/a
2.6(a)(1)(iii)	Application (TEAS Standard), per class	\$350.00	7009	n/a	n/a
2.6(a)(1)(iv)	Application (TEAS Plus), per class	\$250.00	7007	n/a	n/a
2.6(a)(1)(v)	Fee for failing to meet TEAS Plus requirements, per class	\$100.00	7008	\$100.00	6008
2.6(a)(2)(i)-(ii)	Amendment to allege use (AAU), per class			\$100.00	7002
\$200.00	6002				
2.6(a)(3)(i)-(ii)	Statement of use (SOU), per class			\$100.00	7003
\$200.00	6003				
2.6(a)(4)(i)-(ii)	Request for six-month extension for filing an SOU, per class	\$125.00	7004	\$225.00	6004
2.6(a)(19)(i)-(ii)	Request to divide an application, per new application created	\$100.00	7006	\$200.00	6006
2.6(a)(27)	Extension of time for filing a response to a pre-registration office action	\$125.00	7016	n/a	n/a

Trademark petition and letter of protest fees

Electronically filed

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Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee
2.6(a)(15)(i)-(ii)	Petition to the Director	\$250.00	7005	\$350.00	6005
2.6(a)(15)(iii)-(iv)	Petition to revive an application	\$150.00	7010		
\$250.00	6010				
2.6(a)(25)	Letter of protest	\$50.00	7011	n/a	n/a
2.6(a)(26)	Petition for expungement and reexamination, per class	\$400.00	7014		
n/a	n/a				
2.6(a)(27)	Extension of time for filing a response to an office action in an expungement or reexamination proceeding	\$125.00	7015	n/a	n/a

Trademark post registration fees

Electronically filed

Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee
2.6(a)(5)(i)-(ii)	§9 registration renewal application, per class	\$300.00	7201		
\$500.00	6201				
2.6(a)(6)(i)-(ii)	§9 registration renewal application grace period fee, per class	\$100.00	7203	\$200.00	6203
\$200.00	6203				
2.6(a)(21)(i)-(ii)	§9 registration renewal application deficiency fee	\$100.00	7204	\$200.00	6204
\$200.00	6204				
2.6(a)(12)(i)-(ii)	§8 declaration, per class	\$225.00	7205	\$325.00	6205
\$325.00	6205				
2.6(a)(14)(i)-(ii)	§8 declaration grace period fee, per class	\$100.00	7206		
\$200.00	6206				
2.6(a)(20)(i)-(ii)	§8 declaration deficiency fee	\$100.00	7207	\$200.00	6207
\$200.00	6207				
2.6(a)(13)(i)-(ii)	§15 declaration, per class	\$200.00	7208	\$300.00	6208
\$300.00	6208				
2.6(a)(12)(i)-(ii), (a)(13)(i)-(ii)	Combined §8 and §15 declarations, per class	\$425.00	7205, 7208	\$625.00	6205, 6208
\$625.00	6205, 6208				
2.6(a)(12)(i)-(ii), (a)(13)(i)-(ii)	Combined §8 and §15 declarations filed during grace period, per class	\$525.00	7205, 7206, 7208	\$825.00	6205, 6206, 6208
\$825.00	6205, 6206, 6208				
2.6(a)(5)(i)-(ii), (a)(12)(i)-(ii)	Combined §8 declaration and §9 renewals, per class	\$525.00	7201, 7205		
\$825.00	6201, 6205				
2.6(a)(5)(i)-(ii), (a)(6)(i)-(ii), (a)(12)(i)-(ii), (a)(14)(i)-(ii)	Combined §8 declaration and §9 renewals both filed during grace period, per class	\$725.00	7201, 7203, 7205, 7206	\$1,225.00	6201, 6203, 6205, 6206
\$1,225.00	6201, 6203, 6205, 6206				
2.6(a)(7)(i)-(ii)	§12(c) affidavit, per class	\$100.00	7210	\$200.00	6210
\$200.00	6210				
2.6(a)(8)(i)-(ii)	New registration certificate	\$100.00	7211	\$200.00	6211
\$200.00	6211				
2.6(a)(9)(i)-(ii)	Corrected registration certificate, registrant's error	\$100.00	7212	\$200.00	6212
\$200.00	6212				
2.6(a)(10)(i)-(ii)	Adding a disclaimer to a registration	\$100.00	7213		
\$200.00	6213				
2.6(a)(11)(i)-(ii)	Amending registration for other than adding a disclaimer	\$100.00	7214	\$200.00	6214
\$200.00	6214				
2.6(a)(11)(iii)	Deleting only goods/services/classes from registration, before filing				

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§8 declaration, and making no other changes \$0.00 n/a n/a n/a
 2.6(a)(12)(iii)-(iv) Deleting goods/services/classes from registration, after
 filing §8 declaration and before acceptance, per class \$250.00 7012 \$350.00 6012
 Trademark Trial and Appeal Board (TTAB) fees

Electronically filed
 Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee
2.6(a)(16)(i)-(ii)	TTAB petition for cancellation, per class	\$600.00	7401		
		\$700.00	6401		
2.6(a)(17)(i)-(ii)	TTAB notice of opposition, per class	\$600.00	7402		
		\$700.00	6402		
2.6(a)(18)(i)-(ii)	TTAB ex parte appeal, per class	\$225.00	7403	\$325.00	6403
2.6(a)(18)(iii)	TTAB ex parte appeal brief extension, first	\$0.00	n/a	n/a	n/a
2.6(a)(18)(iv)-(v)	TTAB ex parte appeal brief extension, second or subsequent	\$100.00	7407	\$200.00	6407
		\$100.00	7407		
2.6(a)(18)(vi)-(vii)	TTAB ex parte appeal brief, per class	\$200.00	7406		
		\$300.00	6406		
2.102(c)(1)(i)	TTAB first 30-day request for extension of time to file a notice of opposition	\$0.00	n/a	n/a	n/a
2.6(a)(22)(i)-(ii)	TTAB first 90-day or second 60-day request for extension of time to file a notice of opposition	\$200.00	7404	\$400.00	6404
2.6(a)(23)(i)-(ii)	TTAB final 60-day request for extension of time to file a notice of opposition	\$400.00	7405	\$500.00	6405
2.6(a)(24)	TTAB request for an oral hearing	\$500.00	7408	n/a	n/a

Trademark Madrid Protocol fees
 Electronically filed
 Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee
7.7(1)	International fee for international application Paid to USPTO and forwarded to WIPO.				

See 37 CFR §7.7 and the WIPO fee calculator.

7951

Paid to USPTO and forwarded to WIPO.

See 37 CFR §7.7 and the WIPO fee calculator.

n/a					
7.14(c)	International fee for correcting irregularities in an international application	7952	n/a		
7.21	International fee for subsequent designation		7953	n/a	
7.23	International fee for recording of an assignment of an international registration	7954	n/a		
7.6(a)(1)(i)-(ii)	Certifying an international application based on a single basic application or registration, per class	\$100.00	7901	\$200.00	6901

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7.6(a)(2)(i)-(ii)	Certifying an international application based on more than one basic application or registration, per class	\$150.00	7902	\$250.00	6902
7.6(a)(3)(i)-(ii)	Transmittal fee for subsequent designation	\$100.00	7907	\$200.00	6907
7.6(a)(4)(i)-(ii)	Transmittal fee for request to record an assignment or restriction to the international registration	\$100.00	7903	\$200.00	6903
7.6(a)(5)(i)-(ii)	Notice of replacement, per class	\$100.00	7904	\$200.00	6904
7.6(a)(6)(i)-(ii)	§71 declaration, per class	\$225.00	7905	\$325.00	6905
7.6(a)(7)(i)-(ii)	§71 declaration grace period fee, per class	\$100.00	7906	\$200.00	6906
7.6(a)(8)(i)-(ii)	§71 declaration deficiency fee	\$100.00	7908	\$200.00	6908
7.6(a)(6)(i)-(ii), 2.6(a)(13)(i)-(ii)	Combined §71 and §15 declarations, per class	\$425.00	7905, 7208	\$625.00	6905, 6208
7.6(a)(6)(i)-(ii), (a)(7)(i)-(ii), 2.6(a)(13)(i)-(ii)	Combined §71 and §15 declarations filed during grace period, per class	\$525.00	7905, 7906, 7208	\$825.00	6905, 6906, 6208
2.6(a)(11)(iii)	Deleting only goods/services/classes from registration, before filing §71 declaration, and making no other changes	\$0.00	n/a	n/a	n/a
7.6(a)(6)(iii)-(iv)	Deleting goods/services/classes from registration, after filing §71 declaration and before acceptance, per class	\$250.00	7013	\$350.00	6013

Trademark service fees

Electronically filed

Paper filed

37 CFR §	Description	Fee amount	Fee code	Fee amount	Fee code
2.6(b)(1)	Printed copy of registration, delivery by USPS, USPTO box, or electronic means	\$3.00	8501	\$3.00	8501
2.6(b)(4)(i)	Certified copy of registration, with title and/or status, regular service	\$15.00	8503	\$15.00	8503
2.6(b)(4)(ii)	Certified copy of registration, with title and/or status, expedited local service	\$30.00	8504	\$30.00	8504
2.6(b)(2)	Certified copy of domestic application as filed	\$15.00	8507	\$15.00	8507
2.6(b)(3)	Certified or uncertified copy of application/registration file	\$50.00	8508	\$50.00	8508
2.6(b)(5)	Certified or uncertified copy of trademark document, unless otherwise provided	\$25.00	8513	\$25.00	8513
2.6(b)(7)	For assignment records, abstracts of title and certification per registration	\$25.00	8514	\$25.00	8514
2.6(b)(6)(i)	Recording trademark assignment, agreement or other ownership document, first mark per document	\$40.00	8521	\$40.00	8521
2.6(b)(6)(ii)	Recording trademark assignment, agreement or other ownership document, second and subsequent marks in the same document	\$25.00	8522	\$25.00	8522
2.6(b)(9)	Additional fee for expedited service	\$160.00	8534	\$160.00	8534
2.6(b)(8)	Additional fee for overnight delivery	\$40.00	8533	\$40.00	8533

Fastener Quality Act fees

Electronically filed

Paper filed

37 CFR § code	Description	Fee amount	Fee code	Fee amount	Fee code
2.7(a)	Recordal application	n/a	n/a	\$20.00	6991
2.7(b)	Renewal application	n/a	n/a	\$20.00	6992
2.7(c)	Late fee for renewal application		n/a	\$20.00	6993
2.7(a)	Application fee for reactivation of insignia, per request			n/a	n/a
\$20.00	6994				

General service fees

General service fees

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Fee code	37 CFR §	Description	Fee amount
9202/9209	1.21(b)(2), (b)(3) or 2.6(b)(11)	Service charge for below minimum balance	25.00
9101	1.21(m) or 2.6(b)(10)	Processing each payment refused or charged back	50.00

Upcoming events

MAY 5, 2023

Las Vegas World IP Day

Celebrate World Intellectual Property Day with this special event at Black Fire Innovation. Meet with local experts to learn more about resources to help you protect your intellectual property. Schedule 10:00 a.m.: Welcome and opening remarks 10:30 a.m.: Local resource panel 11:15 a.m.: Lunch 12:00...

News & Updates

FEBRUARY 13, 2019 Henry "Jamie" Holcombe appointed chief information officer
WASHINGTON - The United States Patent and Trademark Office (USPTO) today announced the appointment of Henry "Jamie" Holcombe as the agency's Chief Information Officer. Holcombe's first full day in his new role will be Monday, February 25, 2019. Holcombe joins the USPTO after more than 20 years of...

FEBRUARY 7, 2019 United States moves up in international rankings for patent protection

WASHINGTON - Today, the U.S. Chamber of Commerce Global Innovation Policy Center (GIPC) ranked the United States in second place for Patents, Related Rights, and Limitations in its 2019 International IP Index, reflecting a significant improvement in patent protection policy. In addition, this year...

JANUARY 30, 2019 U.S. ratification of the Marrakesh Treaty

WASHINGTON - The United States Patent and Trademark Office (USPTO) welcomed the recent ratification of the Marrakesh Treaty, which allows limited copyright exceptions for the reproduction of published works in formats accessible to the blind and visually impaired. President Donald J. Trump signed...

JANUARY 16, 2019 Nominations sought for National Medal of Technology and Innovation

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WASHINGTON - The United States Patent and Trademark Office (USPTO) is seeking nominations for the 2019 National Medal of Technology and Innovation. The medal is the country's highest award for technological achievement and is presented by the president of the United States. The medal is awarded to...

JANUARY 10, 2019 Thomas Krause appointed Deputy General Counsel for Intellectual Property and Solicitor

WASHINGTON - The United States Patent and Trademark Office (USPTO) today announced the appointment of Thomas W. Krause as the new Deputy General Counsel for Intellectual Property Law and Solicitor of the USPTO. Krause, who has served as the agency's Deputy Solicitor since 2014, begins his new role...

JANUARY 4, 2019 U.S. Patent and Trademark Office announces revised guidance for determining subject matter eligibility

WASHINGTON - The United States Patent and Trademark Office (USPTO) today announced revised guidance for subject matter eligibility under 35 U.S.C. § 101. The USPTO also announced guidance on the application of 35 U.S.C. § 112 to computer-implemented inventions. The documents take effect Monday...

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Extension of time

Patent extension of time fees

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Fee code	37 CFR §	Description	Fee	Small entity fee	Micro entity fee
1251/2251/3251	1.17(a)(1)	Extension for response within first month	220.00	88.00	44.00
1252/2252/3252	1.17(a)(2)	Extension for response within second month	640.00	256.00	128.00
1253/2253/3253	1.17(a)(3)	Extension for response within third month	1,480.00	592.00	296.00
1254/2254/3254	1.17(a)(4)	Extension for response within fourth month	2,320.00	928.00	464.00
1255/2255/3255	1.17(a)(5)	Extension for response within fifth month	3,160.00	1,264.00	632.00
1457/2457/3457	1.20(j)(1)	Extension of term of patent	1,180.00		
1458/2458/3458	1.20(j)(2)	Initial application for interim extension (see 37 CFR 1.790)	440.00	440.00	440.00
1459/2459/3459	1.20(j)(3)	Subsequent application for interim extension (see 37			

CFR 1.790) 230.00 230.00 230.00

1628/2628/3628 1.17(m) Petition for the extension of the twelve-month (six-month for designs) period for filing a subsequent application 2,100.00 840.00 420.00

9030 1.21(a)(1)(iv) Request for extension of time in which to schedule examination for registration to practice (non-refundable) 115.00

2.6(a)(4)(i)-(ii) Request for six-month extension for filing an SOU, per class \$125.00 7004 \$225.00 6004

2.6(a)(27) Extension of time for filing a response to a pre-registration office action \$125.00 7016 n/a n/a

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If your assigned USPTO staff person is unavailable, then contact your staff person's unit supervisor. Refer to Contact Trademarks for additional information.

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Shirin Bidel-Nayat

Shirin Bidel-Niyat

Chief of Staff

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Vaishali Udupa

Vaishali Udupa

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Mary Critharis is the Acting Chief Policy Officer and Director for International Affairs at the USPTO. In this position, she serves as a policy advisor to the Under...

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Frederick Steckler

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Jack Fleming

Acting Chief Communications Officer

Jack Fleming is the Acting Chief Communications Officer at the USPTO.

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Jay Hoffman

Chief Financial Officer

Jay Hoffman is the Chief Financial Officer (CFO) of the United States Patent and Trademark Office (USPTO). As CFO, Mr. Hoffman is the principal advisor to the USPTO...

Jamie Holcombe portrait

Jamie Holcombe

Chief Information Officer

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Scott R. Boalick

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May 31, 2023

Proud Innovation 2023, part one: Business and funding

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Jun 21, 2023

See the full events calendar

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571-272-8400

Fax

571-273-0340

OCCOfeedback@uspto.gov

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The office facilitates media interviews with senior agency officials and writes and distributes press releases and media advisories. It also ensures broad distribution of up-to-date and accurate information about the USPTO and intellectual property protection by proactively pitching news stories, profiles, and opinion editorial pieces to media outlets through the country and through common social media channels.

To receive news and updates by email from the USPTO, visit our Subscription Center.

For all media inquiries, contact the Office of the Chief Communications Officer main phone line at 571-272-8400 or email:

Paul Fucito, Press Secretary
Paul.Fucito@uspto.gov

Mandy Kraft, Deputy Press Secretary
Mandy.Kraft@uspto.gov

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The office produces special events and ceremonies that celebrate milestones and accomplishments at the USPTO.

Publications

The office is also responsible for the production of USPTO publications and works closely with the Chief Financial Officer on the annual Performance and Accountability Report.

Photography

All requests for photos related to the USPTO are to be referred to press officers.

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Under the supervision of the office, The National Inventors Hall of Fame manages the USPTO National Inventors Hall of Fame and Museum. Visit the Gallery of Icons and use interactive kiosks to learn about the world's greatest patented innovations and the women and men who invented them.

The National Inventors Hall of Fame

We work closely with the National Inventors Hall of Fame on a number of educational outreach and inventor recognition programs. These include Camp Invention, the Collegiate Inventors Competition, and the annual induction ceremony. To learn more about these programs visit the National Inventors Hall of Fame website.

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The Office of Education (OE) provides educational and outreach programming for students, educators, and young inventors and innovators of all ages.

Office of Innovation Outreach

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VIDAL CARPIO MARIELA	(571)272-1250		P/2476	GROUP ART UNIT 2476

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- (HSLIE) Head Legal Instruments Examiner
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RE: OIG Investigation - Follow-up

Contact photo

From Mehok, Brandi

To ew@cfaba.org

Date Today 09:23

Summary Headers Plain text

Good morning, Robert,

Thank you for calling me on Wednesday, May 24th. I returned your call a few minutes ago.

I am available to meet with you next week about the complaint you filed with the Office of Inspector General regarding Sharon Roberts not returning your phone calls.

I have availability on the following dates and time:

May 31st at 10:00 am

June 1st at 9:00 am, 10:00 am and 11:00 am.

Brandi Mehok

Phone: 571.272.3186

Conduct Specialist

Employee Relations Division

Office of Human Resources

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U.S. Patent and Trademark Office

From: Mehok, Brandi

Sent: Friday, May 19, 2023 4:25 PM
To: ew@cfaba.org
Subject: OIG Investigation - Follow-up

Good afternoon, Robert,

My name is Brandi Mehok and I am a Conduct Specialist with the Office of Human Resources, Employee Relations Division. I have been tasked with investigating the complaint you filed with the Office of Inspector General regarding Sharon Roberts not returning your phone calls.

I would like to set up a meeting with you to discuss the complaint. I have availability on the following dates and time:

May 23rd at 9:00am
May 24th at 10:30am
May 25th at 9:00am

Please let me know your availability for next week and I will call you on 818-574-8911.

In the meantime, if you wish, you may complete and sign the attached "Official Statement" detailing the reasons for your complaint. Also, if you have any documentation you would like to put on record for this investigation, please forward it to me.

I look forward to speaking with you regarding this matter. If you have any questions or concerns, please let me know.

Brandi Mehok
Phone: 571.272.3186
Conduct Specialist
Employee Relations Division
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1 Record found for Name: Steckler Fred

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STECKLER FREDERICK W	(571)272-9600		C/CAO	OFFICE OF THE CHIEF ADMINISTRATIVE OFFICER

Position Indicators

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The RA or PAS process begins as soon as a request is made, whether orally, in writing, or electronically via Accommodation Point, a confidential and secure case tracking system at the United States Patent and Trademark Office (USPTO).

The interactive process

Upon receipt of an RA or PAS request a specialist within the USPTO's Office of Equal Employment Opportunity and Diversity (OEEOD) will promptly be assigned to 1) obtain any necessary medical documentation; 2) clarify the job tasks that must be accomplished and potential RAs, if any, given the requester's disability and essential job functions; and 3) obtain a statement from the supervisor, if necessary. If, in consultation with the requester, OEEOD, and the business unit, an interim RA can be implemented, it should be done as soon as is reasonably feasible.

Implementation of an interim RA is not a guarantee that the accommodation will be granted in the final RA Decision.

The decision process

Once OEEOD obtains all the necessary information from the requester, the RA or PAS file goes to the designated deciding official in the requester's business unit for review and determination of an appropriate/approved accommodation. When warranted, the USPTO will provide an effective accommodation, but not necessarily the specific RA requested.

Timeline

The USPTO attempts to process RA and PAS requests swiftly. Absent extenuating circumstances, the timeframe for processing a request—from initiation up to providing and implementing the accommodation(s), if granted—is generally within 45 business days. Where possible, we will provide the RA or PAS in fewer than 45 days. The 45-day period is contingent on the requester's cooperation during the interactive process.

RA and PAS decisions

Employees and their representatives will be notified in writing of the decision, with a copy provided to the requester's supervisor, and the USPTO will take immediate steps to provide or implement the granted accommodation. If the USPTO denies the RA

or PAS Request, the deciding official must still issue a written decision, with a copy provided to the employee's supervisor clearly stating the reasons for the denial.

Appeals

If the requester is dissatisfied with an RA or PAS decision, they have the right to 1) file a request for reconsideration of the decision; 2) and/or file an EEO complaint pursuant to 29 C.F.R. § 1614.106; or 3) make a request for alternative dispute resolution to Alternative Dispute Resolution (ADR) Program Coordinator in OEEOD. They may have rights to pursue the matter through the Merit Systems Protection Board (MSPB) or union grievance procedures. Details on appeal rights are provided in the RA or PAS decision.

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John Dalier joined the United States Patent and Trademark Office (USPTO) as a trademark examining attorney advisor in 1994. During his first 25 years at the USPTO, John worked in three different trademark law offices, where he mentored many examining attorneys, handled a work project with the Office of Policy and International Affairs, and completed a detail to the Office of the Solicitor. In 2019, he joined the Office of the Deputy Commissioner for Trademark Examination Policy and now specializes in petitions and letters of protest. He is also a legal liaison to the Examination Support Unit and the Office of Finance.

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The video recording of this expired event is posted above. You can find all past Trademarks Webinar Series presentations on the Trademarks Webinar Series page.

Also available is a PDF of the presentation slides featuring legal citations and cases.

Are you a trademark practitioner interested in learning how to prepare letters of protest to the United States Patent and Trademark Office (USPTO) under the new 37 C.F.R. Section 2.149? Do you want insight into specific requirements for letters of protest? Join John Dalier, Attorney Advisor in the Office of the Deputy Commissioner for Trademark Examination Policy, as he provides an overview of letters of protest best practices and highlights key areas, including:

- Appropriate subject matter
- Strict evidence requirements
- Common pitfalls when filing a letter of protest

This webinar is intended for trademark professionals and will use a high degree of legal terminology and concepts.
CLE credit details

The USPTO has submitted this event for 1.5 hours of continuing legal education (CLE) credit in Virginia only. All verified participants will receive a certificate of attendance and presentation materials, which you may use to attempt to receive credit in your state. Please refer to your state bar association's rules and guidelines. We are unable to assist participants with obtaining CLE credit outside of Virginia.

Speaker

John Dalier, Attorney Advisor in the Office of the Deputy Commissioner for Trademark Examination Policy
Accessibility and contact

This webinar is accessible to individuals with disabilities. Captioning will be provided. Other reasonable accommodations may be available upon request. For any questions about this webinar, please contact TMWebinar@uspto.gov.

This event is part of a series: Continuing legal education (CLE), Trademarks Webinar Series

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The Office of Equal Employment Opportunity and Diversity provides leadership,

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direction, and guidance in carrying out its equal opportunity and civil rights responsibilities. The USPTO promotes equal opportunity by a continuing affirmative program that identifies and eliminates discriminatory practices and policies.

Local
571-272-8292

Fax
571-273-0154

Office of Policy and International Affairs
Assists the Director of the USPTO in advising the President, through the Secretary of Commerce, and federal agencies on domestic and international IP issues.

Local
571-272-9300

Office of Governmental Affairs
Office of the Chief Economist
Office of the Chief Administrative Officer
The Office of the Chief Administrative Officer (OCAO) is responsible for the overall administrative activities and functions of the USPTO. The OCAO consists of the Office of Administrative Services, the Office of Human Resources, and Telework Coordination.

Local
571-272-6000

Fax
571-273-0123

Office of Administrative Services
Office of Human Resources
Telework Program Office
Office of the Chief Communications Officer
The Office of the Chief Communications Officer manages the USPTO's public affairs function and coordinates a wide variety of internal and external communications.

Local
571-272-8400

Fax
571-273-0340

OCCOfeedback@uspto.gov
Office of Education
Office of Innovation Outreach
Office of the Chief Financial Officer
The Office of the Chief Financial Office (OCFO) advises the Under Secretary and Director on planning, budgetary, financial, and procurement matters. The OCFO also oversees implementation of and compliance with all federal laws and regulations pertaining to financial management, including the preparation of the USPTO's audited financial statements, financial management systems, and the planning and reporting of performance measures.

Local
571-272-9200

Fax
571-273-0095

Office of Finance
Office of Financial Management Systems
Office of Planning and Budget
Office of Procurement

Office of the Chief Information Officer

The Chief Information Officer (CIO) is the principal advisor to the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office on the application and transformation of information technology (IT) for the USPTO's business.

Local

571-272-9400

Fax

571-273-0090

Deputy Chief Information Officer

Business Product Delivery Office

End User Service Office

Enterprise Infrastructure Delivery Office

Office of Organizational Policy and Governance

Resource Management Office

Information Technology Transformation Office

Office of the Commissioner for Patents

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Local

1-571-272-8800

Fax

1-571-273-8800

Office of the Commissioner for Trademarks

The Office of Trademarks is responsible for trademark examination policy, trademark operations, and trademark administration.

Local

571-272-8901

Fax

571-273-0029

Deputy Commissioner for Trademark Administration

Deputy Commissioner for Trademark Examination Policy

Deputy Commissioner for Trademark Operations

Office of the General Counsel

The USPTO Office of the General Counsel (OGC) plays a vital role in the USPTO's mission to issue patents and trademarks. It does so by providing timely and innovative legal advice.

Local

571-272-7000

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571-273-0099

Office of Enrollment and Discipline

Office of General Law

Office of the Solicitor

Office of the Under Secretary and Director

The Office of the Under Secretary (OUS) advises the president, the Secretary of Commerce, and the administration on intellectual property matters. The OUS ensures the agency achieves strategic and management goals.

Local

571-272-8600

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571-273-0464

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Patent Trial and Appeal Board

PTAB includes statutory members and Administrative Patent Judges. It is charged with rendering decisions on appeals from adverse examiner decisions, post-issuance challenges to patents, and interferences.

Local

571-272-9797

Fax

571-273-0053

Public Advisory Committees

The Public Advisory Committees review the policies, goals, performance, budget, and user fees of the patent and trademark operations, respectively, and advise the USPTO director on these matters.

Local

571-272-8600

Fax

571-273-0464

pac@uspto.gov

Patent Public Advisory Committee (PPAC)

Trademark Public Advisory Committee (TPAC)

Trademark Trial and Appeal Board

TTAB hears and decides adversary proceedings involving oppositions, petitions, and proceedings involving applications for trademark registrations. The Board also decides appeals taken from the examining attorneys' refusals.

Local

571-272-8500

TTABInfo@uspto.gov

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Amy Cotton
Amy Cotton
Deputy Commisioner

This office is made up of the Petitions Office, Legal Policy Office, Identification and Classification Policy Office, Trademark Assistance Center, Trademark Law Library, and Office of Trademark Quality Review and Training. Additionally, the deputy commissioner's office oversees Trademark Customer Experience and Trademark Outreach.

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Office of the Deputy Commissioner for Trademark Examination Policy Amy Cotton

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Deputy Commissioner

Amy Cotton is the Deputy Commissioner for Trademark Examination Policy. In this role, Ms. Cotton oversees the Legal Policy Office, the Petitions Office, and ID Class, as well as the Trademark Assistance Center, the Office of Trademark Quality Review and Training, the Trademark Law Library, Customer Experience, and Trademark Outreach.

Ms. Cotton joined the United States Patent and Trademark Office (USPTO) in 1998 as a trademark examining attorney. She moved to the Office of Policy and International Affairs (OPIA) in 2001, where she served as the Senior Counsel for Trademarks from 2003 to 2021, providing domestic and international policy advice to the USPTO and U.S. government agencies and technical assistance to global trademark offices. For nearly 20 years, Ms. Cotton led the U.S. delegation to the World Intellectual Property Organization (WIPO) on trademark matters, including negotiations to conclude the Singapore Treaty on the Law of Trademarks and the Geneva Act of the Lisbon Agreement on Appellations of Origin and Geographical Indications. Prior to joining the USPTO, Ms. Cotton served as external affairs counsel at the American Intellectual Property Law Association.

A member of the Virginia Bar, Ms. Cotton received her Juris Doctor from Indiana University Bloomington and her Bachelor of Arts from the University of Virginia.

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Portrait of David S. Gooder
David S. Gooder
Commissioner for Trademarks

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Deputy Commissioner for Trademark Administration

The offices of Program and Project Management, Electronic Filing and Public Web Services, Performance Planning and Financial Management, Desktop and Audio Visual Support, and Data Quality Management.

Deputy Commissioner for Trademark Examination Policy

This office is made up of Petitions Office, Legal Policy Office, Identification and Classification Policy Office, Trademark Assistance Center, Trademark Law Library, and Office of Trademark Quality Review and Training.

Deputy Commissioner for Trademark Operations

This office is made up of the Office of Intent-to-Use, Office of Pre-Examination, Office of Trademark Publication, Trademark Law Offices, and Trademark Services.

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Filing a trademark petition form

Filing a petition may be right for you if you want to revive an abandoned application, correct some types of errors, request reconsideration, request a waiver, or address another procedural issue. You can file different types of petitions depending on where you are in the application process and what you're requesting.

If you were instructed to complete a specific petition form, either in an inquiry letter, a USPTO notice, or by our call center staff, see petitions by form number at the bottom of this page.

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Applying for your registration

Petition request Instructions

Use the filing date of your previously denied application on your new application

Form: Request to Restore Filing Date

When to file: File immediately after receiving your new serial number, or as soon as possible after that. File no later than two months after the issue date of your Notice of Incomplete Trademark Application.

Purpose: Restore a filing date if your previously filed application was denied a filing date and you have evidence to show that you met the minimum filing date requirements. You must first file a new application and have a new serial number to use the form. There is no fee for this request.

Requirements: Your request must include either:

A screenshot of the TEAS "Success" page or your filing receipt email from the USPTO that includes the date of receipt and a summary of your TEAS submission; or
Self-evident proof that your application met filing date requirements and shouldn't have been denied a filing date.

If you don't have either of these types of proof, submit your request using the Petition to Director form, which requires a fee.

Expedite your application based on a canceled registration

Form: Request to Make Special

When to file: File immediately after receiving your new serial number, or as soon as possible after that.

Purpose: Expedite initial examination of your application if your prior registration was inadvertently canceled or expired because you didn't file a post-registration maintenance document by the deadline.

The trademark in the new application must be identical to the trademark in the canceled or expired registration.

The goods and services in the new application must be identical to, or narrower than, the goods and services in the canceled or expired registration.

The owner of the application and canceled registration must be the same.

Requirements: Your request must include the registration number for the canceled or expired registration.

Expedite your application because of special circumstances that could result in you losing your trademark rights

Form: Petition to Make Special

When to file: File immediately after receiving your serial number, or as soon as possible after that.

Purpose: Request that we expedite initial examination of your application when there are special circumstances and you're at risk of losing substantial trademark rights. Common situations that many applicants experience aren't special circumstances. For example, if you're starting an advertising campaign and want your registration issued quickly, you don't have special circumstances.

Requirements: Your petition must include the petition fee, as well as evidence demonstrating the possibility of losing substantial trademark rights.

For example:

If there is pending litigation, a copy of the pleadings

If there is actual infringement, a copy of a cease-and-desist letter you sent to the infringing party

If you need a trademark registration to secure government approval for the goods or services, a copy of the regulation or an agency action requiring the trademark registration

Request permission for your drawing to show multiple versions of your trademark

Form: Petition to Director

In step 3 of the form, select "Allow multiple renditions of my three-dimensional mark in a single drawing."

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When to file: File after you submit your application and receive your serial number, or promptly after receiving an office action refusing your drawing because it shows more than one view of the trademark.

Purpose: Request that we allow you to use a drawing showing the trademark in more than one view.

Requirements: Your petition must include:

- A statement of relevant facts and the relief you are requesting, including a statement that the trademark can't be adequately depicted in a single view

- A declaration under Trademark Rule 2.20 signed by the applicant or an authorized attorney

- A substitute drawing showing multiple renditions of the trademark, if you haven't already submitted one

- The petition fee

Decision example:

Request to show multiple versions of a trademark - granted. See petition filed August 30, 2021, and decision issued October 4, 2021.

Request relief in connection with an international application in which the U.S. is the office of origin using a USPTO Reference Number

Form: Petition to Director for an International Application/Registration

When to file: File after a USPTO Reference Number has been assigned to the International Application.

Purpose: Request relief in connection with an international application in which the U.S. is the office of origin. For example, you can request:

- That we forward an assignment (MM5) or security interest (MM19) to the International Bureau because you can't obtain the holder's signature

- A correction to the international application because of a USPTO or IB error

- Withdrawal of the international application

- Correction to the listing of designated countries

Requirements: Your petition must include:

- A statement of relevant facts and the relief you are requesting, such as the examples above

- A declaration under Trademark Rule 2.20 signed by the petitioner or an authorized attorney

- The petition fee

Use the Petition to Director form instead if you don't have a USPTO Reference Number.

Resources: Madrid Protocol

Request review of the denial of certification for your international application

Form: Petition to Director to Review Denial of Certification of International Application

When to file: File immediately after receiving your denial of certification, but no later than two months after the issue date. If your international application isn't certified and received by the International Bureau within two months of the original application filing date, the date of international registration will be affected.

Purpose: Request that we review the decision to deny certification of an application for international registration filed through the Madrid Protocol.

Requirements: Your petition must include:

- A statement of relevant facts and the relief you are requesting
- A declaration under Trademark Rule 2.20 signed by the petitioner or an authorized attorney
- The petition fee
- A substitute MM2, if appropriate

Resources: Petitions to Review Denial of Certification of an International Application, Madrid Protocol

Updating your application after publication

Petition request Instructions

Amend your application information after approval for publication but before a Notice of Allowance has issued

Form: Post-Approval/Publication/Post-Notice of Allowance (NOA) Amendment

When to file: File after your application has been approved for publication, but before a Notice of Allowance has been issued.

Purpose: Request an amendment that doesn't require examining attorney review. For example, a typographical error in the applicant's entity type.

Resource: Changing application information after publication, TMEP 1505

Amend your application information after a Notice of Allowance has issued

Form: Post-Approval/Publication/Post-Notice of Allowance (NOA) Amendment

When to file: File after a Notice of Allowance has issued, but before you file a Statement of Use.

Purpose: Request to amend your application after a Notice of Allowance has issued, but before you file your Statement of Use. You may only request the following amendments:

Delete goods or services

Amend your trademark in a way that does not materially alter it

Correct an error in your application in limited circumstances

For other amendments, use the Petition to Director form. We only enter amendments that don't require examining attorney review. For example, adding a disclaimer to your application.

Resource: Changing application information after publication, TMEP section 1505
Request that we restore jurisdiction to the examining attorney to review your amendment

Form: Petition to Director

In Step 3 of the form, select "Other" and enter "request jurisdiction be restored to examining attorney to review post publication amendment."

When to file: File before a Notice of Allowance issues.

Purpose: Request that we restore jurisdiction to the examining attorney to review an amendment submitted after approval for publication. While minor changes, such as correcting a typo or adding a disclaimer, don't usually require examining attorney review, to request a more substantial change at this stage of the process, jurisdiction must be restored to the examining attorney.

Delete intent-to-use filing basis

Form: Request to Delete Section 1(b) Basis, Intent-to-Use

When to file: File after your application has been approved, published, or a Notice of Allowance has issued.

Purpose: Request to delete a Section 1(b) filing basis for your entire application or for an entire class of goods or services in your multi-basis application. You must rely on an alternative, previously claimed filing basis that also supports your goods and services.

Resource: Changing application information after publication, TMEP 806.04
Change a filing basis to Section 44(e) after publication

Form: Petition to Change the Filing Basis After Publication

When to file: File after your application has been approved, published, or a Notice of Allowance has issued.

Purpose: Change your filing basis to Section 44(e) (foreign registration) after your trademark has published for opposition.

Requirements: To add or substitute a Section 44(e) filing basis, you must include:

A copy of the foreign registration

If your application currently includes a Section 1(b) basis, a clear statement of

your intent, such as

Delete the 1(b) basis and substitute the 44(e) basis

Add a 44(e) basis and perfect the 1(b) basis by submitting a Statement of Use with your petition

Substitute the 44(e) basis but retain the 1(b) basis until the examining attorney approves the foreign registration

Resource: Changing application information after publication, TMEP 806.03(j)

Maintaining your registration

Petition request Instructions

Request review of refusal for Section 8 or 71 declaration

Form: Petition to Director

In step 3 of the form, select "Review decision denying Section 8 or 71 declaration."

When to file: File no later than six months after the issue date of the office action maintaining the refusal of your filing.

Purpose: Request review of the continued refusal of your specimens of use, ownership information, or excusable nonuse claim submitted in a Section 8 or 71 declaration, or request review of the refusal to accept proof of use submitted in response to a post registration audit.

Resources: Specimen requirements, Post Registration Audit Program

Decision examples:

Nonuse is excusable - granted. See petition filed July 1, 2021, and decision issued October 8, 2021.

Proof of use established for some goods - partially granted. See petition filed Mach 29, 2021 and decision issued February 24, 2022.

Ownership information clarified - granted. See petition filed May 4, 2021 and decision issued January 6, 2022.

Reinstate a registration that was canceled for not responding to an office action issued for your Section 8 or 71 declaration and accept a late response

Form: Petition to Director

In step 3 of the form, select "Reinstate a cancelled registration and accept a late response to an office action issued in connection with a Section 8 or 71 declaration."

When to file: File no later than two months after the issue date of your Notice of Cancellation. If you haven't received a notice, file within two months of the date you learned of the notice, but no later than six months after the cancelation date in TSDR.

Purpose: Request that we waive your response deadline and reinstate your registration

if an extraordinary situation prevented you from responding on time to an office action.

Requirements: Your petition must include your response to the office action and must explain the extraordinary situation that prevented you from submitting it on time.

Resources: TMEP section 1708

Extraordinary situation - granted. See Petition filed March 22, 2021 and decision issued October 20, 2021.

Extraordinary situation - granted. See petition filed May 25, 2021 and decision issued January 31, 2022.

No extraordinary situation - denied. See petition filed April 5, 2021 and decision issued December 7, 2021.

Reinstate goods or services that were canceled for not responding to an office action issued in an expungement or reexamination proceeding Form: Petition to Director In step 3 of the form, select "Reinstate a cancelled registration after termination of an expungement or reexamination proceeding and accept a late response to an office action."

When to file: File no later than two months after the issue date of your Notice of Cancellation. If you haven't received a notice, file within two months of the date you learned of the notice, but no later than six months after the cancellation date in TSDR.

Purpose: Request that we waive your response deadline and reinstate the goods or services that were cancelled if an extraordinary situation prevented you from responding on time to an office action.

Requirements: Your petition must include your response to the office action and must explain the extraordinary situation that prevented you from submitting it on time.

Resource: TMEP section 1708

Request review of a denial to amend your registration submitted under Section 7

Form: Petition to Director

In step 3 of the form, select "Review decision denying Section 7 request."

When to file: File no later than six months after the issue date of the office action refusing your amendment.

Purpose: Request review of the denial of a Section 7 request to amend or correct a trademark registration.

Resource: TMEP section 1609

Decision examples:

Mark amendment is material alteration - denied. See petition filed April 10, 2021 and decision issued December 10, 2021.

Mark amendment is material alteration - denied. See petition filed June 14, 2021 and decision issued April 9, 2022.

Abandon a Section 15 affidavit of incontestability

Form: Petition to Director

In step 3 of the form, select "Other" and enter "abandon a section 15 affidavit of incontestability."

When to file: File any time after submitting your Section 15 affidavit of incontestability.

Purpose: Request to abandon a Section 15 affidavit or the Section 15 portion of your combined declaration of use or excusable nonuse and affidavit of incontestability (Section 8 and 15, or Section 71 and 15).

Decision examples:

Request to abandon affidavit of incontestability - granted. See petition filed August 27, 2021 and decision issued March 24, 2022.

Request to abandon affidavit of incontestability - granted. See petition filed February 1, 2022 and decision issued April 7, 2022.

Amend the identification of your goods or services due to technology evolution

Form: Petition to Director

In step 3 of the form, select "Allow an amendment due to technology evolution."

When to file: File after your trademark has registered.

Purpose: Request that we amend your registered good or service to a good or service that is fundamentally the same but has evolved due to changes in technology.

Resource: Amending your registration's good or services when technology evolves

Decision examples:

Amendment of services allowed - granted. See petition filed May 17, 2021 and decision issued March 17, 2022.

Amendment of goods allowed - granted. See petition filed May 6, 2021 and decision issued January 13, 2022.

Reviving an abandoned application

Options and requirements for reviving your application are discussed in detail on the reviving an abandoned application page.

Petition request Instructions

Revive an application that was abandoned because you missed the deadline for

responding to an office action or suspension inquiry

Form: Petition to Revive Abandoned Application - Failure to Respond Timely to Office Action

When to file: File no later than two months after the issue date of your abandonment notice. If you haven't received a notice, file within two months of the date you learned of abandonment, but no later than six months after the abandonment date in TSDR.

Purpose: Revive an abandoned application because you:

- Unintentionally failed to submit a response to your office action on time
- Didn't receive an office action, or
- Unintentionally failed to respond to a suspension inquiry

Resource: Reviving an abandoned application
Revive goods or services in a partially abandoned application

Form: Petition to Director

In step 3 of the form, select "Revive partially abandoned goods or services." You'll only be charged the Petition to Revive fee, not the Petition to Director fee.

When to file: File no later than two months after the issue date of the examiner's amendment deleting the goods or services.

Purpose: Request revival of goods or services that were partially abandoned because you unintentionally failed to respond to a refusal.

Resource: Reviving an abandoned application
Revive an application that was abandoned because you missed the deadline for filing a Statement of Use or extension request

Form: Petition to Revive Abandoned Application - Failure to File Timely Statement of Use or Extension Request

When to file: File no later than two months after the issue date of your abandonment notice. If you haven't received a notice, file within two months of the date you learned of abandonment, but no later than six months after the abandonment date in TSDR.

Purpose: Revive an abandoned application because you:

- Unintentionally missed the deadline to file a Statement of Use (SOU) or request for extension of time to file an SOU
- Didn't receive a Notice of Allowance

Resource: Reviving an abandoned application
Revive an application and request to delete intent-to-use filing basis

Form: Petition to Revive with Request to Delete Section 1(b) Basis or to Delete ITU Goods/Services after Notice of Allowance

When to file: File no later than two months after the issue date of your abandonment notice. If you haven't received a notice, file within two months of the date you learned of abandonment, but no later than six months after the abandonment date in TSDR.

Purpose: Revive an abandoned application because you:

Unintentionally missed the deadline to file a Statement of Use (SOU) or request for extension of time to file an SOU, or
Didn't receive a Notice of Allowance,

And

You want to delete section 1(b) basis (intent-to-use) and change your filing basis to section 1(a) (use in commerce) or section 44(e) (foreign registration).

Resource: Reviving an abandoned application
Reverse a holding of abandonment because your response to an office action was incomplete

Form: Petition to Director

In step 3 of the form, select "Reverse holding of abandonment for incomplete response."

When to file: File no later than two months after the issue date of your abandonment notice. If you haven't received a notice, file within two months of the date you learned of abandonment, but no later than six months after the abandonment date in TSDR.

Purpose: Request to reverse an abandonment for incomplete response to an office action. You must provide:

Proof of clear error or abuse of discretion,
Proof that you substantially complied with a final refusal or requirement, or,
If you were issued a notice of incomplete response, proof that your response was properly signed.

Do not include additional arguments or specimens of use, or your petition will be denied and your petition fee will not be refunded.

Resource: Reviving an abandoned application, TMEP section 1713
Reinstate your application that was abandoned due to USPTO error

Form: Request for Reinstatement

When to file: File no later than two months after the issue date of your abandonment notice. If you haven't received a notice, file within two months of the date you

learned of abandonment, but no later than six months after the abandonment date in TSDR.

Purpose: Reinstate your abandoned application without a fee, in limited situations. You must show that your application was abandoned due to a USPTO error. Not receiving an office action or Notice of Allowance that was emailed to you is not a basis for reinstatement.

Resource: Reviving an abandoned application
Respond to a deficiency letter issued for your petition to revive

Form: Response to Petition to Revive Deficiency Letter

When to file: File within the time noted in the deficiency letter (usually 30 days).

Purpose: Respond to a Petition to Revive Deficiency Letter that issued because your petition to revive was incomplete.

Resource: Reviving an abandoned application
Request review of a denial of your petition to revive

Form: Petition to Director

In step 3 of the form, select "Other" and enter "review denial of a petition to revive."

When to file: File no later than two months after the issue date of your petition decision.

Purpose: Request review of the denial of your petition to revive. For example, if you didn't receive a petition to revive deficiency letter and your petition to revive was denied because you didn't respond, you can request that your petition to revive be reconsidered.

Resource: Reviving an abandoned application
Dispute someone else's trademark
Petition request Instructions
Protest a pending trademark

Form: Letter of Protest

When to file: File before the pending application is published or up to 30 days after publication.

Purpose: Provide evidence about the registrability of a trademark in a pending application that was filed by another trademark owner.

Resource: Letter of protest practice tips
Oppose a trademark at the TTAB

Form: Notice of Opposition

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In the Electronic System for Trademark Trials and Appeals (ESTTA), choose "Notice of Opposition" in the dropdown menu under "File a new proceeding."

When to file: File no later than 30 days after the publication date or within an extension period granted by the Trademark Trial and Appeal Board (TTAB).

Purpose: Provide evidence showing that you'll be damaged if someone else's trademark is registered on the Principal Register. For example, evidence of infringement on your trademark or of unlawful trademark use.

Resources: Initiating a new proceeding
Cancel a registration at the TTAB

Form: Petition to Cancel

In the Electronic System for Trademark Trials and Appeals (ESTTA), choose "Petition for Cancellation" in the dropdown menu under "File a new proceeding."

When to file: File any time after registration in most situations.

To request cancellation on the ground that the trademark has never been used in commerce, file your petition at least three years, but no more than five years after the registration date.

If you file your petition more than five years after the registration date, you can only request cancellation on the ground that the trademark has become generic.

See Trademark Act Section 14 for a description of these grounds.

Purpose: Provide evidence showing that you've been damaged by a federally registered trademark.

Resources: Initiating a new proceeding
Request cancellation of another trademark owner's goods or services if they were registered, but never in use (expungement)

Form: Petition for Expungement or Reexamination

In step 3 of the form, select the expungement option.

When to file: File at least three years after registration date.

Until December 27, 2023, we accept petitions to expunge for any registration that is at least three years old. After that, we'll only accept petitions to expunge if the registration is at least three, but no more than 10 years old.

Purpose: Request we institute a proceeding to cancel or partially cancel a registration if you have evidence showing that the trademark was never in use with one or more of the registered goods or services. If we institute a proceeding, the registrant will have an opportunity to respond and provide evidence of trademark use.

Requirements: Your petition must include:

A verified statement of the facts establishing that you've conducted a reasonable

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investigation concerning the trademark's use in commerce and explaining the basis for your petition

Proof that the trademark has never been in commerce

The petition fee per class of goods or services

Resource: USPTO implements the Trademark Modernization Act

Request cancellation of another trademark owner's goods or services if they were registered, but not in use by the date claimed by the registrant (reexamination)

Form: Petition for Expungement or Reexamination

In step 3 of the form, select the reexamination option.

When to file: File no later than five years after the registration date.

Purpose: Request we institute a proceeding to cancel or partially cancel a registration if you have evidence showing that the trademark was not in use by the date claimed by the registrant, which is either:

The application filing date if the application was based on use in commerce, or

The filing date of the Amendment to Allege Use, or the deadline to file a

Statement of Use (whichever is later) if the application was based on intent to use

If we institute a proceeding, the registrant will have an opportunity to respond and provide evidence of trademark use.

Requirements: Your petition must include:

A verified statement of the facts establishing that you've reasonably

investigated the trademark's use and explaining the basis for your petition

Self-evident proof that the trademark was not in use in commerce by the claimed date

The petition fee per class of goods or services

Resource: USPTO implements the Trademark Modernization Act

Requesting review of a TTAB decision

Use these petitions to request review of a Trademark Trial and Appeal Board (TTAB) decision.

Petition request Instructions

Request review of a TTAB interlocutory order

Form: Petition to Director

In step 3 of the form, select "Review TTAB Interlocutory Order or submit a reply brief."

When to file: File no later than 30 days after the issue date of the order.

Purpose: Request to reverse a non-final interlocutory order by the TTAB. You must show clear error or abuse of discretion.

Submit a reply brief for a TTAB interlocutory order under review

Form: Petition to Director

In step 3 of the form, select "Review TTAB Interlocutory Order or submit a reply brief."

When to file: File no later than 15 days after the filing date of the petition to review the TTAB interlocutory order.

Purpose: Submit a reply brief in response to a petition to the Director filed in a TTAB proceeding. There is no petition fee required to file a reply brief.

Request review of decision on request for extension of time to oppose

Form: Petition to Director

In step 3 of the form, select "Review TTTAB Interlocutory Order or submit a reply brief."

When to file: File no later than 15 days after the issue date of the decision to grant or deny the request.

Purpose: Request review of a TTAB decision on a request for an extension of time to oppose.

Other petitions

Petition request Instructions

Respond to a Petition Inquiry Letter

Form: Response to Petition Inquiry Letter

When to file: File within the time noted in the inquiry letter (usually 30 days).

Purpose: Respond to an inquiry letter you received because your Petition to Director was incomplete.

File on paper

Form: Petition to Director

In your paper petition, note your reason for filing as "Accept a paper submission when TEAS was unavailable on the filing deadline."

When to file: File on the submission due date.

Purpose: Request that we accept a paper submission if you can't file electronically on the due date. This petition should include your paper submission and all necessary fees.

Resource: Petition requirements for accepting a paper submission under Rule 2.147, TMEP section 1709

Request that we accept a paper submission you already filed

Form: Petition to Director

In step 3 of the form, select "Accept a previously submitted and timely filed paper

submission”

When to file: File no later than two months after the denial notice.

Purpose: Request that we accept a paper submission you already filed. This petition is only for:

- Applications with a Section 44(d) priority date
- Statements of Use (SOU) filed in the last six-month extension period
- Section 8 or 71 declarations of continued use or excusable nonuse with a statutory deadline
- Section 9 renewal applications with a statutory deadline.
- Section 70(c) applications for transformation with a statutory deadline
- Section 14 petitions to cancel a registration on the fifth anniversary of the registration date

Requirements: You must show that you met the minimum filing requirements and that you couldn't submit the filing electronically before the deadline.

Resource: Petition requirements for accepting a paper submission under Rule 2.147, TMEP section 1709
Redact information from public record

Form: Petition to Director
In step 3 of the form, select “Redact information from public view.”

When to file: File any time during the trademark process.

Purpose: Request that confidential or sensitive information be redacted from the public record due to an existing extraordinary situation.

Resource: Personal information in trademark records, TMEP section 503.06(f)
Request a waiver of the domicile address requirement

Form: Petition to Director
In step 3 of the form, select “Waive the requirement to provide my domicile address in an extraordinary situation.”

When to file: File any time during the trademark process.

Purpose: Request that we permit you to use a P.O. Box or c/o address as your domicile address in an extraordinary situation. For example, you have concerns for your physical safety or you conduct your business virtually and have no physical office.

Resource: Domicile Address FAQs
Withdraw an unauthorized filing

Form: Petition to Director
In step 3 of the form, select “Provide proof of an unauthorized filing.”

When to file: File any time during the trademark process.

Purpose: Provide proof that a filing in your trademark record was unauthorized, such as a response form filed by someone who doesn't have legal authority to represent you. Your petition fee will be refunded in cases where this petition is granted.

Resource: Unauthorized changes to your file

Decision examples:

Disregard express abandonment of application - granted. See petition filed March 21, 2022 and decision issued April 6, 2022.

Disregard maintenance filing - granted. See petition filed December 23, 2021 and decision granted April 19, 2022.

Request a waiver of fees for a federal government agency

Form: Petition to Director

In step 3 of the form, select "Request a waiver of fees for a federal government agency."

When to file: File any time during the trademark process.

Purpose: Request that we waive or refund fees submitted in connection with your trademark. This is only available to federal government agencies, not private citizens. There is no fee required to make this request.

Resource: Trademark Act Section 31

Petitions forms by number

See the applicable section above for instructions on each of these petitions, including deadlines, petition requirements, and resources.

Petition to Revive Abandoned application - Failure to Respond Timely to Office Action form

See "Reviving an abandoned application" for more information.

Petition to Revive Abandoned Application - Failure to File Timely Statement of Use or Extension Request form

See "Reviving an abandoned application" for more information.

Petition to Director form

See the section above that covers your current stage in the application process.

Petition to Make Special form

See "Applying for your registration" for more information.

Request to Restore Filing Date form

See "Applying for your registration" for more information.

Request to Make Special form

See "Applying for your registration" for more information.

Request for Reinstatement form

See "Reviving an abandoned application" for more information.

Due Diligence Petition (no longer in use)

Petition to Change the Filing Basis After Publication form

See "Updating your application after publication" for more information.

Letter of Protest form

See "Dispute some else's trademark" for more information.

Response to Petition to Revive Deficiency Letter form

See "Reviving an abandoned application" for more information.

Response to Petition Inquiry Letter form

See "Other petitions" for more information.

Petition to Revive with Request to Delete Section 1(b) Basis or to Delete Intent-to-Use Goods/Services After Notice of Allowance form

See "Reviving an abandoned application" for more information.

Petition to Director to Review Denial of Certification of International Application form

See "Applying for your registration" for more information.

Petition to Director for an International Application/Registration form

See "Applying for your registration" for more information.

If your contact information or attorney information has changed since your last filing, include the updated information in your form. Remember, your personal information becomes public in Trademark systems. To see the current information in your record or to view the status of your application or registration, see the Trademark Status and Document Retrieval (TSDR) system.

Next steps

After you file a petition, we review it to determine whether to grant your request. See our current trademark processing wait times to understand when your petition will be reviewed. If you have questions about your petition, contact the Trademark Assistance Center.

For more detailed information about petition requirements and the petitions process, see TMEP chapter 1700.

A monthly list of petition decisions issued by the Director is available.

Delegation of authority

Pursuant to 35 U.S.C. section 3, the Director delegated to the Commissioner for Trademarks the authority to decide any trademark petition filed under 37 CFR sections 2.66, 2.146, and 2.147, and to exercise, in connection with trademark matters, the discretion reserved in 37 CFR section 2.148. The Commissioner for Trademarks may further delegate this authority to the Deputy Commissioner for Trademark Operations and the Deputy Commissioner for Trademark Examination Policy. This authority can be further delegated by the above Deputy Commissioners as they deem necessary.

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20020423_OG 1..944

https://www.uspto.gov/web/trademarks/tmog/20020423_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...BELTS, BOOTS (U.S. CLS. 22 AND 39). TM 1 CLASS 28-TOYS AND ...

20031104_OG 1..866

https://www.uspto.gov/web/trademarks/tmog/20031104_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...FILED 5-6-1997. OWNER OF ERPN CMNTY TM OFC REG. NO. 395574 ...

20030520_OG 1..842

https://www.uspto.gov/web/trademarks/tmog/20030520_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...CAFE", APART FROM THE MARK AS SHOWN. TM 1 CLASS 25-CLOTHING ...

20040217_OG 1..690

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20041130_OG 1..635

https://www.uspto.gov/web/trademarks/tmog/20041130_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...NETWORK (U.S. CLS. 100, 101 AND 104). TM 1 CLASS ...
20031118_OG 1..737

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20040504_OG 1..650

https://www.uspto.gov/web/trademarks/tmog/20040504_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...11-28-1995. GLENN CLARK, EXAMINING ATTORNEY TM 1 SN ...
20031007_OG 1..695

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20040106_OG 1..752

https://www.uspto.gov/web/trademarks/tmog/20040106_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...10-7-1998. GUNLOK OWNER OF ERPN CMNTY TM OFC REG. NO ...
20030909_OG 1..810

https://www.uspto.gov/web/trademarks/tmog/20030909_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...USE 3-0-1993; IN COMMERCE 4-10-1994. TM 1 CLASS 10-MEDICAL ...
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https://www.uspto.gov/web/trademarks/tmog/20040224_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...USE 6-1-1997; IN COMMERCE 6-1-1997. TM 1 SN 75-408,544 ...
20031216_OG 1..761

https://www.uspto.gov/web/trademarks/tmog/20031216_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...NAMELY, DENTAL PLAS-TICS IN LIQUID FORM FOR PRODUCTION AND ...
20031223_OG 1..1071

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https://www.uspto.gov/web/trademarks/tmog/20030107_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class... AND PROCESS EN-GINEERING PLANTS FORMED THEREFROM FOR THE ...
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https://www.uspto.gov/web/trademarks/tmog/20030805_OG.pdf OFFICIAL GAZETTE of Jun. 26, 1973 (911 O.G. TM 210). Application in more than one class...HYDRATING SKIN,

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Can I register my trademark to use on regulated products (e.g. cannabis) and activities (e.g. gambling)?

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How can I find out if you have received my filing?

An answer was due in this proceeding a long time ago, and we have not received a copy of an answer from the defendant. What happens next?

When will my contested motion be decided?

When can I expect a final decision on my appeal?

When can I expect a final decision in my opposition or cancellation proceeding?

When can I expect a decision on my consented or uncontested motion?

Trademark Help - Trademark Trial and Appeal Board (TTAB) - General

Where can I call to get information about the TTAB?

What is a TTAB Proceeding?

What if my problem is not resolved or I need assistance with an unusual situation?

What if I want to make a suggestion about TTAB processes?

What is the hand delivery mailing address?

Can I submit papers by fax or email to the TTAB?

Can I look at a case file that is pending before the TTAB?

Will you fax or email me a copy of a paper from the file?

Where can I find more information on how the TTAB conducts proceedings?

Where can I find TTAB decisions?

Did all of the rule changes come into effect on Jan. 14, 2017?

Do the Jan. 14, 2017, rule changes apply to pending cases?

Rule 2.142(b)(3) specifies that citation to evidence in briefs should be to the electronic record. Can you provide examples?

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US-USPTO-gov-REF-1-830170193-Conduct-Specialist-Human-Resources-Brandi-Mehok-FC-20230524=5712723186

20230531_0458_US-USPTO-gov-REF-1-830170193-Conduct-Specialist-Human-Resources-Brandi-Mehok-FC-20230524=5712723186

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20230531_0851_US-USPTO-gov-REF-1-830170193-GROUP-ART-UNIT-2476-VIDAL-CARPIO-MARIELA-FC-20230524=5712721250

A VERY KIND AND THOUGHTFUL MESSAGE DIRECTING ME TO THE TRADEMARK ASSISTANCE CENTER (TAC) AND OFFERING AND INVITING ME TO CALL AGAIN IF I NEED FURTHER ASSISTANCE.

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04:18 2023-06-05

<https://www.uspto.gov/about-us/events/inventor-listening-session-patent-pro-bono-programs>

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Event summary

June 5, 2023

5:30 p.m. ET - 8:30 p.m. ET

Global Intellectual Property Academy Conference Center - Venice Room, USPTO Headquarters

600 Dulany Street
Alexandria, VA 22314

Register to attend in person

Virtual Location

Register to attend online

Other upcoming events

Intellectual Property Basics and Helpful Resources

Feb 2 - Dec 7, 2023

Trademark Public Advisory Committee fee setting hearing

Jun 5, 2023

Alexandria,VA

Attend DOCX filing training

Jun 6, 2023

USPTO Study on Patent Pro Bono Program: Listening Session for Inventors

The United States Patent and Trademark Office (USPTO) has issued a Federal Register Notice announcing a public listening session on June 5, 2023, 5:30-8:30 p.m. ET, in Alexandria, Virginia. The purpose is to seek feedback regarding the nationwide network of independently operated patent pro bono (free) programs.

The Unleashing American Innovators Act of 2022 (the Act or UAIA) requires the

20230705_RC COMMERCE-GOV WEBSITES VISIT

Director of the USPTO to complete a study of the patent pro bono programs and assess their functionality and health. The Act identified several areas of interest including:

Whether the patent pro bono programs are adequately serving prospective and existing participants.

Whether any participation requirements of the patent pro bono programs serve as a deterrent for prospective participants.

The degree to which prospective inventors are aware of the patent pro bono programs.

Whether the patent pro bono program may benefit by expanding participation to non-attorneys, including patent agents and patent paralegals.

A complete list of areas being addressed in the study is available in the Federal Register Notice.

Participation

For this listening session, the USPTO is seeking input from stakeholders that include, but are not limited to, inventors, small businesses, entrepreneurs, inventor groups, public interest groups, and the general public.

The USPTO welcomes feedback from stakeholders to support its study to evaluate the patent pro bono programs, which will be used to make recommendations to Congress regarding possible administrative and legislative actions. This listening session will include a presentation from stakeholders in the patent pro bono program and provide an opportunity for the public to directly comment on these areas of inquiry.

Registration is free, on a first-come, first-served basis. Registrants may attend the listening session in person in Alexandria, Virginia, or participate via a virtual WebEx conference. For in person attendance you must register on or before June 2, 2023. All participants must register to attend.

Session information

Agenda: The agenda can be found [here](#).

Biographies: Presenter Biographies are found [here](#).

Federal Register Notice: Additional details about the listening session are found in a Federal Register Notice published on April 12, 2023.

Additional information: For non-press inquiries, please contact Grant Corboy, at Grant.Corboy@uspto.gov

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If you are an individual with a disability and would like to request a reasonable accommodation, please submit your request to the contact information listed above.

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04:43 2023-06-05

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https://search.uspto.gov/search?affiliate=web-sdmg-uspto.gov&sort_by=&query=identity-verification&commit=Search

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<https://www.uspto.gov/about-us/events/learn-about-upcoming-identity-verification-trademark-filers> ...you'll learn about the upcoming identity verification requirement for all trademark...upcoming identity verification for trademark filers Identity ver ...

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<https://www.uspto.gov/trademarks/apply/2022-january-teas-and-teasi-release-highlights-id-verification> ...and TEASi updated to enable identity verification...Identity verification enabled On January 8, 2022, TEAS and TEASi were updated...updated to enable ...

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Mandatory trademark identity verification: new options and choosing user roles | USPTO

<https://www.uspto.gov/about-us/events/mandatory-trademark-identity-verification-new-options-and-choosing-user-roles> ...discuss important updates to identity verification, including expanded options...options for online verification and selecting the right user role for ...

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<https://www.uspto.gov/patents/contact-patents/mailing-and-hand-carry-addresses-united-states-patent-and-trademark-office> ...correspondence Paper Trademark identity verification forms should be sent to: Mail

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<https://www.uspto.gov/learning-and-resources/trademark-faqs> Application System (TEAS), Identity verification Trademark Official Gazette...the international applicant that is identical to the name of the ...

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<https://www.uspto.gov/web/patents/classification/uspc705/sched705.htm> ...62 Having printing detail (e.g., verification of mark) 63 Utility metering system...Anonymous user system 75 Transaction verification 76 Electronic ...

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Trademark Verified USPTO.gov Account Agreement

Identity verification for trademark filers

To better protect our customers from scams and fraudulent activities related to the trademark register, earlier this year the United States Patent and Trademark Office (USPTO) introduced identity verification for USPTO.gov account holders who use the Trademark Electronic Application System (TEAS) and TEAS international (TEASi). To complete this one-time process, customers can choose to verify their identities through a paper identity verification process or online via ID.me. Legal professional support staff, such as paralegals, must use the sponsorship tool and have their identities verified by their sponsoring attorney.

As of August 6, 2022, identity verification is required for USPTO.gov account holders to file through TEAS and TEASi. Read our related Director's blog and Federal Register Notice for more information.

If you have a filing deadline today and can't verify your identity in time, read our instructions for submitting documents by fax.

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Benefits of identity verification

Identity verification helps us deter bad actors who make fraudulent trademark filings and scam our customers. It's part of our ongoing initiative to strengthen the security of our trademark filing process and protect the integrity of the U.S. trademark register.

In 2019, we began requiring anyone accessing TEAS or TEASi to be logged in to a USPTO.gov account. Identity verification builds on that requirement by ensuring that account holders are who they say they are. It also lays the groundwork for future enhancements that will allow verified account holders to control and delegate access to their filings and prevent unauthorized changes.

The USPTO offers three different methods for stakeholders to verify their identities: two online through ID.me and one through our traditional paper verification process. Who is required to verify their identity?

You must verify your identity if you are a:

Trademark owner or corporate officer not represented by an attorney

U.S.-licensed attorney, including in-house counsel
Canadian attorney or agent

You must be sponsored by a verified attorney if you are:

A paralegal
Other support staff working for an attorney

If you are a paralegal or support staff, you can't use the online or paper verification processes. Instead, your sponsoring attorney must verify your identity. You must have a USPTO.gov account before you can be sponsored. See the sponsorship section for more information.

Who doesn't need to verify their identity?

In general, if you need to file or edit data in TEAS or TEASi forms, you must be logged in to an ID-verified USPTO.gov account. There are, however, some situations where verification is not required:

If the USPTO has previously verified your identity through the patent application process, you don't need to verify again, but you may need to select a trademark user role if we're unable to automatically determine it. See the instructions for patent-proofed customers in the common questions section below.

If you are a trademark owner represented by an attorney, you currently do not need to verify your identity to electronically sign forms that your attorney sends for your signature. You will, however, need to verify your identity to file once attorney representation ends.

Selecting your user role

play
Mandatory trademark identity verification

As part of the verification process, you'll choose a user role associated with your USPTO.gov account. Your user role tells us how you use our filing system and what verification options you need. Read the trademark user roles page for detailed information on selecting the right role for your filing situation. You can also watch the recording of our webinar on user roles.

The following user roles are available:

A trademark owner can file anything they need to on their own applications and registrations if they are unrepresented, or they can appoint an attorney to handle these matters for them.

A U.S.-licensed attorney can file all documents for their clients and can also sponsor support staff and paralegals to work under their supervision.

A Canadian attorney/agent can file certain, permitted documents for their clients and can also sponsor support staff and paralegals to work under their supervision.

Attorney support staff can assist with filing documents for clients, but must be sponsored by a verified attorney to do so.

Paper verification

The paper verification form must be notarized before mailing to the USPTO. Review the instructions for completing the paper verification form and follow these main steps:

- Create a USPTO.gov account if you haven't already
- Download and complete the paper ID verification form
- Present two forms of government identification to a notary public
- Mail the original, notarized form (no faxes or copies) to:

Mail Stop EBC
Commissioner for Trademarks
P.O. Box 1451
Alexandria, VA 22313-1451

Expect two to three weeks processing time. We recommend not waiting until after the deadline to verify by paper, as it will delay your ability to file electronically.

The name listed on your USPTO.gov account must be identical to the one submitted on the paper ID verification form. Processing will be delayed if the names don't match exactly, and you'll be asked to modify your USPTO.gov account name to match the name on the verification form.

Online verification

play

Using ID.me to verify your identity as a trademark filer

Two options for verifying your identity online

If you choose the online verification method, you have two options for verifying your identity:

- Self-service (requires biometric data in the form of a selfie)
- Video Chat Agent (does not require a selfie)

Both options require you to create an account with ID.me if you don't already have one. If you have an existing ID.me account, you must use that.

What you'll need to complete the process

In addition to a USPTO.gov account, you'll need:

A camera-equipped smartphone, tablet, or computer with an internet connection.

A government-issued photo ID with the name identical to the name on your USPTO.gov account. If they don't match, the name on your USPTO.gov account will be automatically updated to match your ID. See the step-by-step instructions on verifying with a driver's license or state ID or verifying with a passport. If you select the Video Chat Agent option, you'll need to provide additional identification documents.

ID.me will ask you for the following information, which will not be shared with the USPTO or anyone else:

Your Social Security number, which ensures your account can't be duplicated.

Permission to access your credit profile header information, which includes data such as your name, address history, and phone number. It does not involve checking your credit and has no impact on your credit score or rating.

Additionally, if you select the self-service option, you'll provide biometric data in the form of a selfie.

Getting started with online verification

To complete the ID verification process, follow these basic instructions:

Log in to your USPTO.gov account or create one now if you haven't already

Go to the Trademark account ID verification page by selecting any TEAS form link

Choose your user role

Select "Verify with ID.me" and follow the prompts on ID.me and choose either the self-service option or Video Chat Agent option

After you successfully complete online verification with ID.me, you may need to do any or all of the following before you can access TEAS forms:

Turn off pop-up blockers on USPTO.gov

Log out of your USPTO.gov account and clear your browser cache and cookies

Close and restart your browser. Log back into USPTO.gov.

Self-service option

This option typically takes less than 15 minutes. To complete, you will:

Take a selfie with your smartphone or computer. If using a webcam-equipped computer, please review ID.me's instructions for taking a selfie using a webcam.

Take a photo or upload images of your government-issued photo ID.

Provide your Social Security number and permit ID.me access to your credit profile header information to confirm you are who you say you are. This does not affect your credit rating.

In the event that ID.me can't automatically verify your identity with the information you provide—for example, if your selfie or photo of government ID is blurry—you'll be prompted to continue in a short video chat session where you will be required to show your physical documents. After the session, you'll receive an email with instructions on how to share your verification status with the USPTO. You must follow the instructions to complete the identity verification process and maintain access to our filing system.

Video Chat Agent option

This option does not require biometric data or use facial recognition technology. You will instead verify your identity in a live video chat with an ID.me representative. The time to complete the verification depends on the volume of customers and estimated wait times will be displayed.

To complete, you will:

Upload two primary forms of IDs or one primary and two secondary IDs.

Provide your Social Security number and permit access to your credit profile to confirm you are who you say you are. This does not affect your credit rating.

Once your documents have been reviewed, you will receive an email from ID.me inviting you to join a video call with an ID.me Video Chat Agent. An estimated wait time is displayed on screen for your convenience.

The Video Chat Agent will ask you a few questions and have you hold up your IDs to the camera so the Agent can verify your identity. Video sessions are recorded for security purposes and will be deleted within 30 days.

After verification, you'll receive an email with instructions for sharing your verification status with the USPTO. You must follow the instructions to complete the identity verification process.

ID.me and your privacy

ID.me uses your information solely to verify that you are who you say you are and prevent your account from being duplicated by anyone attempting to impersonate you. ID.me uses secure technology and federally compliant information protection practices to safeguard your data. You can view or change your privacy settings online at any time.

Keep in mind:

The USPTO does not receive any personally identifiable information from ID.me other than your name.

Your ID.me account is only used to verify your identity, and the USPTO does not require you to maintain it after that. However, in the future if you want to change your legal name or make certain changes to your USPTO.gov account, you'll need an active ID.me account to confirm the changes.

If you have already verified your identity using ID.me, you can delete your selfie and associated biometric data from your ID.me account at any time.

If you select the self-service option, you will provide biometric data in the form of a selfie. It will be deleted 24 hours after your ID.me account is created. ID.me matches your selfie to your government-issued photo ID using facial-recognition technology known as 1:1 matching.

The USPTO-specific ID.me page addresses many issues you may encounter during the ID.me process, and the ID.me privacy bill of rights explains how you are in complete control of your data during this process.

Sponsoring legal support staff

The Trademark sponsorship tool allows verified attorneys to sponsor paralegals and support staff to submit and edit documents on their behalf in TEAS and TEASi. Using the sponsorship tool, verified attorneys, paralegals, and support staff can manage their sponsorship requests, view sponsorship history, and more.

Before using the sponsorship tool, attorneys must be verified, and their sponsored

paralegals and support staff must have USPTO.gov accounts.

Paralegals and support staff can't use the online or paper verification processes. Instead, their sponsoring attorney verifies their identity at the time of sponsorship.

For a complete list of sponsorship functions and directions, see the Trademark sponsorship tool guide. For in-depth information on sponsorship verification requirements, see the Trademark Filing System Access Document.

Common questions

Expand the sections below to find answers to common questions about identity verification.

General

What if I'm not ready to verify yet?

Identity verification is mandatory. You will need a verified USPTO.gov account to file using TEAS or TEASi. Try to verify your account well before any filing deadlines. We can't make exceptions to statutory filing deadlines for customers unable to access TEAS or TEASi because their accounts were not verified.

Accounts

How does my USPTO.gov account work with identity verification?

A USPTO.gov account is required to access TEAS and TEASi. When you create a USPTO.gov account, you provide your name and primary email address. After verification, the name field is locked and your account is authorized. This helps the USPTO ensure that a person using the trademark system to enter information or make changes is authorized to do so.

Will the name and postal address in my verified USPTO.gov account appear in my TEAS or TEASi filings?

No. The name and postal address in your account is used only for validation purposes. It will not automatically appear on any form or on any filing that is available to the public. The information that appears publicly is the information that you submit in the TEAS or TEASi form.

What if I need to change my verified name, email address, or user role?

After your identity is verified, you won't be able to change certain details in your account without making a request. Email TEAS@uspto.gov for instructions on making changes to the following details in your USPTO.gov account:

- Account email address
- Verified name
- User role

When emailing us, please provide your current USPTO.gov account email address, name, and user role to help speed up the request.

Am I required to use my verified name when filing trademark documents?

No. The name on your account does not need to exactly match the name that you use when filing, as long as both names are truthful and meet our other signature requirements. We understand that many people experience issues updating their records after a name change. We also understand that many people use nicknames, middle names, or other names professionally. If, however, the name on a filing doesn't seem to identify the same person named in the account, we may inquire about it.

Can I use a company account?

No. Each USPTO.gov account must correspond to a single person. Company accounts are not currently allowed, and will continue to be disallowed for identity verification purposes. You won't be able to verify an account that has a company, firm, or other entity name in the account holder name fields.

Can I share my account with others?

No. Account sharing is not permitted under the Terms of Use for USPTO websites. However, if you are an attorney and need legal support staff to work on your behalf, you may sponsor them using the Trademark sponsorship tool.

Can I have duplicate accounts, such as one for myself and one for work?

Each individual person may only have one trademark verified account used to access trademark filing systems. This prevents bad actors from abusing our filing systems and scamming our customers. When filing, you may still enter your alternative contact information into the record. It doesn't need to be the same that is associated with your verified USPTO.gov account.

Can I use a separate account to pay for filings?

You may have only one trademark verified USPTO.gov account, and you must remain logged into that same account to enter your fee payment information during filing. It is currently not possible to pay for a filing from an unverified USPTO.gov account. However, to help manage your payments, you may do any of the following:

- Create and add multiple USPTO deposit accounts to Financial Manager in your trademark verified USPTO.gov account

- Contact the administrator of an existing deposit account and request that your trademark verified USPTO.gov account email address be added as an authorized user for it

- Use the "pay as a guest" feature to make a payment using a credit card that is not associated with your Financial Manager

I already verified my identity for patent filing purposes. Now what?

If you've previously verified your identity as a patent-proofed practitioner or patent-proofed independent inventor in order to access the patent filing systems EFS-Web and Patent Center, you do not need to verify your identity again to access TEAS or TEASi. Follow these steps to confirm your trademark verified status:

- Log into your USPTO.gov account that you've previously verified as a Patent customer.

 - Go to the Trademark account ID verification page.

 - Under "ID verification options," you'll see a message indicating you have already

been verified as a Patent customer. If you don't see this, you are not logged in with a patent-proofed account.

Below, select the appropriate trademark user role and continue.

Your account will be automatically updated.

Remember to log in with your patent-proofed USPTO.gov account. If you created a new account or logged in with any different account, you will be asked to verify your identity. Patent customers who are currently sponsored as Proofed Practitioner Support will need to be separately sponsored as Attorney Support Staff in the trademark system by a verified attorney using the Trademark sponsorship tool before they can access TEAS and TEASi.

Paper verification

How long will it take to verify my identity using the paper verification form?

We anticipate an initial processing time of two to three weeks after we receive your paper form. To ensure there are no additional delays, review the instructions for completing the paper verification form. Once identity verification becomes mandatory, you must wait until your paper form is processed before you can access TEAS or TEASi. If you're facing any deadlines, consider using the online verification method instead.

How will I know when processing is complete?

We'll send a confirmation to your USPTO.gov account's primary email address when your paper form verification is complete. We'll also notify you if there is any issue that requires further attention. Unfortunately we can't offer detailed tracking information or expedited service at this time.

Who can notarize the paper form in the United States?

Notaries commissioned by and operating in any state, U.S. territory, or the District of Columbia can notarize documents in person. Remote online notarization is not permitted at this time.

Online verification

I'm having issues with my ID.me account setup or completing the ID.me verification process.

Check the USPTO & ID.me help page for general questions about the verification process. If you're still having issues setting up your account or completing the verification process, you can submit a request for support to ID.me. The USPTO will work with ID.me customer service to make sure our trademark customers' identity verification problems are resolved.

I completed verification with ID.me, but I still can't access TEAS forms.

If you successfully completed verification with ID.me, but you are returned to the identity verification page in TEAS and can't access TEAS forms, you may be experiencing a known technical issue. Please do the following:

Turn off pop-up blockers on USPTO.gov

Log out of your USPTO.gov account and clear your browser cache and cookies

Close and restart your browser. Log back into USPTO.gov.

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If you're still unable to access TEAS forms after this, email TEAS@uspto.gov and indicate that you are experiencing the "verification loop problem." To speed up the request, include the name and email address associated with your USPTO.gov account. What time of day can I access online verification?

You can verify your identity online 24 hours a day, seven days a week. If you can't complete the automated verification process and are routed to a video call with an ID.me Video Chat Agent, there may be a wait time depending on call volume. What standards does the online verification process follow?

The online verification process uses ID.me, which meets NIST's 800-63-3 guidelines for Identity Assurance Level 2.

User roles

What is my user role?

Read the trademark user roles page for detailed information about selecting the right role for your filing situation.

Sponsorship

How do I get sponsored?

After your supervising attorney verifies their own identity, they can use the Trademark sponsorship tool to sponsor you. You must have a USPTO.gov account and provide your sponsoring attorney with the email address associated with it. Your supervising attorney can then sponsor you in less than a minute. Ensure that the name in your USPTO.gov account is up-to-date and accurate or your supervising attorney may not be able to sponsor you.

Should all the attorneys I work with sponsor me, or is just one enough?

All verified attorneys who are supervising your work must sponsor you because they are responsible for your work. Though you will be able to access TEAS or TEASi after just one attorney sponsors you, if that attorney withdraws their sponsorship, you'll lose access to TEAS or TEASi and be unable to work on behalf of other attorneys until they sponsor you.

How do I use the Trademark sponsorship tool?

Only verified attorneys and their support staff can use the Trademark sponsorship tool. Attorney support staff will not be able to access it until at least one attorney has verified their identity by sponsoring them. After verification, you can view and manage your sponsorships in the tool. Consult the Trademark sponsorship tool guide for detailed information and examples.

Getting help

How can I get help with my USPTO.gov account or verifying my account using any method other than ID.me?

Send any questions by email to TEAS@uspto.gov.

How can I get help with ID.me?

If you're having issues specific to the ID.me verification process and require assistance, you may be able to solve the problem by video conferencing with an ID.me Video Chat Agent. If that doesn't work, please open an ID.me support ticket using the

following steps:

- Visit the ID.me help page
- Scroll to the bottom of the page and select "Submit a request"
- Provide the email address that you used to register with Id.me
- From the "How can we help you?" dropdown, select "I Need Help Getting Verified"
- Select "United States Patent and Trademark Office (USPTO)" in the "What verification are you completing?" dropdown
- Add a description of the issue you're running into. You can attach files, such as screenshots of any error messages
- Submit your request

An ID.me Member Support Representative will reach out to you via email to help you resolve your issue. If you do not hear back from ID.me in 24 hours, please email them again and notify the USPTO.

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20230605_0453_identity-verification

Paper verification

The paper verification form must be notarized before mailing to the USPTO. Review the instructions for completing the paper verification form and follow these main steps:

- Create a USPTO.gov account if you haven't already
- Download and complete the paper ID verification form
- Present two forms of government identification to a notary public
- Mail the original, notarized form (no faxes or copies) to:

Mail Stop EBC
Commissioner for Trademarks
P.O. Box 1451
Alexandria, VA 22313-1451

Expect two to three weeks processing time. We recommend not waiting until after the deadline to verify by paper, as it will delay your ability to file electronically.

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The name listed on your USPTO.gov account must be identical to the one submitted on the paper ID verification form. Processing will be delayed if the names don't match exactly, and you'll be asked to modify your USPTO.gov account name to match the name on the verification form.

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<https://www.uspto.gov/sites/default/files/documents/TM-id-verification-form.pdf>

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1-866-217-9197 (toll-free)

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6:00 a.m. to 12:00 midnight, Eastern Time, Monday through Friday

E-mail: ebc@uspto.gov

Fax: 571-273-0177

Postal Address: Mail Stop EBC

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

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If you are having a problem with a system on the website, you are experiencing a problem after regular USPTO business hours, or you are not even exactly sure what is wrong, our staff of highly trained customer support specialists can help you identify the root problem, assist you, direct you to the appropriate call center, or escalate your issue to a specialized group as needed. We are here to help!

Major Support Areas

- Submitting your Patent Application via EFS-Web

- Viewing Application information in Private PAIR

- Searching for Patents in AppFT and PatFT

- Patent Electronic System Verification Form and Customer Number Issues and Assistance

- USPTO.gov account authentication for Private PAIR and EFS-WEB including Web Browser Problems

- Technical Problems or Errors with your Patent Application

- PDX/DAS Registration Inquires and Issues

- Technical Problems with Biotech Tools such as PatentIn and Checker

Becoming a Registered USPTO.gov Account User

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Related Forms

- Patent Electronic Verification Form [PDF, 63kB] Instructions [73kB PDF Document]

This form is used to register your USPTO.gov account which will provide you the means to gain secure access to your application information as well as public domain info.

- Customer Number Request form [fillable PDF, 208kB]

This form is used to request a customer number.

New - Customer Number requests can now be submitted online via Private PAIR where you will immediately receive your new number - More info

- Request for Customer Number Data Change [fillable PDF, 180kB]

This form is used to request changes in current customer number information.

- Customer Number Upload Spreadsheet [MS Excel, 241kB]

This is a spreadsheet template used to associate your customer number with your applications.

One can additionally file a change of correspondence address form PTO/AIA/122 or PTO/SB122 to an individual application to change the correspondence address to a customer number.

New - Correspondence and Maintenance Fee address changes can now be submitted online via Private PAIR - More info

*Address changes made via Private PAIR are only available to registered

practitioners who have been given power of attorney in the application.

[Request to Update a PCT Application with a Customer Number form \[MS Word, 34.5kB\]](#)

This allows you to associate a PCT application in the International phase with a Customer Number for purposes of viewing the PCT application in Private PAIR.

Additional Info

[Patent Electronic System Access Document](#) serves as a source document for additional information on the components for accessing the patent electronic system.

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January 2023

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§2.20 Declarations in lieu of oaths.

Instead of an oath, affidavit, or sworn statement, the language of 28 U.S.C. 1746, or the following declaration language, may be used:

The signatory being warned that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements and the like may jeopardize the validity of the application or submission or any registration resulting therefrom, declares that all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

[31 FR 5261, Apr. 1, 1966; 64 FR 48900, Sept. 8, 1999, effective Oct. 30, 1999; 80 FR 33170, June 11, 2015, effective July 11, 2015]

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37> PART 2> §2.206-§2.209

§2.206-§2.209 FEES AND PAYMENT OF MONEY IN TRADEMARK CASES

§2.206 Trademark fees payable in advance.

(a) Trademark fees and charges payable to the Office are required to be paid in advance; that is, at the time of requesting any action by the Office for which a fee or charge is payable.

(b) All fees paid to the Office must be itemized in each individual trademark application or registration file, or trademark proceeding, so that the purpose for which the fees are paid is clear. The Office may return fees that are not itemized as required by this paragraph.

[Added 68 FR 48286, Aug. 13, 2003, effective Sept. 12, 2003]

§2.207 Methods of payment.

(a) All payments of money required in trademark cases, including fees for the processing of international trademark applications and registrations that are paid through the Office, shall be made in U.S. dollars and in the form of a cashier's or certified check, Treasury note, national bank note, or United States Postal Service money order. If sent in any other form, the Office may delay or cancel the credit until collection is made. Checks and money orders must be made payable to the Director of the United States Patent and Trademark Office. (Checks made payable to the Commissioner of Patents and Trademarks will continue to be accepted.) Payments from foreign countries must be payable and immediately negotiable in the United States for the full amount of the fee required. Money sent to the Office by mail will be at the risk of the sender, and letters containing money should be registered with the United States Postal Service.

(b) Payments of money required for trademark fees may also be made by credit card, except for replenishing a deposit account. Payment of a fee by credit card must specify the amount to be charged to the credit card and such other information as is necessary to process the charge, and is subject to collection of the fee. The Office will not accept a general authorization to charge fees to a credit card. If credit card information is provided on a form or document other than a form provided by the Office for the payment of fees by credit card, the Office will not be liable if the credit card number becomes public knowledge.

[Added 68 FR 48286, Aug. 13, 2003, effective Sept. 12, 2003; amended 69 FR 43751, July 22, 2004]

§2.208 Deposit accounts.

(a) For the convenience of attorneys, and the general public in paying any fees due, in ordering copies of records, or services offered by the Office, deposit accounts may be established in the Office. A minimum deposit of \$1,000 is required for paying any fees due or in ordering any services offered by the Office. The Office

will issue a deposit account statement at the end of each month. A remittance must be made promptly upon receipt of the statement to cover the value of items or services charged to the account and thus restore the account to its established normal deposit. An amount sufficient to cover all fees, copies, or services requested must always be on deposit. Charges to accounts with insufficient funds will not be accepted. A service charge (§ 2.6(b)(11)) will be assessed for each month that the balance at the end of the month is below \$1,000.

(b) A general authorization to charge all fees, or only certain fees to a deposit account containing sufficient funds may be filed in an individual application, either for the entire pendency of the application or with respect to a particular document filed. An authorization to charge a fee to a deposit account will not be considered payment of the fee on the date the authorization to charge the fee is effective as to the particular fee to be charged unless sufficient funds are present in the account to cover the fee.

(c) A deposit account holder may replenish the deposit account by submitting a payment to the Office. A payment to replenish a deposit account must be submitted by one of the methods set forth in paragraphs (c)(1), (c)(2), (c)(3), or (c)(4) of this section.

(1) A payment to replenish a deposit account may be submitted by electronic funds transfer through the Federal Reserve Fedwire System, which requires that the following information be provided to the deposit account holder's bank or financial institution:

- (i) Name of the Bank, which is Treas NYC (Treasury New York City);
- (ii) Bank Routing Code, which is 021030004;
- (iii) United States Patent and Trademark Office account number with the Department of the Treasury, which is 13100001; and
- (iv) The deposit account holder's company name and deposit account number.

(2) A payment to replenish a deposit account may be submitted by electronic funds transfer over the Office's Internet Web site (<http://www.uspto.gov>).

(3) A payment to replenish a deposit account may be addressed to: Mail Stop Deposit Accounts, Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450.

[Added 68 FR 48286, Aug. 13, 2003, effective Sept. 12, 2003; amended 69 FR 43751, July 22, 2004; 70 FR 56119, Sept. 26, 2005, effective Nov. 25, 2005; 73 FR 67759, Nov. 17, 2008, effective Jan. 16, 2009; 81 FR 72694, Oct. 21, 2016, effective Jan. 14, 2017; 86 FR 35229, July 2, 2021, Effective July 2, 2021]

§2.209 Refunds.

(a) The Director may refund any fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee, such as when a party desires to withdraw a trademark application, appeal or other trademark filing for which a fee was paid, will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts. If a party paying a fee or requesting a refund does not provide the banking information necessary for making refunds by electronic funds transfer (31 U.S.C. 3332 and 31 CFR part 208), or instruct the Office that refunds are to be credited to a deposit account, the Director may require such information, or use the banking information on

the payment instrument to make a refund. Any refund of a fee paid by credit card will be by a credit to the credit card account to which the fee was charged.

(b) Any request for refund must be filed within two years from the date the fee was paid, except as otherwise provided in this paragraph. If the Office charges a deposit account by an amount other than an amount specifically indicated in an authorization (§ 2.208(b)), any request for refund based upon such charge must be filed within two years from the date of the deposit account statement indicating such charge, and include a copy of that deposit account statement. The time periods set forth in this paragraph are not extendable.

[Added 68 FR 48286, Aug. 13, 2003, effective Sept. 12, 2003]

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Oversight Areas

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Bureau of Industry and Security

U.S. Census Bureau

Economic Development Administration

Economics and Statistics Administration

First Responder Network Authority

International Trade Administration

Minority Business Development Agency

National Oceanic and Atmospheric Administration

National Telecommunications and Information Administration

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DEPARTMENT OF COMMERCE

Office of the Secretary

RIN: 0690-XX07; Docket No. 020430099-2226-02

Guidelines for Ensuring and Maximizing the Quality, Objectivity, Utility, and Integrity of Disseminated Information

AGENCY: Department of Commerce.

ACTION: Notice

SUMMARY: Section 515 of Public Law 106-554, the Treasury and General Government Appropriations Act for Fiscal Year 2001, directs the Office of Management and Budget (OMB) to issue government-wide guidelines that "provide policy and procedural guidance to Federal agencies for ensuring and maximizing the quality, objectivity, utility, and integrity of information (including statistical information) disseminated by Federal agencies." The OMB guidelines require that agencies subject to the OMB guidelines must establish administrative mechanisms allowing affected persons to seek and obtain correction of information maintained and disseminated by the agency that does not comply with the OMB guidelines or the agency guidelines. The OMB final guidelines were published in the Federal Register on February 22, 2002. Those guidelines direct that, by October 1, 2002, agencies publish their information quality guidelines.

The Department of Commerce published its draft guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of disseminated information on its Internet Web site on May 1, 2002 and in the Federal Register on May 3, 2002 (67 FR 22398). The Department of Commerce's response to the comments received is included in the SUPPLEMENTARY INFORMATION section of this document.

This document implements section 515 for the Department of Commerce and defines the Department of Commerce's information quality guidelines. It may be revised periodically, based on experience, evolving requirements in the Department of Commerce, and concerns expressed by the public.

ADDRESSES: Correspondence should be sent to Thomas N. Pyke, Jr., Chief Information Officer, Department of Commerce, 14th St. and Constitution Ave. NW, Room 5029B, Washington, DC 20230. Send e-mail to informationquality@doc.gov.

Department of Commerce operating units will publish their information quality standards on the Web sites listed in the SUPPLEMENTARY INFORMATION section of this document. Correspondence on the operating unit standards should be addressed directly to the contact noted in the operating unit standards.

FOR FURTHER INFORMATION CONTACT: Jennifer Jessup, Office of the Chief Information Officer, Department of Commerce, 14th St. and Constitution Ave. NW, Room 6625, Washington, DC 20230. Telephone (202) 482-0336 or by e-mail to JJessup@doc.gov

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SUPPLEMENTARY INFORMATION:

BACKGROUND

The U.S. Department of Commerce ("Commerce" or "Department") is one of the most diverse Federal departments, both in terms of its mission and the information it

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provides to the public. We are responsible for daily weather reporting, facilitating the use of technology both at home and in the workplace, collecting statistics that assist the public and private sector, and supporting the environmental and economic health of U.S. communities. Our mission is to promote job creation and improve living standards for all Americans by creating an infrastructure that encourages economic growth, technological competitiveness, and sustainable development, conservation, and wise use of living marine resources.

To carry out this mission, three strategic goals have been identified. They are to provide the information and the framework to enable the economy to operate efficiently and equitably; provide the infrastructure for innovation to enhance U.S. competitiveness; and observe and manage the Earth's environment to promote sustainable growth.

Commerce provides the basic economic data necessary to develop sound business decisions, producing many of the commonly used economic statistics issued by the U.S. Government. The Department also produces information designed to encourage the use of science and technology in the production of consumer goods and services.

Commerce plays an important role in the nation's global business development. The Department develops and disseminates foreign market research and international trade opportunities through its offices in the United States and in 83 foreign countries. Commerce also monitors and enforces compliance with U.S. trade laws and agreements, and defends American firms from injurious foreign business practices by administering U.S. antidumping and countervailing duty laws.

The oceanic and atmospheric programs at Commerce improve the understanding and rational use of the natural environment to further the Nation's safety, welfare, security, and commerce. These responsibilities include predicting the weather, charting the seas, and protecting the oceans and coastal areas.

Domestically, Commerce's programs promote long-term business enterprises that create jobs for minority groups and in underdeveloped areas across the United States. These programs are supported by reports, publications, projections, and business expertise. The Department provides services to citizens and private business as well as to state, local, and tribal governments.

COMMERCE COMMITMENT TO INFORMATION QUALITY

Given the broad responsibilities of the Commerce Department in scientific, technical, and statistical information, Commerce welcomes the opportunity provided by the issuance of the Office of Management and Budget information quality guidelines to demonstrate our thorough and professional approach to information release.

Our goal is to ensure and maximize the quality of the information we release to the public. We are committed to making the methods, models, and processes that produce our information transparent and rigorous. At the Commerce Department, we have a long tradition of producing relevant, credible, high quality information to the public at large, the academic community, and the private sector.

We believe that we uphold a high standard regarding information quality through the use of quality control procedures for statistical data collection and processing. The 2000 decennial census, conducted by the Census Bureau, was the most accurate census in the history of the Nation. Commerce has made significant strides in redesigning the national income

and product accounts by improving the conceptual foundation and incorporating new estimating methods and other statistical improvements. Our scientific research incorporates both internal and external peer review as appropriate. The Department boasts two Nobel Prize winners in science. We operate supercomputers that rank in the Nation's top ten in processing power. These powerful computers allow us a high degree of model resolution that increases the number of data points used to improve the accuracy of weather forecasts.

In summary, these Commerce guidelines are a continuation of our commitment to information quality. We have a proven track record in producing high quality information and welcome the opportunity to present our information quality guidelines.

GUIDELINES FOR ENSURING AND MAXIMIZING THE QUALITY, OBJECTIVITY, UTILITY, AND INTEGRITY OF INFORMATION DISSEMINATED BY THE DEPARTMENT OF COMMERCE AND ITS OPERATING UNITS

Because of the diversity of Commerce's mission, we have taken a distributed approach to preparing our information quality guidelines. Outlined below are the responsibilities of the Department of Commerce and the responsibilities of the individual operating units of the Department.

I. Department of Commerce Responsibilities

The Department of Commerce Chief Information Officer (CIO) will prepare and submit reports annually to the Director of the Office of Management and Budget (OMB) regarding the number and nature of complaints received by the Department of Commerce regarding Department compliance with the OMB guidelines concerning the quality, objectivity, utility, and integrity of information and how such complaints were resolved, as required by Section 515 of the Treasury and General Government Appropriations Act for FY 2001 (Public Law 106-554) and the OMB Guidelines.

II. Operating Unit Responsibilities

The operating units of the Department are organizational entities outside the Office of the Secretary charged with carrying out specified substantive functions (i.e., programs) of the Department. For purposes of this document, operating unit responsibilities will apply to the Office of the Secretary also.

1. By October 1, 2002, document and make available to the public information quality standards that address the requirements of quality, objectivity, utility, and integrity for all non-exempt information disseminated by the operating unit.
2. By October 1, 2002, establish administrative mechanisms allowing affected persons

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to seek and obtain correction of information maintained and disseminated by the operating unit on or after October 1, 2002, that does not comply with these Department guidelines and the OMB guidelines.

The operating unit will respond to all initial requests within 60 calendar days of receipt. If the request requires more than 60 calendar days to resolve, the operating unit will inform the complainant that more time is required and indicate the reason why and an estimated decision date. The operating unit will respond to all requests for appeals within 60 calendar days of receipt. If the request requires more than 60 calendar days to resolve, the operating unit will inform the complainant that more time is required and indicate the reason why and an estimated decision date.

In cases where the operating unit disseminates a study, analysis, or other information prior to the final operating unit action or information product, requests for correction will be considered prior to the final operating unit action or information product in those cases where the operating unit has determined that an earlier response would not unduly delay issuance of the operating unit action or information product and the complainant has shown a reasonable likelihood of suffering actual harm from the operating units dissemination if the operating unit does not resolve the complaint prior to the final operating unit action or information product.

Note: The guidelines addressed in items 1 and 2 cover information disseminated on or after October 1, 2002, regardless of when the information was first disseminated, except that pre-dissemination review procedures shall apply only to information first disseminated on or after October 1, 2002. Covered information disseminated will comply with all applicable OMB Information Quality Guidelines as well as these Department of Commerce Information Quality Guidelines.

3. Beginning on October 1, 2002, demonstrate in the operating unit's Paperwork Reduction Act (PRA) submissions to OMB the "practical utility" of a proposed collection of information that the operating unit plans to disseminate. Additionally, for all proposed collections of information that will be disseminated to the public, demonstrate in the operating unit's PRA clearance submissions to OMB that the proposed collection of information will result in information that will be collected, maintained, and used in a way consistent with applicable information quality guidelines.

4. Assist the Department CIO in the preparation of annual reports to OMB by providing information requested by the Department CIO.

RESPONSE TO COMMENTS

The Department and its operating units received eleven responses to the request for comments. Four responses were received from public interest groups; one was from a voluntary professional association; two were from a for-profit corporation; and four were from industry associations. Some of the comments contained in the submissions were addressed either to the entire Federal government or to agencies other than the Department. In this notice, the Department is responding only to comments relevant to its applicable information quality standards. In addition, the Department has

received further guidance from OMB (OMB guidance, June 10) on the development of information quality guidelines, which helps the Department respond to some of the comments. A detailed analysis of the comments, and the Department's response based on both the comments and the OMB guidance, follows.

General

Comment: Some commenters suggested that the Department and its operating units should view information quality as a "performance goal." One of these commenters requested, in particular, that the National Oceanic and Atmospheric Administration (NOAA) list the names of the component offices (e.g., National Marine Fisheries Service, National Weather Service, etc.) that will be subject to the guidelines.

Response: In keeping with the guidance provided by OMB, the Department views its information quality guidelines as performance standards. NOAA's information quality guidelines apply to all its line (component) offices.

Comment: Some commenters suggested that the Department provide additional, subsequent opportunity in the future for further public comment on the guidelines after publication on October 1, 2002. These commenters noted that the Department's guidelines lack a centralized focus and commitment to implementation of the new information quality and oversight system and administrative correction mechanisms. These commenters stated that the Department must establish a complete, centrally focused and harmonized information correction system.

Response: Pursuant to public request, the Department extended for 30 days the period for public comments on its draft guidelines. While the Department would like to gather additional public input, further extension of the public comment period, or a further round of comments, is not possible due to the statute's October 1, 2002, deadline for implementation of the Department's information quality guidelines.

Comment: Some commenters noted that the Department's guidelines lack a centralized focus and commitment to implementation of the new information quality and oversight system and administrative correction mechanisms. These commenters stated that the Department must establish a complete, centrally focused and harmonized information correction system.

Response: As to adopting a single, central information correction system, the Department's guidelines reflect the reality of the broad scope of the Department's mandate, from conducting each decennial census to forecasting the weather. In keeping with the first principle stated by OMB in its own guidance to federal agencies, a one-size-fits-all approach is not effective (67 FR at 8452). Were the Department or some of its component operating units (OUs) to attempt to apply a single centralized standard, it would necessarily be far less specific - and less effective as a performance standard - than the approach taken.

Comment: Several commenters urged the Department to establish a permanent, dedicated area on its Web site where all documents, notices of existing challenges to disseminated data, resolutions of those challenges, uncorrected information found wanting, and other items related to guidelines can be disseminated.

Response: The Department and its OUs will publish the information quality guidelines as well as other appropriate information on their respective Web sites for public use.

Comment: Some of the commenters pointed out that the guidelines fail to require that the dissemination of the corrected data will be accomplished in a manner equal to the dissemination of and proportional to the significance and importance of the original data.

Response: The form of corrective action will be determined by the nature and timeliness of the information involved and such factors as the significance of the error on the use of the information and the magnitude of the error.

Comment: Some commenters suggested that the Department's guidelines have not proposed complete, functional, and responsible administrative review mechanisms that will afford affected parties meaningful opportunity to ensure data quality and obtain timely correction of flawed information.

Response: OMB notes that under its guidelines "agencies need only ensure that their own guidelines are consistent with ... OMB guidelines, and then ensure that their administrative mechanisms satisfy the standards and procedural requirements in the new agency guidelines." (67 FR at 8453) In keeping with this directive, the administrative review mechanisms adopted by the Department's OUs are designed to ensure a fair opportunity to seek and obtain correction of information that does not comply with applicable guidelines.

Comment: Some commenters urged a clear statement in the guidelines that these mechanisms are available for challenges based on alleged non-conformance with the OMB or the Department's guidelines.

Response: Administrative mechanisms are provided for appropriate challenges based on all applicable guidelines.

Comment: One commenter urged the Department to make every effort to clearly assert that the guidelines are not judicially reviewable and that the Department is not legally bound by the guidelines and has the right to depart from them when appropriate.

Response: The Department takes the mandate of Section 515 seriously and has published information quality guidelines and standards designed to ensure and maximize the quality of information that it disseminates and will comply with those guidelines and standards. The Department notes that the guidelines are not intended to provide any right to judicial review.

Comment: One commenter suggested that the Department state that public access to information is a central government responsibility that the agency will uphold and that the guidelines should not impose unnecessary administrative burdens that would inhibit agencies from continuing to disseminate information that can be of great benefit and value to the public. The commenter suggested that the Department should

look to Section 515 itself to determine the scope and components that are required to be in the guidelines. This commenter also stated that Section 515 should be reviewed as a clarification of the Paperwork Reduction Act (PRA) and that the Department should state that "quality" is only one factor to consider. The commenter stated that the agency must answer to its core substantive mission, operate within budgetary constraints, and consider the benefits of timely dissemination.

Response: The Department agrees that public access to information is a central government responsibility and intends to apply its information quality guidelines in ways conducive to wide dissemination of information that is of benefit and value to the public. The Department agrees that nothing in Section 515 is intended to diminish or interfere with the Department's core substantive mission and activities, or its ability to operate within budgetary constraints to timely disseminate beneficial information to the public.

Comment: One commenter urged the Department to provide appropriate policy direction to its operating units regarding the data quality standards and pre-dissemination review procedures to ensure that the OMB information quality standards will be met.

Response: Such policy direction has been an integral part of the Department's implementation of OMB's guidelines.

Comment: Some commenters noted that the Department should provide effective procedures for the timely correction of information determined to be flawed and for appropriate prohibitions on further use and dissemination of such information until it is corrected.

Response: In keeping with OMB's directive, the administrative review mechanisms adopted by the Department's OUs are designed to ensure a fair opportunity to seek and obtain correction of information that does not comply with applicable guidelines. In any given instance, the form of corrective action will be determined by the nature and timeliness of the information involved and factors including, but not limited to, the significance of the error on the use of the information and the magnitude of the error.

Comment: Some commenters noted that the Department should revise its draft guidelines to address the open issues, eliminate (or carefully circumscribe and narrow) the proposed exemptions and limitations, and set forth a complete, centrally focused data correction scheme for the Department that implements new information quality and oversight systems and the full administrative correction mechanisms contemplated by Congress and OMB. The commenters stated that the changes should include the specific measures recommended herein.

Response: The OMB guidelines clearly state that agencies should incorporate the standards and procedures required by OMB's "guidelines into their existing information resources management and administrative practices rather than create new and potentially duplicative or contradictory processes." (67 FR at 8453)

Scope

Comment: Several commenters stated that the Department should revise the "Scope" sentence to read: "These guidelines cover information disseminated (as defined in the OMB Guidelines) by the Department on or after October 1, 2002, regardless of when the information was first disseminated."

Response: The Department has clarified that it is the pre-dissemination review procedures that will apply only to information first disseminated on or after October 1, 2002. The Scope section now clearly states that the pre-dissemination review requirement applies to information that the agency first disseminates on or after October 1, 2002, and that the administrative correction mechanisms apply to information that the agency disseminates on or after October 1, 2002, regardless of when the agency first disseminated the information. This language is consistent with OMB's guidance to federal agencies.

Information Not Covered by the Department's Guidelines

Comment: Some commenters expressed concerns about the Department's exemption of certain information from the guidelines. Some of these commenters suggested that the exemptions be "eliminated or narrowly circumscribed" to prevent undermining the mandate of the Act. One commenter objected to OMB's creation of exemptions not authorized by Section 515 and the inconsistency between OMB's "dissemination" exemptions in its Section 515 guidelines with OMB's broader definition of "dissemination" in implementing the PRA. This commenter also objected to additional exemptions proposed by federal agencies. One commenter noted that OMB exempts some types and categories of information from the guidelines and argues that neither OMB nor the agencies has legal authority to exempt "any information that an agency has in fact made public." This commenter further objected to agency inclusion of OMB exemptions and to any agency interpretations, changes, or exemptions that differ from OMB's.

Response: The Department is implementing the guidance (guidelines and June 10 supplemental information) developed by OMB. Comments raising concerns with the OMB guidelines are outside the scope of the Department's actions. The Department has clarified that the exemption for press releases only applies to press releases themselves and not to any background information on which the press release is based. The Department and its OUs did not create exemptions in addition to those outlined by OMB.

Comment: Two commenters noted that Section 515 lists no exceptions to information disseminated by an agency and, therefore, the Department should not attempt to restrict coverage by narrowing the classifications of information covered. The commenters believe that all information disseminated by the Department should be covered by the guidelines, including information "initiated or sponsored" by the Department and third party information that the Department disseminates in a manner that reasonably suggests that the agency agrees with the information. The commenters suggested that the Department should include "information contained in rulemaking dockets" among the classes of information covered.

Response: The Department notes that the information not covered by the guidelines includes information that is not "disseminated" to the public by the Department (such

as intra- or inter-agency information or responses to requests through FOIA, the Privacy Act, etc.) and information that is already public (such as press releases, public filings, etc.). The Department also points out that all "information" "disseminated" - as those terms are defined by OMB - by the Department is covered by these guidelines, including third party information. In addition, OMB exempted some types and categories of information within the statutory directive to "provide policy and procedural guidance to Federal agencies for ensuring and maximizing the quality, objectivity, utility, and integrity of information." The Department has no control over the quality of information submitted to the agency during a rulemaking. However, any such information on which the Department might rely would be subject to the guidelines' provisions on third party information.

Comment: Several commenters suggested that these exemptions, especially, but not limited to, those covering adjudicatory proceedings and notice and comment-type proposed action, may undermine the mandate of Section 515. The commenters suggested that information dissemination as part of a proposed rule or proposed NRDAR plan not be excluded from the application of the guidelines. However, another commenter stated that the rulemaking process affords adequate procedures and opportunities for questioning and correcting information and that data disseminated from a rulemaking process should not be eligible for dispute under the information quality administrative mechanism.

Response: Regarding the commenters' suggestion that the Department include adjudicatory proceedings within the coverage of the guidelines, the Department notes that in the preamble to the OMB guidelines, OMB stated:

There are well-established procedural safeguards and rights to address the quality of adjudicatory decisions and to provide persons with an opportunity to contest decisions. These guidelines do not impose any additional requirements on agencies during adjudicative proceedings and do not provide parties to such adjudicative proceedings any additional rights of challenge or appeal (67 FR at 8454).

The Department agrees with this reasoning and has, therefore, retained the exemption for adjudicatory processes.

The Department's guidelines, including those of all the OUs, do not exempt information included in a rulemaking. However, the guidelines maintain the integrity of the rulemaking process by addressing requests for correction in a way that does not disrupt that process. This is in keeping with OMB's frequent reiteration, in its guidance, that disruption of existing processes is neither contemplated nor desired.

Further, the Department notes that the commenters may have misunderstood the language in its draft guidelines concerning such actions. Informal and formal rulemakings and Natural Resource Damage Assessment and Restoration Plans (NRDAR Plans) are subject to these guidelines. As such, the information quality standards remain applicable to information disseminated as part of a proposed rule or a proposed Natural Resource Plan.

Comment: Some commenters stated that there are no "case-by-case" exemptions from applicability of the guidelines and states that "Congress clearly intended OMB's Data

Quality guidelines to apply to all information that agencies subject to the PRA in fact make public." The commenters' examples suggest that, with regard to the meaning of "information," the reach of Section 515 is identical to that of the PRA. The commenters complain that agency proposals "exempt material relating or [sic] adjudicatory proceedings or processes, including briefs and other information submitted to courts." The commenters state that neither OMB nor any federal agency has authority to make this exemption.

Response: This exemption was listed specifically by OMB in its own information quality guidelines to federal agencies, and the Department believes it is appropriate and in keeping with long-established principles of adjudicative processes, which have many inherent safeguards.

Standards and Pre-dissemination Review: Influential information and objectivity

Comment: Two commenters pointed out that the Department failed to provide any guidance on how influential scientific or technical information will be subjected to the required higher standards for quality and greater transparency. These commenters stated that the high level of generality provides insufficient guidance to NOAA's Fisheries Service, whose technical fishery conservation and management data is used to regulate fisheries. Some other commenters stated that the Department failed to address appropriate standards of objectivity for influential information.

Response: The Department has revised the guidelines to provide clearer guidance on quality standards for influential information and objectivity. The Department recognizes the importance of influential information that may be used in decisions such as fishery conservation and management. NOAA has revised its guidelines to discuss meeting the objectivity standard for influential information.

Comment: One commenter stated that the Department should narrowly define "influential" information, employing a high threshold for coverage to maximize its flexibility and preserve its ability to act in a timely fashion.

Response: The Department recognizes that a balancing process is involved in defining "influential" information. In keeping with OMB's directive that each agency "define 'influential' in ways appropriate for it given the nature and multiplicity of issues for which the agency is responsible" (67 FR at 8460), the Department's OUs have defined "influential" in ways appropriate to their specific missions and activities, with the goal of ensuring and maximizing information quality.

Comment: Some commenters suggested that the Department should abandon its proposed "objectivity" standard and instead should adopt the "objectivity" standard established by OMB for non-scientific, non-financial and non-statistical information. These commenters stated that the Department should also direct its operating units to do the same.

Response: As the Department has noted above, OMB has stressed that its guidelines are intended to be flexible and that a one-size-fits-all approach has not been taken, and that it has deliberately allowed agencies to tailor their guidelines to their mission and activities.

Comment: Two commenters stated that the Department should define the categories of information that are "influential" scientific, financial, and statistical information and include within those categories all information disseminated in connection with NRDAR Plans. Two commenters objected to the fact that some agencies neither adopted OMB's definition of "influential" nor provided one of their own.

Response: The Department does not believe it is appropriate to list prospectively all information that may be "influential." Rather, the OUs have defined the term "influential," either by adopting or adapting OMB's definition of that term, and will characterize specific information as such when appropriate. Certain information, such as the gross domestic product, can readily be predicted to consistently meet BEA's definition. However, NRDAR Plans would not typically meet the "influential" threshold established by NOAA. Such Plans deal with site-specific liabilities of one or several persons responsible for unlawful releases of hazardous substances or oil. As such, NRDAR Plans are not expected to have a genuinely clear and substantial impact on major public policy and private sector decisions.

Comment: One commenter suggested that the Department should not unduly limit the concept of "quality" information by narrow definitions of the terms "objectivity, utility, and integrity." This commenter suggested that the Department should begin the description of objectivity by pointing out that the term "objectivity" includes both the substance of information and its presentation.

Response: The Department has revised the definitions of objectivity, utility, and integrity, to incorporate the suggestion concerning both the substance and presentation of information.

Comment: Some commenters objected to the use of policy-driven or mission-driven assumptions or factors by agencies in connection with risk assessments. These commenters stated that only numerical information or factors can be considered in risk assessments and that risk management policy decisions should be clearly separated from the presentation of scientific data and analysis.

Response: The Department believes that an agency's (or operating unit's) activities and decisions must be consistent with and based upon its statutory mandate. Nothing in Section 515 or in the OMB guidelines repeals or amends the specific statutes governing agency action. Consistent with these statutes, the guidelines of all the Department's OUs require an absence of bias in both the presentation and substance elements of objectivity. In addition, the Department and all of its OUs are committed to transparency about how analytic results are generated, in terms of the specific data used, the various assumptions employed, the specific analytic methods applied, and the statistical procedures employed, consistent with other compelling interests such as privacy, trade secrets, intellectual property, and other confidentiality protections.

Comment: Several commenters pointed out that NOAA completely failed to either adopt or adapt the quality principles of the Safe Drinking Water Act (SDWA) for risk assessment. Two commenters stated that federal agencies must adopt (not adapt) both the SDWA science quality and risk assessment standards unless they conflict with

other federal statutory requirements. Two of the commenters suggested that NOAA should adopt the SDWA standards, including a commitment to apply best available science for all influential scientific information it disseminates, including information disseminated in connection with NRDAR plans. These commenters stated that NOAA should specifically adopt the SDWA statutory risk criteria for health assessments and apply them to NRDAR plans.

Response: Although Section 515 does not mention either risk assessments or the Safe Drinking Water Act, the OMB guidelines clearly direct agencies to adopt or adapt the risk principles of the SDWA. Specifically, the OMB guidelines state that "[w]ith regard to analysis of risks to human health, safety and the environment maintained or disseminated by the agencies, agencies shall either adopt or adapt the quality principles applied by Congress to risk information used and disseminated pursuant to the Safe Drinking Water Act Amendments of 1996." NOAA's guidelines meet this requirement. NOAA has included in its guidelines a separate section discussing specifically the SDWA criteria for risk assessments. This discussion explains the adaptation of the SDWA criteria for "influential" information that constitutes assessment of risk to human health, safety, or the environment.

As to the suggestion by some commenters that the SDWA criteria apply to NRDAR Plans, the Department points out that NRDAR Plans are based upon existing statutory, regulatory, and other guidance that may not be completely compatible with the SDWA criteria. A natural resource damage assessment (NRDA) addresses the adverse impacts of past unlawful releases of hazardous substances or oil to determine the liability of the person(s) responsible for those unlawful releases. This liability is measured by the cost of actions to restore the natural resources injured by the releases. Each NRDA is highly fact, site, and party-specific. The impact of an NRDA on one or a few persons' liability for past actions does not constitute the forward-looking impact intended to be included in the category of influential information or SDWA risk assessment. NRDA's are not risk assessments as that term is used in the SDWA or the OMB guidelines. The action to be taken as a result of a NRDA is mandated by law and designed to return the environment to the condition it would have been had the release not occurred. Thus, NRDA's are not analyses of the possible effects on the environment of taking or not taking some future action as are SDWA risk assessments.

Comment: Two commenters urged NOAA to consider quality information as that which is "excellent, complete, up-to-date, and accurate." These commenters stated that NOAA should adopt and expand upon the standards set forth in the SDWA, with more specific guidance regarding all data, especially "original data." The commenters suggested these additional factors include:

- (1) whether the most accurate methods were used to collect information;
- (2) whether data measurement methodologies were validated;
- (3) whether quality assurance/quality control techniques were applied;
- (4) whether methods used produce data relevant to study hypotheses;
- (5) whether any experimental conditions were carefully controlled;

- (6) whether confounding factors were eliminated or successfully controlled;
- (7) whether covariates were successfully controlled;
- (8) whether the degree and source of measurement variation were determined;
- (9) whether the data were collected by those with requisite qualifications;
- (10) whether study materials/populations were representative of conclusions;
- (11) whether appropriate statistical methodologies were employed; and
- (12) whether weight-of-evidence analysis was applied to the information.

Response: All of the Department's OUs strive to maintain and disseminate information that is excellent, complete, up to date, and accurate and their guidelines are designed to achieve that goal. However, the suggested additional factors, which go beyond those enumerated in the SDWA, are not all appropriate to every review of influential information or to every risk assessment and therefore would not be appropriate as standards. The Department notes that NOAA has added additional criteria concerning risk assessment to its guidelines.

Comment: One commenter felt that OMB went far beyond the congressional mandate to inappropriately ask agencies to adapt or adopt the SDWA risk assessment principles. The commenter stated that Department should state that the type of peer review envisioned by the SDWA is inappropriate for all types of risk analysis and may conflict with underlying statutes.

Response: In keeping with OMB's guidance, the Department has adapted the risk assessment principles of the SDWA.

Standards and Pre-dissemination Review: Robustness

Commenter: One commenter stated that OMB's guidelines require robustness checks for information that the agency cannot disclose, such as Confidential Business Information, but which is material to information that the agency does disseminate. The commenter proposed a standard for such robustness checks. This commenter also stated that OMB's "'general standard' for these robustness checks is 'that the information is capable of being substantially reproduced, subject to an acceptable degree of imprecision'" (citing 67 FR at 8452, 8457).

Response: The OMB Guidelines state that:

In situations where public access to data and methods will not occur due to other compelling interests, agencies shall apply especially rigorous robustness checks to analytic results and document what checks were undertaken. Agency guidelines shall, however, in all cases, require a disclosure of the specific data sources that have been used and the specific quantitative methods and assumptions that have been employed. Each agency is authorized to define the type of robustness checks, and the

level of detail for documentation thereof, in ways appropriate for it given the nature and multiplicity of issues for which the agency is responsible.

Where an operating unit of the Department relies on information that cannot be disclosed to support influential information that it disseminates, it performs and discloses robustness checks according to the requirements set by OMB Guidelines and implemented in its own information quality guidelines.

Standards and Pre-dissemination Review: Third Party Data

Comment: Some commenters suggested that information generated by third parties, such as states, municipalities, and private entities, that is relied upon and disseminated by the Department is subject to the requirements of Section 515. The commenters stated that such information is subject to the same data quality standards, pre-dissemination review procedures, and administrative correction mechanisms as information generated by the Department.

Response: The Department has added language specifically dealing with third party information. The Department believes it may use reliable outside information, even though third-party sources such as states, municipalities, and universities are not themselves subject to Section 515. The scientific instrumentalities of such third parties play an appropriate role in providing scientific, financial, or statistical information to federal agencies.

The diverse operating units of the Department use such third-party information in varying ways. When used to develop information products or to form the basis of a decision or policy, this information is then subject to the OUs' guidelines. Thus, for an OU to use third-party information, it must be of known quality, and any limitations, assumptions, collection methods, or uncertainties concerning it must be taken into account.

Comment: Some commenters acknowledged a distinction between information generated outside the Department and not used, relied upon, or endorsed by the Department, but merely made public by the Department, and information generated outside the Department and used, relied upon, or endorsed by the Department. Two of these commenters stated that this was a distinction without a difference and that the guidelines should apply to both types of dissemination. One commenter stated that "the data quality guidelines should clearly state that they only apply to information disseminated from the agency itself and not when the agency is merely acting as a conduit of information."

Response: For Section 515 to apply, information must be "disseminated." By definition, "dissemination" means agency initiated or sponsored distribution of information to the public. OU guidelines apply to information that the OU disseminates. However, dissemination does not include distribution limited to government employees or agency contractors or grantees; intra- or inter-agency use or sharing of government information; and responses to requests for agency records under the Freedom of Information Act, the Privacy Act, the Federal Advisory Committee Act or other similar law. This definition also does not include distribution limited to correspondence with individuals or persons, press releases, archival records, public

filings, subpoenas or adjudicative processes. When an OU distributes information generated by a third party but in no way claims that information as its own, the OU will inform the public that the information is not subject to the Section 515 or applicable information quality guidelines.

Comment: One commenter discussed Federal agencies' use of third-party proprietary models, stating: "The OMB guidelines further explain that when public access to models is impossible for 'privacy, trade secrets, intellectual property, and other confidentiality protections, : an agency `shall apply especially rigorous robustness checks to analytic results and documents what checks were undertaken'." [sic]

Response: The Department agrees that when public access to models used to generate influential scientific, financial, or statistical information is impossible, especially rigorous robustness checks should be applied to analytic results and these checks should be disclosed.

Commenter: One commenter suggested that the Department prohibit use of third-party proprietary models that are barriers to public access to data in the guidelines, although the commenter did not cite a specific model.

Response: Without a specific indication of practices by the Department (or its OUs) using third-party models that the commenter finds objectionable, it is not possible to prepare a specific response. However, the Department strives for openness and transparency in all its scientific, financial, and statistical activities, consistent with applicable privacy, trade secrets, intellectual property, and other confidentiality protections.

Comment: Some commenters noted that the Department should develop provisions for new, and modify existing, contracts, cooperative agreements, and grants that require Department partners to furnish information that complies with the OMB and Department guidelines. The commenters also stated that these new provisions should prohibit use by these parties, in fulfilling their contractual, cooperative, or grant agreement obligations with the Department, of information that is not in compliance with the OMB and Department guidelines.

Response: The Department will consider any necessary modification of new and existing contracts, cooperative agreements, and grants with regard to the quality of information presented to the Department through these vehicles. However, such documents already contain provisions requiring work products to be of appropriately high quality.

National Assessment on Climate Change (NACC)

Comment: One commenter argued that, to the extent that the Department or NOAA refers or links to, or otherwise disseminates the first NACC, it is in violation of Section 515. The commenter further claimed that continuing to disseminate the NACC is unacceptable under the Act. The commenter continued with a lengthy, detailed condemnation of the NACC, produced by the U.S. Global Change Research Project (USGCRP).

Response: Although NOAA is one of many agencies that are partners in the USGCRP (<http://globalchange.gov/>), NOAA's activities in that capacity are the very sorts of activities that its mission requires. Any information that NOAA disseminates in connection with those activities, including any future contributions by NOAA to any collective product such as the NACC, will be in full compliance with NOAA's Information Quality Guidelines, when they become effective. However, any request for correction of the NACC itself should be addressed to the agency that created such information.

Standards and Pre-dissemination Review: Peer Review

Comment: One commenter asked what the standard is for rebutting the presumption of objectivity resulting from formal, independent, external peer review. Another commenter questioned whether the presumption of validity will apply if the agency does not comply with peer review criticism, views, or recommendations.

Response: Consistent with OMB's guidelines (67 FR at 8452, 8454), the Department's guidelines make clear that the presumption of objectivity resulting from formal, independent, external peer review is rebuttable and that the requester has the burden of rebutting the presumption that information subjected to formal, independent, external peer review is objective.

Comment: One commenter suggested that the Department should state that "influential" information will not be subject to new formal, external, independent peer review to meet the "objectivity" standard. The commenter noted that, where peer review is employed, the Department should commit to using appropriately balanced peer review panels and avoid conflicts of interest.

Response: Formal, independent, external peer review is sometimes available and is sometimes used, depending on the specific information and program involved. But other means are also used to ensure objectivity, according to the specific applicable information quality standards. Where peer review is used, the Department attempts to appropriately balance panels and to avoid conflicts of interest, while at the same time ensuring that reviewers have sufficient knowledge of the subject to provide meaningful review.

Melding of Processes

Comment: One commenter disagreed with the Department's position that "[r]equests to correct information contained within a Natural Resource Plan must be made during the public comment period provided when it is posted for comment." This commenter stated that Natural Resource Plans can be highly technical, and it is not always apparent whether they contain flawed information or conclusions at the time they are first disseminated. This same commenter stated that the provision in the draft guidelines stating that a comment or petition filed after a comment period has closed, "may be considered, at the discretion of the agency ... as a late comment." The commenter argued that Section 515 conveys independent rights granted to the public and neither Section 515 nor OMB's guidelines contain any such restrictions in instances where other notice and comment opportunities are available.

Response: The Department notes that, although Section 515 may not speak to requests for correction filed during a public comment period, OMB's guidance to the agencies does state that it is reasonable to meld the Section 515 correction process with a notice and comment process; therefore creating several procedures where an existing process will achieve the same purpose is unnecessary. Also, it is imperative that the operating unit drafting a rule or Natural Resource Plan be aware of and take into account any demonstration of incorrect information. Therefore, the guidelines continue to meld the Section 515 process into existing public input processes where appropriate. In addition, in some cases, public comment periods are required and shaped by existing statutes or regulations.

Comment: Some commenters believe that the draft guidelines excluded requests for information correction if they pertain to information disseminated as part of a proposed rule or a Natural Resource Plan, which is inconsistent with the objectives and terms of Section 515 and with the OMB directive providing affected parties the unfettered right to "timely" correction of flawed information. The commenters noted that this approach also fails to address or redress the injury affected persons may suffer outside the context of a specific rulemaking or Natural Resource Plan during the pendency of long rulemaking or Natural Resource Plan processes. The commenters noted that rulemakings, as well as natural resource damage assessments and restoration decisions and plans, may take years to complete, during which time discrete, easily resolved and/or important data correction requests may languish without response, all the while adversely affecting the general public and/or the requester who is entitled to a timely response under Section 515. The commenters stated that the Department's guidelines should provide that discrete requests for objective information correction are to be resolved in a timely fashion using the focused procedures of the guidelines, rather than the unwieldy and daunting vehicle of a rulemaking or some other extended decision-making process involving the opportunity for notice and comment.

Response: As explained earlier, the Department has not excluded from the administrative correction mechanism information disseminated as part of a proposed rule or a Natural Resource Plan. The Department notes that the responsible office may choose to provide a response prior to the completion of a rulemaking or Natural Resource Plan, if doing so is appropriate and will not delay the issuance of the final action in the matter, particularly if the complainant can demonstrate actual harm from the information or demonstrate substantial uncertainty as to whether the proposed rule or Natural Resource Plan will take an unusual length of time for final issuance.

Administrative Correction Mechanism

Comment: Some commenters stated that the information correction mechanisms fail to meet the spirit, purpose, and objectives of Section 515 and the OMB guidelines.

Response: The Department has made numerous changes in the administrative mechanism in response to these comments. The Department does not intend to discourage requests for correction or erect procedural barriers that could block legitimate complaints. It is in the best interest of the Department and the public to timely correct information that does not comply with its guidelines.

Savings Clause

Comment: Some commenters urged the elimination of the "savings clause" intended to exempt from coverage certain unidentified information challenges where unspecified "different procedures" for correction may exist.

Response: The Department has deleted the "savings clause" from its guidelines.

Affected person

Comment: Several commenters suggested that the Department provide a broader definition of "affected persons" who can invoke these mechanisms, consistent with Congressional intent in Section 515 and similar to the proposals of several federal agencies. These commenters stated that the guidelines should also include procedures to enhance notification of and participation by affected parties.

Some commenters argued that the Department and its operating units definition of "affected person" resembles judicial requirement for "standing," which neither Section 515 nor OMB's guidelines require. The commenters urged the Department to adopt a definition of "affected person" that includes "anyone who uses the information, benefits from it, or is harmed by it," as well as trade associations and other groups who represent such persons.

Response: The Department never intended to limit the class of affected persons. However, the Department has revised the definition of "affected person" to describe more clearly a broad class of affected persons. Further, the revised definition is broad enough to include trade associations and others who are related to or associated with persons who may be affected.

Responsible Office

Comment: Some commenters recommended that the Department designate which office within an operating unit would qualify as the responsible office that may decide initial information correction requests. Several commenters stated that the Department should create an independent, dedicated appeal board outside the program office within which the "responsible office" resides to ensure uniform, objective, and timely resolution of appeals of information correction request denials.

Response: The Department's operating units have taken varying approaches to designating the responsible office, in each case using a method that best fits their mission and activities. This is in keeping with OMB's guidance, which has provided flexibility so that "each agency will be able to incorporate the requirements of these OMB guidelines into the agency's own information resource management and administrative practices." (67 FR at 8452). Also, as the Department has noted above, OMB encouraged agencies to incorporate the standards and procedures required by its guidelines into their existing information resources management and administrative practices rather than create new and potentially duplicative or contradictory processes.

Comment: One commenter complained that some agencies do not provide any indication as to the official responsible for deciding the disposition of requests for correction.

Response: The operating units of the Department do provide this information.

Appeal Official

Comment: One commenter suggested that allowing the "Appeal Official" to be only one administrative level above the official who made the initial decision is not sufficiently removed from the office that issued the contested information to ensure sufficient objectivity. The commenter noted that appeals should be made to a centralized Department-wide official, such as the Department's Chief Information Officer or the Section 515 officer. The commenter also stated that the guidelines should clearly state that the appeals officer should act in an "ombudsman" capacity, to objectively assess information complaints and not endeavor to uphold the agency's stated position.

Response: In all cases, the Department's intent is for the review to be objective. The Appeal Official must be sufficiently removed to make a fair and objective review but at the same time needs to have enough expertise to understand the issues. This involves a balance that different operating units have met in different ways. However, in no case is the appeal official in the same office as the one that decided the initial complaint.

Comment: Some commenters asked for assurances that the heads of responsible offices and appeal officials will be provided sufficient resources to allow for meaningful initial information correction requests and appeals of denials of such within the presumptive 60-day time limit.

Response: The Department has designed its administrative mechanisms to achieve timely response to requests for correction within available resources.

Time Limits for Filing Requests

Comment: One commenter stated that the Department should "establish a timeliness requirement for requests after which an agency has the option to reject a request (e.g., a data quality complaint must be made within three month's of the information's release)."

Response: Since the information quality guidelines apply to information disseminated by the Department "on or after October 1, 2002, regardless of when the information was first disseminated . . .", the Department cannot limit requests for correction of information based on a specific dissemination date. Moreover, the Department believes that it is often difficult to define a specific date of dissemination of information from which to establish a timeliness requirement for a request for correction.

Comment: One commenter suggested that the Department clearly state that the burden of proof lies squarely with the requester to demonstrate both that they are an affected party and that the challenged information does not comply with OMB's guidelines.

Response: The Department and its operating units have added to their information quality guidelines a statement specifying that the burden of proof is on the requester to show both the necessity and type of correction sought and that, where appropriate, the requester has the burden of rebutting the presumption that information subjected to formal, independent, external peer review is objective. Additionally, the definition of "affected" has been changed. "Affected person" as now defined means an individual or entity that uses, benefits from, or is harmed by the disseminated information at issue. Any initial request for correction must include an explanation of how the requester is affected.

Timely Review

Comment: Some commenters addressed the issue of setting appropriate, specific time limits for agency decisions on information correction requests. Two of these commenters proposed language that provide agencies with flexibility for requests that may require a longer time frame for response without allowing open-ended delays for making decisions. Two commenters asked that the Department assure that proper and strict limits be imposed on the ability of the responsible offices to extend the time period for resolving initial information correction requests beyond the presumptive 60 day limit.

Response: The Department has retained the language in its draft guidelines: "An initial decision will be communicated to the requester, usually within 60 calendar days."

In order to assist the Department in making a timely response, it has added to its guidelines a list of corrective actions that may be taken in response to a correction request, based on the nature and timeliness of the information involved, as well as factors such as the significance of the error on the use of the information, and the magnitude of the error. Actions contained in that list include: personal contacts via letter or telephone, form letters, press releases, and postings on an appropriate Web site.

Comment: Some commenters suggested that the Department establish effective procedures and schedules for the timely correction of information determined to be flawed and for appropriate prohibitions on further use and dissemination of such information until it is corrected.

Response: The timetable for corrective action depends on many factors, including but not limited to: the magnitude and significance of the error, the timeliness of the information involved, the original form of dissemination, and the nature of the correction,. Any schedule for correction is dependent on these and other factors that cannot be determined in advance. According to the Department's model administrative mechanism, which is used by most of the operating units, the initial decision is a determination of whether the information should be corrected and what, if any, corrective action should be taken, and this decision is communicated to the requester.

Comment: Some commenters stated that the Department's guidelines set unreasonable time frames for filing and addressing complaints regarding some data that undercut

accuracy requirements. The commenters argued that an affected individual should be allowed to request correction at any time after improper data is disseminated, particularly for a fishery where timely, accurate distribution of data is paramount.

Response: Timeliness is an important factor in the determination of the appropriate response to an information correction request. The Department has addressed this issue in its revised guidelines by adding the list of corrective actions mentioned above, which recognizes timeliness as an important factor in determining a remedy and which includes withdrawal or correction of the information in question as a form of correction where appropriate. The guidelines now contain the statement: "The form of corrective action will be determined by the nature and timeliness of the information involved and such factors as the significance of the error on the use of the information and the magnitude of the error."

Comment: One commenter believes that agencies must provide a "specific time frame" for decisions on information correction requests.

Response: The Department provides time frames for response to requests for correction of information that it has disseminated. A single specific time limit for decision on requests for correction for all of the Department operating units is not possible because of the diverse missions of the Department's operating units. However, in all cases the Department will endeavor to respond as soon as reasonably possible, usually within 60 calendar days as stated in the Department's guidelines.

Initial Requests

Comment: One commenter suggested that the guidelines should explicitly state that the administrative mechanism applies only to corrections of factual information and that the Department will not consider interpretations of data and information, or requests for de-publishing. The commenter stated that to avoid wasteful duplication of effort the Department should limit complaints to information that is not already subject to existing data quality programs and measures (giving the example of rulemaking proceedings), and that complaints for any data quality standard that presents a potential moving target (i.e., "best available evidence") should be evaluated based on information available at the time of dissemination.

The commenter urged that the Department's response to correction requests should be proportional to the significance and importance of the information in question to establish the necessary flexibility to set aside a request that has been superseded or is otherwise outdated. The commenter also stated that the Department should limit the mechanism to only what is required in Section 515 to avoid any possibility of creating new rights under administrative law. Finally, the commenter noted that the Department needs adequate procedural safeguards to avoid becoming mired down in minor data disputes, bad faith requests, and frivolous, repetitive, or non-timely claims.

Response: Regarding consideration of interpretations of data and information, the Department's information quality guidelines and Section 515 itself are not designed to contemplate interpretations of data and information apart from requests for correction of information that is not in compliance with agency guidelines. Similarly, requests for de-publishing would be considered only in the context of an

appropriate request for correction of Department-disseminated information, in which case withdrawal of the affected information would be one of the options considered if the information were found to be incorrect.

Although the Department has not limited complaints to information that is not already subject to existing data quality programs and measures, the Department has designed its administrative mechanisms to take advantage of existing processes that are designed to ensure the quality of information, such as rulemakings. The Department agrees that requests for correction should be evaluated based on the evidence available at the time of dissemination. However, where it is possible, timely, appropriate, and cost-effective to make corrections based on later-acquired evidence that meets the Department's quality standards, the Department will consider correction.

The Department agrees that its response to correction requests should be proportional to the significance and importance of the information in question (among other factors). The Department believes its guidelines provide the necessary flexibility to deal with superseded or outdated requests. The Department notes that its guidelines provide that requests that are duplicative, repetitious, or frivolous may be rejected and that information need not be corrected if the correction would serve no useful purpose.

Comment: One commenter suggested that the Department's rigid requirements for filing a request for correction serve as an entry barrier against the requestor. The commenter pointed out that no other federal agency has adopted such a rigid approach, which will terminate with prejudice the majority of requests received. The commenter noted that this practice could lead to retaining an acknowledged fact error in Department information by having such high barriers to a substantive examination of the error.

Response: The Department does not intend to place procedural barriers in the way of legitimate requests for correction. Numerous provisions in the Department's administrative correction mechanisms have been modified to make the process easier to use. In addition, provisions have been added allowing defective requests to be amended and resubmitted.

Reconsideration of Requests

Comment: One commenter pointed out that the Department should be aware that Section 515 does not address reconsideration of complaints and that such a requirement is outside the scope of the statutory requirements. Therefore, the commenter stated that the Department's reconsideration process should remain fairly informal and limited in scope, since the review mechanism is to ensure that initial agency review was conducted with due diligence.

Response: Although the statutory language of Section 515 does not address reconsideration or appeals from initial denials of requests for correction, the Department has followed the OMB guidelines and, in keeping with those guidelines has, through its OUs, devised appeal processes "that serve to address the genuine and valid needs of the agency and its constituents without disrupting agency processes."

(67 FR at 8458)

Contents of Request

Comment: Several commenters requested that the Department eliminate the requirement of a "proper request." One commenter explained that the problem was that requesters whose requests were determined not to be proper were not given the opportunity to amend the request, thereby creating in effect a form of summary judgment with prejudice.

Response: To investigate a request for correction and respond to the requester, the Department must have appropriate contact information and sufficient information regarding the source of the information disseminated and how the requester believes that information fails to comply with the applicable information quality standards. This information can only be provided by the requester. Therefore, the Department has retained the requirement of a "proper request" but has added that if a request is determined not to be proper, the requester may amend the request and resubmit it.

Stating a Claim

Comment: Some commenters urged the elimination of the proposed requirement that the responsible office make a preliminary determination, on the basis of the strength of the assertions in the request alone, that the information in question was based on non-conformance with the Department's information quality standards before objectively investigating and analyzing the request.

Response: This provision has been amended to clarify its purpose. The provision was never meant to preclude any request for correction. Rather it was meant to ensure that the Department could determine from the request exactly what the requestor's claim or complaint is. A request that cannot be understood is not possible to address. Along with language clarifying this intent, language has been added stating that a request determined to not state a claim "may be amended and resubmitted ..."

Comment: One commenter strongly opposed the Department's position that there is no appeal from a decision that a request does not state a claim.

Response: The Department points out that an appeal is not necessary for a decision by the responsible office that a request does not state a claim because the guidelines clearly state that a denied request may be amended and resubmitted for consideration. The elements of a valid claim are listed in the guidelines. A refused claim may be amended to ensure that these elements are included in the resubmission.

Duplicative Requests

Comment: One commenter stated that the Department should state that if a request has been made and responded to, then a new, similar request may be rejected as frivolous or duplicative.

Response: The Department has included a statement that requests that are duplicative, repetitious, or frivolous may be rejected.

Criteria for Corrections

Comment: One commenter questioned whether the Department would always correct information when it agrees (in some sense) with a request for correction. The commenter suggested that agencies should be required to correct information in all cases.

Response: The OMB guidelines provide that agencies are "required to undertake only the degree of correction that they conclude is appropriate for the nature and timeliness of the information involved, and explain such practices in their annual fiscal year reports to OMB." (67 FR at 8453) Further, the OMB guidelines direct agencies to weigh the costs and benefits of higher quality information. The Department's guidelines are in compliance OMB guidelines.

Substantially the Same and Acceptable Error

Comment: Several commenters objected to the Department's assertion that it need not correct information that was within an "acceptable degree of imprecision" and information that failed to meet the applicable standards but would have been substantially the same or statistically the same had the applicable standards been met. One of these commenters also objected to the Department's assertion that it would not correct information the correction of which would serve no useful purpose.

Response: In the course of simplifying the Department's administrative correction mechanisms, references to the concepts of "acceptable degree of imprecision" and "substantially the same or statistically the same" have been removed from that part of the Department's guidelines. However, these concepts are fundamental to scientific inquiry and have not been discarded. In fact, the concept of "acceptable degree of imprecision" is inherent in OMB's view of "reproducibility" and is part of OMB's (and the Department's) definition of that term (67 FR at 8456, 8457, 8460). Similarly, concepts of acceptable statistical variability are essential to the scientific process. Information that falls within clearly delineated and acceptable statistical ranges is in fact scientifically correct. The Department has retained the assertion that no initial request for correction will be considered under these procedures concerning disseminated information the correction of which would serve no useful purpose, but has explained what is meant by "serve no useful purpose." Specifically, "[c]orrection of disseminated information would serve no useful purpose with respect to information that is not valid, used, or useful after a stated short period of time" (such as a weather forecast or atomic time). The Department points out that information need not be corrected if the information would have been substantially or statistically the same or if the information is within an acceptable degree of error, in line with the scientific process.

Budget Constraints

Comment: Several commenters stated that budgetary constraints should not be a basis for failing to correct information determined by the Department to be flawed. Some of these commenters stated that Section 515 gives the public the right to seek and obtain correction of federally disseminated information. One commenter suggested that

"this noncorrection of known errors seems to be too smooth a path of evasion by the most interested staff members, against those requesters seeking legitimate redress and whose claim of error is acknowledged to be correct."

Response: The Department points out that budgetary constraints do not exempt information from any necessary correction. However, the OMB guidelines direct agencies to weigh the costs and benefits of higher quality information. The Department's intent in including the statement regarding resources unavailable to that official is now more correctly expressed, consistent with OMB's guidelines, as an examination of costs and benefits of higher quality information.

DEPARTMENT OF COMMERCE AND OPERATING UNIT WEB SITES

The Web sites that publish the Department of Commerce's information quality guidelines are noted below. The first site includes this document for the Department of Commerce. The remaining sites document the information quality guidelines for Commerce's operating units.

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Provide an inked signature and request date. Your signature indicates you have read and understand the

Terms of Use for USPTO.gov accounts at <https://www.uspto.gov/terms-use-uspto-websites> and will

abide by the rules and policies of the USPTO regarding the Terms of Use.

Block 5 - Identification

All requestors must have their signature notarized by a notary public with a valid, non-expired

commission. Present the notary with two forms of acceptable identification from the list and have your

signature notarized.

Identity documents

To be sure of the identity of the person requesting the Trademark verified USPTO.gov account, the notary

completing the USPTO Trademark Identity Verification Form must see two forms of identification, at least

one of which is a picture ID. Acceptable forms of ID are:3/4

LIST A

DOCUMENTS THAT ESTABLISH
BOTH IDENTITY AND
EMPLOYMENT AUTHORIZATION

LIST B

DOCUMENTS THAT
ESTABLISH IDENTITY

LIST C

DOCUMENTS THAT ESTABLISH
EMPLOYMENT AUTHORIZATION

1. U.S. Passport or U.S. Passport Card 1. Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address

1. A Social Security Account Number card, unless the card includes one of the following restrictions:

- NOT VALID FOR EMPLOYMENT
- VALID FOR WORK ONLY WITH
INS AUTHORIZATION

- VALID FOR WORK ONLY WITH
DHS AUTHORIZATION

2. Permanent Resident Card or Alien Registration Receipt Card (Form I-551)

2. ID card issued by federal, state or local government agencies or entities, provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address

2. Certification of report of birth issued by the Department of State (Forms DS-1350, FS-545, FS-240)

3. Foreign passport that contains a temporary I-551 stamp or temporary I-551 printed notation on a machine-readable immigrant visa
 3. School ID card with a photograph 3. Original or certified copy of birth certificate issued by a State, county, municipal authority, or territory of the United States bearing an official seal
 4. Employment Authorization Document that contains a photograph (Form I-9)
 4. Voter's registration card 4. Native American tribal document
 5. For a nonimmigrant alien authorized to work for a specific employer because of his or her status:
 - a. Foreign passport; and
 - b. Form I-94 or Form I-94A that has the following:
 - (1) The same name as the passport; and
 - (2) An endorsement of the alien's nonimmigrant status as long as that period of endorsement has not yet expired and the proposed employment is not in conflict with any restrictions or limitations identified on the passport
 5. U.S. military card or draft record
 5. U.S. Citizen ID Card (Form I-197)
 6. Passport from the Federated States of Micronesia (FSM) or the Republic of the Marshall Islands (RMI) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United States and the FSM or RMI
 6. Military dependent's ID card 6. Identification Card for Use of Resident Citizen in the United States (Form I-179)
 7. U.S. Coast Guard Merchant Mariner card
 8. Native American tribal document
 9. Driver's license issued by a Canadian government authority
- SOCIAL SECURITY CARDS ARE NOT ACCEPTABLE AS IDENTIFICATION4/4

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<https://www.uspto.gov/sites/default/files/documents/TM-id-verification-form.pdf>

USPTO use only Trademark Identity
Verification Form
for TEAS/TEASi

Address to:

Mail Stop EBC

Commissioner for Trademarks

P.O. Box 1451

Alexandria, VA 22313-1451

Block 1 - Requestor role

Please refer to form instructions to minimize processing delays

Select your filing role:

U.S.-licensed attorney

Canadian attorney/agent

Trademark owner

Block 2 - Requestor information (fill out as completely as possible)

First (given) name Middle name Last (family) name

Street address (line 1)

Street address (line 2)

City State/Province ZIP/Postal code Country

USPTO.gov account email address Telephone number

(select phone location)

Block 3 - Type of action requested (must select at least one)

Verify an existing USPTO.gov account and authorize it for Trademark filing.

Update USPTO.gov account

USPTO.gov email address has been changed.

Previous email address:

Change USPTO.gov account holder's name

For U.S.-licensed attorneys and Canadian attorneys/agents, the new requested name must correspond to any applicable

professional registration records, e.g., bar registration records. Please enter the name under which the USPTO.gov

account was previously created below, and enter your new name in the space provided in Block 2.

Previous name:

Revoke Trademark authorization for current USPTO.gov account

Other - describe in detail:

Block 4 - Signature (required)

I have read and understand the Terms of Use for USPTO.gov accounts (as listed on <https://www.uspto.gov/terms-use-uspto-websites>)

and my signature on this document, by hand, is my agreement to abide by the Terms of Use and the rules and policies of the USPTO regarding the Terms of Use.

I certify that the information, statements and representations provided by me on this form are true and accurate to the best of my

knowledge. I understand that a willfully false certification is a criminal offense

and is punishable by law (18 U.S.C. 1001).

Signature required (requestor from block 2) Date (mm/dd/yyyy)

Paralegals and attorney support staff, please do not use this form.

Have your supervising attorney sponsor you at: <https://teas.uspto.gov/sponsorship>.

When you verify your identity, your USPTO.gov account will be authorized to make Trademark filings.

Please make sure all the information in Block 2 is an exact match to the information in your USPTO.gov

account before submitting this form by updating your USPTO.gov account if necessary.

Home

Work

Cell1/2

CUI//SP-PRVCY/FEDCON (CUI When Filled In)

USPTO-TM Form 320 (REV. 1/2022)U.S. PATENT AND TRADEMARK OFFICE

USPTO use only Trademark identity

verification form

for TEAS/TEASi

Address to:

Mail Stop EBC

Commissioner for Trademarks

P.O. Box 1451

Alexandria, VA 22313-1451

Block 5 - Identification (required)

Notary seal SUBSCRIBED and SWORN to before me by (requestor from Block 2)

(month), 20____, in the county of

.

(signature)

this day of

in the State of

Notary Public

MY COMMISSION EXPIRES:2/2

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your

submission of this trademark identify verification form. The information in this system of records is to account for

the employees, contractors, and other affiliates requiring access to Department of Commerce electronic (including

PKI-authenticated) and physical assets. The authority for the collection of this information includes the following,

with all revisions and amendments: 5 U.S.C. 301; 35 U.S.C. 2; the Electronic Signatures in Global and National

Commerce Act, Public Law 106-229; 28 U.S.C. 533-535; 44 U.S.C. 1301; Homeland Security Presidential Directive

12; and IRS Publication-1075.

The information in this system of records is used to manage the following data:

individual's name; organization;

work telephone number; cellular telephone number; home telephone number, work email;

Federal agency Smart

Card Number (FASC-N); social security number; employee number; status as an employee,

contractor or other affiliation with the Department of Commerce; PIN number (encrypted); sign-in/out, badge-in/out, time-in/out, log-in/out data; computer transaction data to include, but not limited to, key stroke monitoring; IP address of access; logs of internet activity and records on the authentication of the access request; key fob identifier; token identifier; Personal Identity Verification (PIV) Card identifier; computer access login name; and any computer generated identifier assigned to a user.

However, routine uses of this information may include disclosure to the following: to verify individuals' authorized access to buildings and facilities, electronic systems and computers; to law enforcement and investigation in the event that the system of records indicates a violation or potential violation of law; to a Federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, to obtain information relevant to a Department decision concerning the assignment, hiring or retention of an individual, or the issuance of a security clearance; to a Federal, state, local, or international agency, in response to its request; to a court for adjudication and litigation; to a Member of Congress submitting a request involving an individual; and to the Office of Management and Budget for legislative coordination and clearance; to the Department of Justice in connection with determining whether disclosure thereof is required by the Freedom of Information Act; to non-federal personnel under contract to the agency, but not operating a system of records within the meaning of 5 U.S.C. 552a(m); to the Office of Personnel Management for personnel research purposes; to National Archives and Records Administration for records activities; to Federal agencies coordinating data breach response activities; to appropriate agencies, entities and persons for the purpose of performing audit or oversight operations as authorized by law. Disclosure of the information by you is voluntary; however, failure to provide any part of the requested information may result in our inability to process your application for access and participation in this program. The applicable Privacy Act System of Records Notice for this information request is COMMERCE/DEPARTMENT-25, Access Control and Identity Management System-: Federal Register /Vol. 81, No. 181 /Monday, September 19, 2016 /Notices 64127, available at <https://www.govinfo.gov/content/pkg/FR-2016-09-19/pdf/2016-22469.pdf>

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<https://help.id.me/hc/en-us/articles/360017833054-What-is-a-Primary-or-Secondary-Identification-Document>

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[ID.me Help Center Help Center home page](#)

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[ID.me Help Center Identity Verification Verification resources Documents](#)

[Primary and secondary identification documents](#)

[Documents you will need](#)

[Document best practices](#)

[Primary documents](#)

[Secondary documents](#)

[Secondary documents \(90 day limit\)](#)

[Unacceptable documents](#)

Primary and secondary documents are types of identification documents you must present when verifying your identity on a video call with an ID.me Video Chat Agent. ID.me follows NIST-compliant identity verification standards. This article explains what documents are acceptable and unacceptable during an ID.me video call.

[Documents you will need](#)

To verify your identity on a video call, you will need:

Two primary identification documents or

One primary and one secondary document

You may need to provide additional documentation:

To prove your Social Security number

To prove your current address

If your name has changed

For example, you can usually verify your identity by presenting your driver's license or state ID, along with a utility bill and Social Security card.

Note: If you are verifying using Self-Service instead of on a video call, you will only need to provide a driver's license, state ID, passport or passport card.

[I live outside the United States](#)

If you live outside of the United States, you must take specific steps to verify your identity. For a list of documents you can submit for verification, visit [Documents to verify if you live outside of the US](#).

[Document best practices](#)

Make sure to follow our tips for taking a good photo of documents from your smartphone.

Keep in mind:

When uploading your document, ensure the document type you select matches the document you upload.

We recommend submitting at least one document showing your current address.

You must display all four corners of your document during the video call for ID.me to accept it as valid.

Documents in non-English languages are acceptable as long as they have English translations on the document, for example "Nombre / Name."

Primary documents

The following Primary documents must be physically presented on your call with your Video Chat Agent:

Document Document requirements and tips

Driver's license or learner's permit from a U.S. state or territory

Document Requirement

First and last name

Date of birth

Photo

Valid expiration date

Identification number

Tips

Licenses that expired in the last 12 months can be presented with documentation of renewal/extension or a temporary ID from the DMV. Your renewal/extension and expired ID must also be uploaded in order to be accepted.

Temporary paper driver's licenses are accepted on their own, as long as they include your photo and a temporary expiration date.

Paper Learner's Permits are not accepted.

Other government-issued photo IDs

Document Requirement

First and last name

Date of birth

Photo

Identification number

Note: Military IDs, temporary, and paper documents are not accepted.
State-issued photo ID

Document Requirement

First and last name
Date of birth
Photo
Identification number

Tips

IDs that expired in the last 12 months can be presented with documentation of renewal/extension or a temporary ID from the DMV.

Your renewal/extension and expired ID must also be uploaded in order to be accepted.

Temporary paper state IDs are accepted on their own, as long as they include your photo and a temporary expiration date.

U.S. Passport

Document Requirement

First and last name
Date of birth
Photo
Valid expiration date
Full Machine Readable Zone (MRZ) code must be visible

U.S. Passport card

Document Requirement

First and last name
Date of birth
Photo
Valid expiration date
Full Machine Readable Zone (MRZ) code must be visible

Note: You must upload the front and back of your U.S. Passport Card.

U.S. Permanent resident card

Document Requirement

First and last name
Date of birth
Photo
Valid expiration date

Note: We accept form I-551 or paper extension I-797A if it says 'approved' or 'notice of receipt'.

USCIS-issued employment authorization card

Document Requirement

First and last name
Date of birth
Photo
Valid expiration date

Note: We don't accept employer-issued ID cards.
Non-U.S. Passport

Document Requirement

Must include:

First and last name
Date of birth
Photo
Valid expiration date
Full Machine Readable Zone (MRZ) code must be visible

Veterans health ID card

Document Requirement

Must include:

First and last name
Photo

Note: Veterans insurance cards cannot be used. The Veterans Health ID Card has a photo and must be issued by the Department of Veterans Affairs.
DHS trusted traveler cards

Document Requirement

First and last name
Date of birth
Photo
Valid expiration date
Full Machine Readable Zone (MRZ) code

Note: We accept cards issued via Global Entry, NEXUS, and SENTRI.
Canadian driver's license

Document Requirement

First and last name
Date of birth
Photo
Valid expiration date

Identification number

Certificate of naturalization

Document Requirement

First and last name

Photo - It must be a recent photo of yourself

Note: We also accept forms N-550, N-560, N-561, and N-570.

National ID card

Document Requirement

First and last name

Date of birth

Photo

Valid expiration date

Federally recognized, Tribal-issued photo ID

Document Requirement

First and last name

Date of birth

Photo

Valid expiration date

Prison/inmate ID

Document Requirement

First and last name

Date of birth

Valid expiration date (if applicable)

Federal, state, or local issuing authority

Tips

You must upload the front and back of your ID

Parole IDs and prison release IDs are also acceptable

Color scans and PDFs are acceptable.

Secondary documents

Full-color scans and PDFs are acceptable

You can present full-color scans and PDFs of the following acceptable secondary documents:

Document Document requirements and tips

Social Security card

Document Requirement

First and last name
Full Social Security number

Note: If you have chosen to use a Social Security Card as one of your secondary documents, you must present your original Social Security Card document during your Video Verification call.

U.S. DoD certificate of birth abroad

Document Requirement

First and last name
Date of birth
Issued by the U.S. Department of State

U.S. DoS certification of report of birth

Document Requirement

First and last name
Date of birth
Issued by the U.S. Department of State

Consular report of birth abroad

Document Requirement

First and last name
Date of birth
Must have an official seal/stamp

Full-color or black and white scans, and PDFs are acceptable

You can present full-color or black and white scans and PDFs of the following acceptable secondary documents:

Document Document requirements and tips
U.S. Health insurance card

Document Requirement

First and last name
Insurer's company name
Identification, policy, or card control number

Note: Acceptable variations include medical, dental, vision, Medicare, Medicaid,

state cards, prescription cards, and temporary cards.

U.S. birth certificate with official seal Document Requirement

First and last name

Date of birth

Place of birth

Signature of the city, county, or state official

Seal or stamp of the issuing authority (State, county, or city of birth)

Note: Abstract birth certificates, amended birth certificates, delayed birth certificates, birth registration cards, verified transcripts of birth, and documents showing VOID watermarks.

W-2 form

Document Requirement

First and last name of employee

Employee address

Employer company and address

For tax forms, last four digits of your Social Security number or full SSN if being used to verify SSN.

Note: We also accept W-2C, W-2G, 499R-2 / W-2PR, etc.

1098 form

Document Requirement

First and last name

Member address

For tax forms, last four digits of your Social Security number or full SSN if being used to verify SSN.

Note: We also accept forms 1098-C, 1098-E, 1098-F, 1098-MA, 1098-T, 1098-Q, Form 480.6, 480.7, etc.

Auto Insurance Card

Document Requirement

First and last name

Auto insurance provider name

Valid expiration date

At least four digits of identification, account, card, policy or customer number

Note: Acceptable variation includes temporary evidence of insurance.

Vehicle Registration / Title

Document Requirement

First and last name

Name of issuing organization. (e.g. DMV)

Includes "Registration" or "Title"

Border crossing card

Document Requirement

First and last name
Date of birth
Valid expiration date
Full Machine Readable Zone (MRZ) code

Canadian Indian and Northern affairs card

Document Requirement

First and last name
Date of birth
Valid expiration date

College or university student photo ID

Document Requirement

First and last name
Name of educational institution
Student ID number or photo

Note: It must be from the current academic year.
College or university transcript

Document Requirement

First and last name
Name of educational institution
Date of birth or Student ID number

Note: It can be from the current or previous academic year.
DOD certificate of discharge

Document Requirement

First and last name
Date of birth
Full Social Security number

Note: We also accept Release DD-214, DD-256 or NGB-22.
Auto or home insurance statement

Document Requirement

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First and last name

Insurance provider name

At least the last four digits of your identification, account, card, policy, or customer number.

Valid expiration date (if included)

Note: Temporary evidence of insurance is acceptable.

Letter attesting state residency

Document Requirement

First and last name

Institution or agency name

Staff name or signature

Note: To learn more, visit [Requesting a letter to prove state residency](#).

NAP recipient certification

Document Requirement

First and last name

Tips

Supplemental Nutrition Assistance Program (SNAP) documents

Certificación de beneficiario del Programa de Asistencia Nutricional (ADSEF) is an acceptable variation.

Native American tribal document

Document Requirement

First and last name

Native American tribe name

Non SSA-1099 form

Document Requirement

First and last name

Address

Note: We also accept 1099-DIV, 1099-MISC, etc.

SSA-1099 form

Document Requirement

First and last name

Address

Note: We also accept 1099-SM,1099-R-OP1, etc.

U.S. or U.S. territory voter registration card or certificate

Document Requirement

First and last name
Issuing authority name
Voter number or photo (except for state of Indiana)
Valid expiration date (if applicable)

Tips

Documents from all US territories (American Samoa, Guam, Puerto Rico, the US Virgin Islands, and the Northern Mariana Islands) are acceptable.

Mailers or cards reminding you to vote are not acceptable.

U.S. Coast Guard merchant mariner card

Document Requirement

First and last name
Date of birth
Photo
Valid expiration date

U.S. Citizen ID card form

Document Requirement

First and last name
Identification number
Photo

Note: Must be issued from the United States Department of Justice or Immigration and Naturalization Services.

Women, Infants, and Children (WIC) Puerto Rico recipient certification

Document Requirement

First and last name
Date of birth
Seal of the government of Puerto Rico

Note: The title of the document may be "Certificacion Negativa de Caso de Pension Alimentaria"

Secondary documents (90 day limit)

Full-color scans or black and white scans, photocopies, and PDFs are acceptable

These secondary identification documents:

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Cannot be older than 90 days

Must display your full name, current address, and account number, except for pay stubs

Must display any other information listed below

Document Document requirements and tips
Bank, loan, or financial institution statement

Document Requirement

First and last name

Your current address

Bank of financial institution name

At least four digits of identification, account, card, or customer number

Cannot be older than 90 days

You may not submit any document you complete yourself (e.g. a deposit slip, application, etc.)

Must be issued/sent from a bank or financial institution.

Must be a statement, and not some other form of communication (e.g. a letter, notification, etc.)

Tips

Statements that say Final notice, past due, etc. are acceptable.

If you are using your Social Security Number as your personal identifiable information (PII), your statement must include your full Social Security Number.

Medical bill

Document Requirement

First and last name

Your current address

Medical provider name

At least the last four digits of your identification, account, card, guarantor, invoice, or customer number

Cannot be older than 90 days

Must have been issued/sent from your medical provider

Must be a bill, and not some other form of communication (e.g. an explanation of benefits, insurance application, etc.)

Tips

Statements that say Final notice, past due, etc. are acceptable.

Veterinarian bills are acceptable

Pay stub

Document Requirement

- First and last name
- Employer or company name
- Your address or your employer's address
- Cannot be older than 90 days
- Must be an actual pay stub, not a paycheck

Note: If you're using your pay stub to verify your address, your full home address must display on the stub.

Utility bills (Cable, Electric, Gas, Internet, Phone, Water)

Document Requirement

- First and last name
- Your current address
- Utility company's name

At least the last four digits of your identification, account, card, or customer number.

Cannot be older than 90 days

Must come from a utility company: either electric, phone, cable, internet, water, gas, or waste/sewage management.

Must be a bill, and not some other form of communication (e.g. a letter, notification, etc.)

Note: Statements that say Final notice, past due, etc. are acceptable.

Unacceptable documents

You cannot use the following documents for verification:

Document	Notes
Photocopies of original documents attesting state residency	-
Expired or invalid documents	Except in scenarios specified above. In some cases, you can use an expired Driver's license or State ID.
Company employee ID cards	We also do not accept employee ID badges, building passes, etc.
Digital Driver's license or state IDs	-
Documents that are temporary	-
Documents that are voided	-
Forms you fill out yourself	Including tax forms
Letters from banks	-
Unemployment documentation	-
Unofficial student records	-

Was this article helpful?

2218 out of 2600 found this helpful

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- I can't upload photos of my documents
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- How do I prove my Social Security number?
- Saving and uploading documents from your computer

Documents to verify your identity
Verifying using your new legal name
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"If you don't have access to quality, affordable high-speed Internet service now - you will, thanks to President Biden and his commitment to investing in America," said Secretary of Commerce Gina Raimondo

The mission of the Department is to create the conditions for economic growth and opportunity for all communities

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[Biden-Harris Administration Invests \\$60 Million to Create a Climate-Ready Workforce through Investing In America Agenda](#)

[Tweets by @CommerceGov](#)

[Commerce Pride and Bringing Cultural Awareness and Inclusion to Commerce](#)

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[Commerce Pride and Bringing Cultural Awareness and Inclusion to Commerce Pride Month: U.S. Census Bureau Data on Same-Sex Households](#)

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[Pride Month: U.S. Census Bureau Data on Same-Sex Households](#)

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I "OPENED" UP ALL OF QUESTIONS IN THE FOLLOWING DOCUMENT:

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Trademark Electronic Application System (TEAS) - Identity verification
How does my USPTO.gov account work with ID verification?

A USPTO.gov account is required to access TEAS and TEASi. When you create a USPTO.gov account, you provide your name and primary email address. After verification, the name field is locked and your account is authorized. This helps the USPTO ensure that a person using the trademark system to enter information or make changes is authorized to do so.

I've already verified my identity for patent filing purposes. Now what?

If you've previously verified your identity in order to access the patent filing systems, EFS-Web and Patent Center, then you do not need to verify your identity again to access TEAS or TEASi. Some verified patent customers may need to take a quick additional step and select a filing role to gain access to TEAS and TEASi, but most will automatically gain access. Patent customers who are currently sponsored as Proofed Practitioner Support will need to be separately sponsored as Attorney Support Staff in the trademark system by a verified attorney using the Trademark sponsorship tool before they can access TEAS and TEASi.

Can I have duplicate accounts, such as one for myself and one for work?

For security purposes, each individual person may only have one verified account used to access trademark filing systems. You may create additional USPTO.gov accounts for other functions that don't require identity verification, such as making payments.

Can I share my account with others?

No. Account sharing is not permitted under the Terms of Use for USPTO websites. However, if you're an attorney and need legal support staff to work on your behalf, you may sponsor them using the Trademark sponsorship tool.
Can I use a company account?

No. Each USPTO.gov account must correspond to a single person. Company accounts are not currently allowed, and will continue to be disallowed for identity verification purposes. You won't be able to verify an account that has a company, firm, or other entity name in the account holder name fields.
Am I required to use my verified name when filing trademark documents?

No. The name on your account does not need to exactly match the name that you use when filing, as long as both names are truthful and meet our other signature requirements. We understand that many people experience issues updating their records after a name change. We also understand that many people use nicknames, middle names, or other names professionally. However, if the name on a filing doesn't seem to identify the same person named in the account, we may inquire about it.
What if I need to change my verified name?

If you already verified your account under a previous name and now need to change it, you must request a name change using the paper verification form option. We currently don't have an electronic option for verified name changes, but we're evaluating that possibility for the future. Any name change will need to be supported by official documentation.
Will the name and postal address in my verified USPTO.gov account appear in my TEAS or TEASi filings?

No. The name and postal address in your account is used only for validation purposes. It will not automatically appear on any form or on any filing that is available to the public. The information that appears publicly is the information that you submit in the TEAS or TEASi form.

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Terms of Use
Security
Systems Status

USPTO Background

Financial and Performance
Freedom of Information Act
Information Quality Guidelines

Federal Government

Regulations.gov
StopFakes.gov
USA.gov
Department of Commerce
Strategy Targeting Organized Piracy

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https://search.uspto.gov/search?affiliate=web-sdmg-uspto.gov&sort_by=&query=identity-verification&commit=Search

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Videos about identity-verification

Mandatory trademark identity verification

Mandatory trademark [identity](#) [verification](#) 1:34:58

YouTube 11 months ago In this recorded webinar, we take an in-depth look at how user roles help regulate unauthorized trademark submissions and how you can determine the

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Identity verification for trademark filers | USPTO

<https://www.uspto.gov/trademarks/apply/identity-verification> Trademark filers can verify their identity and authenticate their USPTO.gov account...Verify your identity

Trademark sponsorship tool Trademark user ...

Learn about upcoming identity verification for trademark filers | USPTO

<https://www.uspto.gov/about-us/events/learn-about-upcoming-identity-verification-trademark-filers> ...you'll learn about the upcoming identity verification requirement for all trademark...upcoming identity verification for trademark filers Identity ver ...

Apply online | USPTO

<https://www.uspto.gov/trademarks/apply> System Status and Availability Identity verification for trademark filers Trademark...Trademark filers can verify their identity and authenticate ...

Identity verification enabled | USPTO

<https://www.uspto.gov/trademarks/apply/2022-january-teas-and-teasi-release-highlights-id-verification> ...and TEASi updated to enable identity verification...Identity verification enabled On January 8, 2022, TEAS and TEASi were updated...updated to enable ...

Log in to TEAS and TEASi | USPTO

<https://www.uspto.gov/trademarks/login> ...and USPTO.gov account FAQs Identity verification for trademark filers Trademark...Trademark filers can verify their identity and authenticate their ...

Index of all TEAS forms | USPTO

<https://www.uspto.gov/trademarks/apply/index-all-teas-forms> ...filing process using TEAS. Identity verification for trademark filers Trademark...Trademark filers can verify their identity and authenticate their ...

Mandatory trademark identity verification: new options and choosing user roles | USPTO

<https://www.uspto.gov/about-us/events/mandatory-trademark-identity-verification-new-options-and-choosing-user-roles> ...discuss important updates to identity verification, including expanded options...options for online verification and selecting the right user role for ...

Trademark FAQs | USPTO

<https://www.uspto.gov/learning-and-resources/trademark-faqs> Application System (TEAS), Identity verification Trademark Official Gazette...the international applicant that is identical to the name of the ...

Class Schedule for Class 705 DATA PROCESSING: FINANCIAL, BUSINESS PRACTICE, MANAGEMENT, OR COST/PRICE DETERMINATION

<https://www.uspto.gov/web/patents/classification/uspc705/sched705.htm> ...62 Having

printing detail (e.g., verification of mark) 63 Utility metering system...Anonymous user system 75 Transaction verification 76 Electronic ...
Update bookmarks and favorites to Sponsorship Tool | USPTO
<https://www.uspto.gov/patents/authentication-changes-efs-web-and-pair> USPTO.gov account using the new verification process. See below for more information...USPTO.gov account using the new verification process Set up ...
Mailing and hand carry addresses | USPTO
<https://www.uspto.gov/patents/contact-patents/ mailing-and-hand-carry-addresses-united-states-patent-and-trademark-office> ...correspondence Paper Trademark identity verification forms should be sent to: Mail
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<https://www.uspto.gov/learning-and-resources/uspto-videos> ...(TESS). Mandatory trademark identity verification Published on Jul 28, 2022 In...of protest best practices. Identity verification for trademark filers ...
Internal PKI Certificate Policy | USPTO
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<https://www.uspto.gov/sites/default/files/documents/Trademark-sponsorship-tool-guide.pdf> ...verify their identities. Complete information on identity verification can be found...[uspto.gov/trademarks/apply/identity-verification](https://www.uspto.gov/trademarks/apply/identity-verification). While ...
Mandatory trademark identity verification | USPTO
<https://www.uspto.gov/learning-and-resources/uspto-videos/mandatory-trademark-identity-verification> Mandatory trademark identity verification Published on: July 28, 2022 18:13...Cotton. Using ID.me to verify your identity as a trademark filer This ...
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<https://www.uspto.gov/learning-and-resources/uspto-videos/identity-verification-trademark-filers> Identity verification for trademark filers Part 7 of Trademarks Webinars Series... we provide an overview of identity verification and cover specific ...
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I "OPENED" UP ALL OF QUESTIONS IN THE FOLLOWING DOCUMENT:

I CLICKED ON THE "Expand all" ICON IN THE FOLLOWING DOCUMENT THAT I MAY NOT HAVE DONE PREVIOUSLY:

<https://www.uspto.gov/trademarks/apply/identity-verification#paper>

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Trademark Verified USPTO.gov Account Agreement

Identity verification for trademark filers

To better protect our customers from scams and fraudulent activities related to the trademark register, earlier this year the United States Patent and Trademark Office (USPTO) introduced identity verification for USPTO.gov account holders who use the Trademark Electronic Application System (TEAS) and TEAS international (TEASi). To complete this one-time process, customers can choose to verify their identities through a paper identity verification process or online via ID.me. Legal professional support staff, such as paralegals, must use the sponsorship tool and have their identities verified by their sponsoring attorney.

As of August 6, 2022, identity verification is required for USPTO.gov account holders to file through TEAS and TEASi. Read our related Director's blog and Federal Register Notice for more information.

If you have a filing deadline today and can't verify your identity in time, read our instructions for submitting documents by fax.

Expand all | Collapse all

Benefits of identity verification

Identity verification helps us deter bad actors who make fraudulent trademark filings and scam our customers. It's part of our ongoing initiative to strengthen the security of our trademark filing process and protect the integrity of the U.S. trademark register.

In 2019, we began requiring anyone accessing TEAS or TEASi to be logged in to a USPTO.gov account. Identity verification builds on that requirement by ensuring that account holders are who they say they are. It also lays the groundwork for future enhancements that will allow verified account holders to control and delegate access to their filings and prevent unauthorized changes.

The USPTO offers three different methods for stakeholders to verify their identities: two online through ID.me and one through our traditional paper verification process. Who is required to verify their identity?

You must verify your identity if you are a:

Trademark owner or corporate officer not represented by an attorney
U.S.-licensed attorney, including in-house counsel

Canadian attorney or agent

You must be sponsored by a verified attorney if you are:

A paralegal

Other support staff working for an attorney

If you are a paralegal or support staff, you can't use the online or paper verification processes. Instead, your sponsoring attorney must verify your identity. You must have a USPTO.gov account before you can be sponsored. See the sponsorship section for more information.

Who doesn't need to verify their identity?

In general, if you need to file or edit data in TEAS or TEASi forms, you must be logged in to an ID-verified USPTO.gov account. There are, however, some situations where verification is not required:

If the USPTO has previously verified your identity through the patent application process, you don't need to verify again, but you may need to select a trademark user role if we're unable to automatically determine it. See the instructions for patent-proofed customers in the common questions section below.

If you are a trademark owner represented by an attorney, you currently do not need to verify your identity to electronically sign forms that your attorney sends for your signature. You will, however, need to verify your identity to file once attorney representation ends.

Selecting your user role

play

Mandatory trademark identity verification

As part of the verification process, you'll choose a user role associated with your USPTO.gov account. Your user role tells us how you use our filing system and what verification options you need. Read the trademark user roles page for detailed information on selecting the right role for your filing situation. You can also watch the recording of our webinar on user roles.

The following user roles are available:

A trademark owner can file anything they need to on their own applications and registrations if they are unrepresented, or they can appoint an attorney to handle these matters for them.

A U.S.-licensed attorney can file all documents for their clients and can also sponsor support staff and paralegals to work under their supervision.

A Canadian attorney/agent can file certain, permitted documents for their clients and can also sponsor support staff and paralegals to work under their supervision.

Attorney support staff can assist with filing documents for clients, but must be sponsored by a verified attorney to do so.

Paper verification

The paper verification form must be notarized before mailing to the USPTO. Review the instructions for completing the paper verification form and follow these main steps:

- Create a USPTO.gov account if you haven't already
- Download and complete the paper ID verification form
- Present two forms of government identification to a notary public
- Mail the original, notarized form (no faxes or copies) to:

Mail Stop EBC
Commissioner for Trademarks
P.O. Box 1451
Alexandria, VA 22313-1451

Expect two to three weeks processing time. We recommend not waiting until after the deadline to verify by paper, as it will delay your ability to file electronically.

The name listed on your USPTO.gov account must be identical to the one submitted on the paper ID verification form. Processing will be delayed if the names don't match exactly, and you'll be asked to modify your USPTO.gov account name to match the name on the verification form.

Online verification

play

Using ID.me to verify your identity as a trademark filer

Two options for verifying your identity online

If you choose the online verification method, you have two options for verifying your identity:

- Self-service (requires biometric data in the form of a selfie)
- Video Chat Agent (does not require a selfie)

Both options require you to create an account with ID.me if you don't already have one. If you have an existing ID.me account, you must use that.

What you'll need to complete the process

In addition to a USPTO.gov account, you'll need:

A camera-equipped smartphone, tablet, or computer with an internet connection.

A government-issued photo ID with the name identical to the name on your USPTO.gov account. If they don't match, the name on your USPTO.gov account will be automatically updated to match your ID. See the step-by-step instructions on verifying with a driver's license or state ID or verifying with a passport. If you select the Video Chat Agent option, you'll need to provide additional identification documents.

ID.me will ask you for the following information, which will not be shared with the USPTO or anyone else:

20230705_RC COMMERCE-GOV WEBSITES VISIT

Your Social Security number, which ensures your account can't be duplicated.

Permission to access your credit profile header information, which includes data such as your name, address history, and phone number. It does not involve checking your credit and has no impact on your credit score or rating.

Additionally, if you select the self-service option, you'll provide biometric data in the form of a selfie.

Getting started with online verification

To complete the ID verification process, follow these basic instructions:

Log in to your USPTO.gov account or create one now if you haven't already

Go to the Trademark account ID verification page by selecting any TEAS form link

Choose your user role

Select "Verify with ID.me" and follow the prompts on ID.me and choose either the self-service option or Video Chat Agent option

After you successfully complete online verification with ID.me, you may need to do any or all of the following before you can access TEAS forms:

Turn off pop-up blockers on USPTO.gov

Log out of your USPTO.gov account and clear your browser cache and cookies

Close and restart your browser. Log back into USPTO.gov.

Self-service option

This option typically takes less than 15 minutes. To complete, you will:

Take a selfie with your smartphone or computer. If using a webcam-equipped computer, please review ID.me's instructions for taking a selfie using a webcam.

Take a photo or upload images of your government-issued photo ID.

Provide your Social Security number and permit ID.me access to your credit profile header information to confirm you are who you say you are. This does not affect your credit rating.

In the event that ID.me can't automatically verify your identity with the information you provide—for example, if your selfie or photo of government ID is blurry—you'll be prompted to continue in a short video chat session where you will be required to show your physical documents. After the session, you'll receive an email with instructions on how to share your verification status with the USPTO. You must follow the instructions to complete the identity verification process and maintain access to our filing system.

Video Chat Agent option

This option does not require biometric data or use facial recognition technology. You will instead verify your identity in a live video chat with an ID.me representative. The time to complete the verification depends on the volume of customers and estimated wait times will be displayed.

To complete, you will:

Upload two primary forms of IDs or one primary and two secondary IDs.

Provide your Social Security number and permit access to your credit profile to confirm you are who you say you are. This does not affect your credit rating.

Once your documents have been reviewed, you will receive an email from ID.me inviting you to join a video call with an ID.me Video Chat Agent. An estimated wait time is displayed on screen for your convenience.

The Video Chat Agent will ask you a few questions and have you hold up your IDs to the camera so the Agent can verify your identity. Video sessions are recorded for security purposes and will be deleted within 30 days.

After verification, you'll receive an email with instructions for sharing your verification status with the USPTO. You must follow the instructions to complete the identity verification process.

ID.me and your privacy

ID.me uses your information solely to verify that you are who you say you are and prevent your account from being duplicated by anyone attempting to impersonate you. ID.me uses secure technology and federally compliant information protection practices to safeguard your data. You can view or change your privacy settings online at any time.

Keep in mind:

The USPTO does not receive any personally identifiable information from ID.me other than your name.

Your ID.me account is only used to verify your identity, and the USPTO does not require you to maintain it after that. However, in the future if you want to change your legal name or make certain changes to your USPTO.gov account, you'll need an active ID.me account to confirm the changes.

If you have already verified your identity using ID.me, you can delete your selfie and associated biometric data from your ID.me account at any time.

If you select the self-service option, you will provide biometric data in the form of a selfie. It will be deleted 24 hours after your ID.me account is created. ID.me matches your selfie to your government-issued photo ID using facial-recognition technology known as 1:1 matching.

The USPTO-specific ID.me page addresses many issues you may encounter during the ID.me process, and the ID.me privacy bill of rights explains how you are in complete control of your data during this process.

Sponsoring legal support staff

The Trademark sponsorship tool allows verified attorneys to sponsor paralegals and support staff to submit and edit documents on their behalf in TEAS and TEASi. Using the sponsorship tool, verified attorneys, paralegals, and support staff can manage their sponsorship requests, view sponsorship history, and more.

Before using the sponsorship tool, attorneys must be verified, and their sponsored paralegals and support staff must have USPTO.gov accounts.

Paralegals and support staff can't use the online or paper verification processes. Instead, their sponsoring attorney verifies their identity at the time of sponsorship.

For a complete list of sponsorship functions and directions, see the Trademark sponsorship tool guide. For in-depth information on sponsorship verification requirements, see the Trademark Filing System Access Document.

Common questions

Expand the sections below to find answers to common questions about identity verification.

General

What if I'm not ready to verify yet?

Identity verification is mandatory. You will need a verified USPTO.gov account to file using TEAS or TEASi. Try to verify your account well before any filing deadlines. We can't make exceptions to statutory filing deadlines for customers unable to access TEAS or TEASi because their accounts were not verified.

Accounts

How does my USPTO.gov account work with identity verification?

A USPTO.gov account is required to access TEAS and TEASi. When you create a USPTO.gov account, you provide your name and primary email address. After verification, the name field is locked and your account is authorized. This helps the USPTO ensure that a person using the trademark system to enter information or make changes is authorized to do so.

Will the name and postal address in my verified USPTO.gov account appear in my TEAS or TEASi filings?

No. The name and postal address in your account is used only for validation purposes. It will not automatically appear on any form or on any filing that is available to the public. The information that appears publicly is the information that you submit in the TEAS or TEASi form.

What if I need to change my verified name, email address, or user role?

After your identity is verified, you won't be able to change certain details in your account without making a request. Email TEAS@uspto.gov for instructions on making changes to the following details in your USPTO.gov account:

- Account email address
- Verified name
- User role

When emailing us, please provide your current USPTO.gov account email address, name, and user role to help speed up the request.

Am I required to use my verified name when filing trademark documents?

No. The name on your account does not need to exactly match the name that you use

when filing, as long as both names are truthful and meet our other signature requirements. We understand that many people experience issues updating their records after a name change. We also understand that many people use nicknames, middle names, or other names professionally. If, however, the name on a filing doesn't seem to identify the same person named in the account, we may inquire about it.
Can I use a company account?

No. Each USPTO.gov account must correspond to a single person. Company accounts are not currently allowed, and will continue to be disallowed for identity verification purposes. You won't be able to verify an account that has a company, firm, or other entity name in the account holder name fields.
Can I share my account with others?

No. Account sharing is not permitted under the Terms of Use for USPTO websites. However, if you are an attorney and need legal support staff to work on your behalf, you may sponsor them using the Trademark sponsorship tool.
Can I have duplicate accounts, such as one for myself and one for work?

Each individual person may only have one trademark verified account used to access trademark filing systems. This prevents bad actors from abusing our filing systems and scamming our customers. When filing, you may still enter your alternative contact information into the record. It doesn't need to be the same that is associated with your verified USPTO.gov account.
Can I use a separate account to pay for filings?

You may have only one trademark verified USPTO.gov account, and you must remain logged into that same account to enter your fee payment information during filing. It is currently not possible to pay for a filing from an unverified USPTO.gov account. However, to help manage your payments, you may do any of the following:

- Create and add multiple USPTO deposit accounts to Financial Manager in your trademark verified USPTO.gov account

- Contact the administrator of an existing deposit account and request that your trademark verified USPTO.gov account email address be added as an authorized user for it

- Use the "pay as a guest" feature to make a payment using a credit card that is not associated with your Financial Manager

I already verified my identity for patent filing purposes. Now what?

If you've previously verified your identity as a patent-proofed practitioner or patent-proofed independent inventor in order to access the patent filing systems EFS-Web and Patent Center, you do not need to verify your identity again to access TEAS or TEASi. Follow these steps to confirm your trademark verified status:

- Log into your USPTO.gov account that you've previously verified as a Patent customer.

 - Go to the Trademark account ID verification page.

 - Under "ID verification options," you'll see a message indicating you have already been verified as a Patent customer. If you don't see this, you are not logged in with

a patent-proofed account.

Below, select the appropriate trademark user role and continue.

Your account will be automatically updated.

Remember to log in with your patent-proofed USPTO.gov account. If you created a new account or logged in with any different account, you will be asked to verify your identity. Patent customers who are currently sponsored as Proofed Practitioner Support will need to be separately sponsored as Attorney Support Staff in the trademark system by a verified attorney using the Trademark sponsorship tool before they can access TEAS and TEASi.

Paper verification

How long will it take to verify my identity using the paper verification form?

We anticipate an initial processing time of two to three weeks after we receive your paper form. To ensure there are no additional delays, review the instructions for completing the paper verification form. Once identity verification becomes mandatory, you must wait until your paper form is processed before you can access TEAS or TEASi. If you're facing any deadlines, consider using the online verification method instead.

How will I know when processing is complete?

We'll send a confirmation to your USPTO.gov account's primary email address when your paper form verification is complete. We'll also notify you if there is any issue that requires further attention. Unfortunately we can't offer detailed tracking information or expedited service at this time.

Who can notarize the paper form in the United States?

Notaries commissioned by and operating in any state, U.S. territory, or the District of Columbia can notarize documents in person. Remote online notarization is not permitted at this time.

Online verification

I'm having issues with my ID.me account setup or completing the ID.me verification process.

Check the USPTO & ID.me help page for general questions about the verification process. If you're still having issues setting up your account or completing the verification process, you can submit a request for support to ID.me. The USPTO will work with ID.me customer service to make sure our trademark customers' identity verification problems are resolved.

I completed verification with ID.me, but I still can't access TEAS forms.

If you successfully completed verification with ID.me, but you are returned to the identity verification page in TEAS and can't access TEAS forms, you may be experiencing a known technical issue. Please do the following:

Turn off pop-up blockers on USPTO.gov

Log out of your USPTO.gov account and clear your browser cache and cookies

Close and restart your browser. Log back into USPTO.gov.

If you're still unable to access TEAS forms after this, email TEAS@uspto.gov and

indicate that you are experiencing the “verification loop problem.” To speed up the request, include the name and email address associated with your USPTO.gov account. What time of day can I access online verification?

You can verify your identity online 24 hours a day, seven days a week. If you can't complete the automated verification process and are routed to a video call with an ID.me Video Chat Agent, there may be a wait time depending on call volume. What standards does the online verification process follow?

The online verification process uses ID.me, which meets NIST's 800-63-3 guidelines for Identity Assurance Level 2.

User roles

What is my user role?

Read the trademark user roles page for detailed information about selecting the right role for your filing situation.

Sponsorship

How do I get sponsored?

After your supervising attorney verifies their own identity, they can use the Trademark sponsorship tool to sponsor you. You must have a USPTO.gov account and provide your sponsoring attorney with the email address associated with it. Your supervising attorney can then sponsor you in less than a minute. Ensure that the name in your USPTO.gov account is up-to-date and accurate or your supervising attorney may not be able to sponsor you.

Should all the attorneys I work with sponsor me, or is just one enough?

All verified attorneys who are supervising your work must sponsor you because they are responsible for your work. Though you will be able to access TEAS or TEASi after just one attorney sponsors you, if that attorney withdraws their sponsorship, you'll lose access to TEAS or TEASi and be unable to work on behalf of other attorneys until they sponsor you.

How do I use the Trademark sponsorship tool?

Only verified attorneys and their support staff can use the Trademark sponsorship tool. Attorney support staff will not be able to access it until at least one attorney has verified their identity by sponsoring them. After verification, you can view and manage your sponsorships in the tool. Consult the Trademark sponsorship tool guide for detailed information and examples.

Getting help

How can I get help with my USPTO.gov account or verifying my account using any method other than ID.me?

Send any questions by email to TEAS@uspto.gov.

How can I get help with ID.me?

If you're having issues specific to the ID.me verification process and require assistance, you may be able to solve the problem by video conferencing with an ID.me Video Chat Agent. If that doesn't work, please open an ID.me support ticket using the following steps:

Visit the ID.me help page

Scroll to the bottom of the page and select “Submit a request”

Provide the email address that you used to register with Id.me

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Leadership

Tanika S. Hawkins

Tanika Hawkins

Director of Administration

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On May 2 and 3, 2018, the Office of Human Resources Management, the Office of Civil Rights, and the Office of General Council will hold our first joint conference at the U.S. Census Bureau (directions below). The theme for this conference is Connecting Commerce Services Through Collaborative, Customer Focused Solutions. This event will enable these three communities, which have cross-cutting...

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Department of Commerce FITARA Action and Milestone Table Updated February 28, 2018

Element Area Milestone Number Actions and Milestones extracted from DOC FITARA Assessment Target Completion Date Status Status Description Not Started, In Progress, Completed, Deferred Describe in detail agency responses to status (e.g. ongoing actions, dependencies, partial milestones). Budget Formulation (A-D) 1...

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Per the requirements of the Federal Information Technology Acquisition Reform Act (FITARA) and the Office of Management and Budget's (OMB) implementation guidance, DOC is providing access to the titles and links for the Department's IT policies at this web page - DOC IT Policy Archive. Policy Name Effective Date Policy Number OCIO IT Policy Development Policy November 2021 OCIO-OPG-21-0004...

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Tanika S. Hawkins
Director of Administration
Office of the Chief of Staff
Tanika Hawkins

Tanika Hawkins is the Director of Administration and is responsible for overseeing and performing all administrative functions in support of the Office of the Secretary (OS), Immediate Office.

Mrs. Hawkins serves as Senior Advisor to the Chief of Staff and Deputy Chief of Staff for the Secretary and Deputy Secretary's Office, advising on operating activities of the Department on matters affecting the Secretary and Deputy Secretary's program and management priorities. Tanika leads the Immediate Office with more than 20 years of experience, having served in National Telecommunications and Information Administration (NTIA), International Trade Administration (ITA), Economic Development Agency (EDA), Bureau of Industry and Security (BIS), and Office of the Secretary (OS). With the wealth of knowledge collected while serving across bureaus within Commerce, she successfully leads the Office of Administration in budget planning and execution, travel, procurement and contracting, personnel management, property management, facilities management, and Continuity of Operations Program (COOP) and Continuity of Government (COG) programs.

Tanika advises on travel policy as well as provides guidance on federal regulations, Departmental procedures, and policies.

Tanika has achieved mastery in Presidential transitions providing political appointees and federal career executives the communication and human resource tools to ensure smooth transitions while fully supporting the mission of the Department.

Mrs. Hawkins was born and raised in Prince George's County, Maryland, where she currently resides with her family.

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Leslie B. Kiernan

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Jeremy Pelter

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[Gina M. Raimondo, Secretary of Commerce](#)

Gina M. Raimondo serves as the 40th U.S. Secretary of Commerce and was sworn in by Vice President Kamala Harris on March 3, 2021. As Secretary of Commerce, she is focused on a simple but vital mission – to spur good-paying jobs, empower entrepreneurs to innovate and grow, and help American workers and businesses compete.

Secretary Raimondo was formerly the 75th Governor of Rhode Island and its first woman governor. She grew up in Smithfield in a tight-knit Italian-American family, the youngest of Joseph and Josephine Raimondo's three children. Her family history and her childhood experiences shaped her core beliefs in hard work, opportunity for all, and the importance of financial security.

After arriving from Italy at age 14, Secretary Raimondo's grandfather learned English studying in the Providence Public Library and later lived with her family. Her mother was a homemaker, who dedicated her life to teaching her children about the importance of hard work and determination. Her father was a World War II Navy veteran from a family of butchers and became the first in his family to attend college thanks to the GI Bill. After working for 26 years in manufacturing, Joseph lost his job along with hundreds of others when the factory moved overseas, and the Raimondo family lost their sense of financial security.

As a teenager, Secretary Raimondo rode a public bus to LaSalle Academy in Providence, where she was valedictorian of her graduating class. She went on to graduate with honors from Harvard, where she was recognized as the top economics student in her class. She won a Rhodes Scholarship to Oxford University where she earned a doctorate and met her future husband Andy Moffit. She later graduated from Yale Law School. Secretary Raimondo clerked for US District Judge Kimba Wood and served as founding employee and senior vice president at Village Ventures.

Wanting to start her own business and be close to family, she returned home to Rhode Island and founded Point Judith Capital, a venture capital firm. In November 2010, Secretary Raimondo was elected to serve as General Treasurer of Rhode Island, receiving the largest number of votes of any statewide candidate. When she took office as General Treasurer, she tackled the state's \$7 billion unfunded pension liability. Secretary Raimondo was sworn into office as Governor in January 2015 and won a second term in 2018. She also served as chair of the Democratic Governors' Association in 2019.

During her time as Governor, Secretary Raimondo kick-started the state's economy and made record investments in infrastructure, education, and job training. She focused tirelessly on creating economic opportunities and good-paying jobs for all Rhode Islanders. Early in her administration, she launched an innovative workforce development program that develops business-led partnerships to address unique workforce challenges.

Secretary Raimondo is married to Andy Moffit and they have two children, Ceci and Tommy, and a rescue dog, Sparky.

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Don Graves is the 19th Deputy Secretary of Commerce.

Graves brings decades of experience in the private sector, government, and nonprofits to the Department of Commerce. Most recently, he served as Counselor to President Joe Biden during the 2020 presidential campaign. Prior to that, Graves served as Executive Vice President and Head of Corporate Responsibility and Community Relations at KeyBank. In this role, Graves led KeyBank's corporate responsibility team, including the bank's \$16.5 billion National Community Benefits Plan, the bank's sustainability work, stakeholder engagement, and outreach, and oversaw the KeyBank Foundation and the First Niagara Foundation.

During the Obama-Biden Administration, Graves served as Counselor and Domestic and Economic Policy Director for then-Vice President Biden. He was previously appointed by President Barack Obama as Executive Director of the President's Council on Jobs and Competitiveness and led the federal government's efforts in the economic recovery of the city of Detroit. Graves also served as Deputy Assistant Secretary for Small Business, Community Development, and Housing Policy at the U.S. Department of the Treasury, where he oversaw the CDFI Fund, the \$4 billion Small Business Lending Fund, and the \$1.5 billion State Small Business Credit Initiative. He was also the U.S. Federal Representative to the G7 Task Force on Social Impact Investment.

He has served on the Board of Directors of the MetroHealth Foundation, the FDIC's Advisory Committee on Economic Inclusion, the Board of Trustees of the Community Reinvestment Fund, the Policy Advisory Board of the Biden Institute at the University of Delaware, the Board of Visitors of the Cuyahoga Community College, the Advisory Board of the Commission on Economic Inclusion, and as Co-Chair of Cleveland Rising.

Graves has a rich family history connected to the Commerce Department. His four-times great grandparents built a successful horse and buggy taxi business in Washington that once stood at the site of the Department's headquarters. Their son went on to own a premier hotel just blocks away and become one of our nation's first Black patent-holders through the U.S. Patent and Trademark Office.

Graves holds a Bachelor of Arts in Political Science and History from Williams College and a Juris Doctor from the Georgetown University Law Center, where he received the Dean's Award. He is a fellow of the National Association of Public Administration. Graves is married and has two children.

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Leslie B. Kiernan
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Office of General Counsel
Leslie B. Kiernan

Leslie B. Kiernan was sworn in on June 15, 2021, as the General Counsel at the Department of Commerce after being unanimously confirmed by the U.S. Senate. She serves as the Chief Legal Officer of the Department and the principal legal advisor to the Secretary of Commerce and the Department's senior leadership. As General Counsel, she oversees the work of all of the attorneys across the Department's 12 bureaus and its operating units. Ms. Kiernan also serves as the Department's chief ethics official.

Before joining the Department of Commerce, Ms. Kiernan served from February to June 2021 as Special Counsel in the Office of the White House Counsel. During the Obama-Biden Administration, Ms. Kiernan served in the White House as Deputy Counsel to the President from 2011 to 2014, where she advised on a wide range of compliance, risk management, policy, and oversight issues. She also served as counsel to the White House Council on Women and Girls, and to the office of the First Lady. From September through December 2014, she served in the U.S. Department of State as a senior advisor to the U.S. Mission to the United Nations, where she represented the U.S. in the 69th Session of the General Assembly and advised on a variety of legal and policy matters.

In addition to her government service, Ms. Kiernan has over 20 years of experience as a litigation partner at national law firms in Washington, D.C., where her practice focused on representing private entities, public agencies, and individuals in enforcement proceedings, criminal and congressional investigations, and compliance matters. She served as the Vice-Chair of the Board of Directors of Children's National Hospital and Chair of its Enterprise Risk, Compliance, and Legal Affairs Committee, and on the boards of the Children's Law Center and the D.C. Public Defender Service. Ms. Kiernan is a graduate of Brown University and Georgetown University Law Center, where she served as Senior Articles Editor of the Georgetown Law Journal.

Ms. Kiernan currently serves as a government member of the Council of the Administrative Conference of the United States (ACUS), having been appointed by President Biden on September 30, 2021.

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The Office of the General Counsel provides legal services for the Secretary of Commerce and all of the department's operating units. The General Counsel is the chief legal officer for the department.

The mission of the Office of the General Counsel is to have a lasting impact on rules for Commerce that revitalize the economy and lay a foundation for sustainable U.S. leadership in a global economy in the 21st century. The Office of General Counsel seeks to be a leader in building a culture of excellence, service and creative collaboration within its offices and across the department.

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Leslie Kiernan Sworn In As General Counsel for the U.S. Department of Commerce

June 15, 2021

Press releases

Leslie Kiernan was sworn-in today as the General Counsel for the U.S. Department of Commerce by Deputy Secretary of Commerce Don Graves. Last week, Kiernan was confirmed by a voice vote in the Senate. “We are thrilled to have Leslie Kiernan join our world-class team at the Commerce Department as we continue to set a course to build back from the economic damage caused by the COVID-19 pandemic and...

Secretary Gina Raimondo

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May 18, 2021

Blog

Thomas Choi, International Program Specialist, Commercial Law Development Program (CLDP)

Guest blog post by Thomas Choi, International Program Specialist, Commercial Law Development Program (CLDP) I am humbled to write a blog post in honor of Asian American and Pacific Islander Heritage Month and to share my story, especially now, when this month is more important than ever. My name is Thomas Jungwoo Choi, and I serve as an International Program Specialist for the U.S. Department of...

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Asian American and Pacific Islander Heritage Month

Spotlight on Commerce

Spotlight on Commerce: Paula Paixao e Silva Zarazinski, Attorney Advisor, Commercial Law Development Program (CLDP), Office of General Counsel

March 16, 2021

Blog

Paixao e Silva Zarazinski, Attorney Advisor, Commercial Law Development Program (CLDP), Office of General Counsel

Guest blog post by Paula Paixao e Silva Zarazinski, Attorney Advisor, Commercial Law Development Program (CLDP), Office of General Counsel Women’s History Month is a time to celebrate but also a time to listen and share. I am truly honored to have the opportunity to join so many voices this month to tell my own story of hope, resilience, and diversity. My name is Paula Paixao e Silva Zarazinski. I...

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Spotlight on Commerce

Women's History Month

Spotlight on Commerce: Shareen Bundy, Program and Management Analyst, Office of General Counsel, Commercial Law Development Program (CLDP)

February 18, 2021

Blog

Shareen Bundy, Program and Management Analyst, Office of General Counsel, Commercial Law Development Program (CLDP)

Guest blog post by Shareen Bundy, Program and Management Analyst, Office of General Counsel, Commercial Law Development Program (CLDP) I am honored to be nominated to represent my culture for the U.S. Department of Commerce during the 2021 African

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American History Month celebration. My name is Shareen Bundy, and I am a Program and Management Analyst with the Commercial Law Development Program...

African American History Month

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[Jeremy Pelter](#)

Acting Chief Financial Officer and Assistant Secretary of Commerce for Administration

Office of the Chief Financial Officer and Assistant Secretary for Administration

[Jeremy Pelter](#)

Jeremy Pelter currently serves as the Acting Chief Financial Officer and Assistant Secretary of Commerce for Administration.

As the Deputy Assistant Secretary for Administration, Mr. Pelter is the principal deputy to the Chief Financial Officer and Assistant Secretary overseeing management and administrative service functions for the Department.

Prior to this role, Mr. Pelter served as the Deputy Under Secretary for Industry and Security, managing the daily operations of the Bureau of Industry and Security (BIS) and advising the Under Secretary of Industry and Security on a broad range of management and policy issues.

Mr. Pelter previously served at the Department-level as the Senior Advisor to the Deputy Secretary of Commerce, advising the Deputy Secretary on issues related to policy and program implementation. Mr. Pelter entered the career senior executive service as the Chief Financial Officer and Director of Administration for the Economics and Statistics Administration (ESA). Before the Department of Commerce, Mr. Pelter served at the U.S. Small Business Administration (SBA) in several financial and operational positions. Additionally, Mr. Pelter was an inaugural Fellow of the White House Leadership Development Program, supporting trade policy. Prior to his federal career, Mr. Pelter worked in the non-profit sector in the Washington, D.C. metropolitan area.

Mr. Pelter earned his BA in Political Science from Indiana University and his MS in Management from the University of Maryland University College. He holds certificates in Public Leadership and Program Management from the Brookings Institution and American University, respectively.

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Office of the Chief Financial Officer and Assistant Secretary for Administration

Under the direction of the Chief Financial Officer and Assistant Secretary for Administration (CFO/ASA), the Office of the CFO/ASA:

Oversees the Commerce department's financial resources, human resources and facilities.

Establishes and monitors implementation of departmental policies and procedures for administrative functions affecting program operations in Commerce's operating units. These administrative functions include budget and financial management, human resources management and civil rights, employee safety and health, procurement and small business utilization, grants management, travel and transportation of household goods, real property and facilities management, directives management (Department Organization and Administrative Orders) privacy & open government and security.

Coordinates implementation of government-wide and departmental management initiatives.

Manages the department's headquarters offices located in the Herbert C. Hoover Building in Washington, D.C.

CFO/ASA Directorates:

- Office of Acquisition Management
- Office of Facilities and Environmental Quality
- Office of Budget
- Office of Civil Rights
- Office of Financial Management
- Office of Human Resources Management
- Office of Intelligence and Security
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The CFO/ASA's authority is delegated through Department Organization Order 10-5.

Leadership

Jeremy Pelter

Jeremy Pelter

Acting Chief Financial Officer and Assistant Secretary of Commerce for Administration

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Welcome to the Committee on Energy and Commerce.

The Energy and Commerce Committee is at the forefront of all issues and policies powering America's economy, including our global competitive edge in energy, technology, and health care.

Calendar

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Updated July 3, 2023 at 5:39am.

July 26, 2023

Wed

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Oversight and Investigations Subcommittee Hearing: "Stopping the Exploitation of Migrant Children: Oversight of HHS' Office of Refugee Resettlement"

July 26, 2023, 7:00am PDT

2123 Rayburn House Office Building

Oversight & Investigations

Hearing Announcement

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The Latest

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Effort Follows Disappointing COVID-19 Origins Declassification

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Jun 28, 2023

Innovation, Data, & Commerce

Committee Chairs Rodgers and Jordan Demand Answers from Khan Following DAEO Ethics Report

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Jun 26, 2023

Communications & Technology

Chairs Rodgers & Latta Statement on BEAD Program State Allocations

Washington, D.C. – House Energy and Commerce Committee Chair Cathy McMorris Rodgers (R-WA) and Communications and Technology Subcommittee Chair Bob Latta (R-OH) released a statement today following the Biden administration's announcement of Broadband Equity, Access, and Deployment (BEAD) state allocations. "Today's state allocation announcement is an important step in our work to close the digital divide. Especially now that these resources have been allocated, we need to make sure that the funds are

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used to connect every American. Energy and Commerce will continue our oversight to make sure National Telecommunications and Information Administration (NTIA) is carrying out its responsibilities according to congressional intent, which includes making those investments in a technology neutral way that avoids overbuilding and other wasteful spending. That is how we make sure every unserved American has access to affordable, reliable broadband services.” NOTE: On May 10, 2023, the House Energy and Commerce Committee’s Oversight and Investigations Subcommittee held a hearing titled “Closing the Digital Divide: Overseeing Federal Funds for Broadband Deployment,” where lawmakers discussed ensuring resources allocated through programs like BEAD are allocated effectively and avoid waste, fraud, and abuse. [CLICK HERE](#) for more information. On May 23, 2023, the Subcommittee on Communications and Technology held a hearing titled “Oversight and Reauthorization of the National Telecommunications and Information Administration,” where members heard from NTIA Administrator Alan Davidson on ensuring NTIA is carrying out its mission of strengthening American communications leadership and closing the digital divide. [CLICK HERE](#) for more information.

Jun 23, 2023

Press Release

Chair Rodgers Statement on Anniversary of Dobbs Ruling

Washington, D.C. – House Energy and Commerce Committee Chair Cathy McMorris Rodgers (R-WA) today issued the following statement regarding the one-year anniversary of the United States Supreme Court’s landmark ruling in Dobbs v. Jackson : “The Dobbs decision has been a renewed call to answer the greatest human rights question of our generation by affirming the dignity and value of every person. As a nation, we must come together to make sure women and their babies have the care they need at every stage of life. That is why I support pregnancy centers that provide medical care, resources, education, and mentoring to women. These centers are doing the loving work of helping women find the hope and stability they need to choose life. “Every life is worth living. One year after the Supreme Court affirmed this, I continue to ask Democrats to abandon their extreme position for abortion with no limits at any stage of pregnancy. Their pro-abortion agenda cannot be the law of the land. “Every person—born and unborn—has human rights. For America to be a more perfect union, our laws must reflect that.”

Trending Subcommittees

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Interstate and foreign commerce, including all trade matters within the jurisdiction of the full committee; consumer protection, including privacy matters generally; data security; motor vehicle safety; regulation of commercial practices (the Federal Trade Commission), including sports-related matters; consumer product safety (the Consumer Product Safety Commission); product liability; and regulation of travel, tourism, and time. The Subcommittee’s jurisdiction can be directly traced to Congress’ constitutional authority “to regulate Commerce with foreign nations, and among the several States, and with the Indian Tribes.”

Communications & Technology

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Electronic communications, both Interstate and foreign, including voice, video, audio

and data, whether transmitted by wire or wirelessly, and whether transmitted by telecommunications, commercial or private mobile service, broadcast, cable, satellite, microwave, or other mode; technology generally; emergency and public safety communications; cybersecurity, privacy, and data security; the Federal Communications Commission, the National Telecommunications and Information Administration, the Office of Emergency Communications in the Department of Homeland Security; and all aspects of the above-referenced jurisdiction related to the Department of Homeland Security.

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National Energy Policy, energy infrastructure and security, energy related Agencies and Commissions, all laws, programs, and government activities affecting energy matters. National Energy Policy focuses on fossil energy; renewable energy; nuclear energy; energy conservation, utility issues, including but not limited to interstate energy compacts; energy generation, marketing, reliability, transmission, siting, exploration, production, efficiency, cybersecurity, and ratemaking for all generated power. Energy infrastructure and security focuses on pipelines, the strategic petroleum reserve, nuclear facilities, and cybersecurity for our nation's grid. Our jurisdiction also includes all aspects of the above-referenced jurisdiction related to the Department of Homeland Security. Agencies and Commissions in our jurisdiction include: The US Department of Energy, the Nuclear Regulatory Commission; and the Federal Energy Regulatory Commission.

Recent Letters

Jun 28, 2023

Innovation, Data, & Commerce

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adjudicator in this proceeding.” The recent disclosure of the DAEO’s memorandum suggests that Chair Khan’s response to Chair Rodgers during the recent subcommittee hearing omitted an important recommendation by the DAEO. It raises serious questions about Chair Khan’s commitment to the fair and impartial administration of the FTC’s authorities. [CLICK HERE](#) to read the full letter.

Jun 9, 2023

Letter

Chairs Rodgers & Johnson to EPA: “Your Policies Will Drive up Energy Costs for Americans and Reduce Energy Production”

Washington, D.C. – On behalf of members on the Environment, Manufacturing, and Critical Materials Subcommittee, House Energy and Commerce Chair Committee Cathy McMorris Rodgers (R-WA) and Environment, Manufacturing, and Critical Materials Subcommittee Chair Bill Johnson (R-OH), sent a letter today to Environmental Protection Agency (EPA) Administrator Michael Regan requesting information regarding how their rush-to-green methane regulations will discourage American energy production. These regulations extend beyond the agency’s congressionally mandated authority and will increase energy costs for Americans. Excerpts and highlights from the letter: “We write regarding the U.S. Environmental Protection Agency’s (EPA) proposals for new methane regulations under Section 111 of the Clean Air Act (CAA) and the agency’s implementation of the Methane Emissions Reduction Program under Section 60113 of the Inflation Reduction Act of 2022 (IRA). The EPA’s regulatory proposal for methane creates substantial legal and regulatory uncertainty, which discourages energy production and increases energy prices. The EPA is also planning to add to the regulatory burden with a new tax on methane emissions. As the Congressional Budget Office determined, a tax on methane emissions will increase operational costs, reduce energy production, and increase the price of natural gas. “On November 2, 2021, EPA proposed three separate actions to regulate methane emissions from new and existing oil and gas well sites, compressor stations, processing plants, and transmission and storage facilities. This proposal would dramatically expand EPA’s regulatory reach, and it would undercut rules issued under the prior administration that removed regulatory duplication and would have saved millions of dollars each year. The EPA’s proposal violates statutory requirements under CAA Section 111 that require, as a predicate to establishing regulations for new sources, a finding that methane emissions from a source significantly contributes to air pollution that endangers public health or welfare. The EPA’s proposal also imposes improper requirements on States to issue additional methane regulations for existing sources under CAA Section 111(d). “Energy facilities subject to EPA’s aggressive regulatory proposals must also plan for compliance with EPA’s new Methane Emissions Reduction Program, under which EPA will impose and collect a tax on the reported metric tons of methane emissions that exceed certain thresholds, based on the type of facility, and the amount of natural gas sent to sale. There are several aspects of the methane tax that are undefined in statute, and since there was no hearing, committee report, or debate in Congress, there are significant questions about how the methane taxes and regulations will interact with each other. “While the CAA contains exemptions for regulatory compliance, and when there is a lack of pipeline infrastructure available, it is unclear how EPA will calculate the tax and which operators will be forced to comply. There are also questions about how emissions are reported, whether EPA is coordinating with States, and when EPA will enforce compliance with new taxes and regulations.” [CLICK HERE](#) to read the

full letter and questions that Rodgers and Johnson asked the EPA to respond to by June 23, 2023.

Jun 5, 2023

Press Release

E&C Leaders Press Health Department Watchdog for Information about Outdated and Incorrect Medicaid Enrollment

Washington, D.C. – House Energy and Commerce Committee Chair Cathy McMorris Rodgers (R-WA), Subcommittee on Health Chair Brett Guthrie (R-KY), and Subcommittee on Oversight and Investigations Chair Morgan Griffith (R-VA), on behalf of the Health and Oversight Subcommittee Republicans, today sent a letter to Department of Health and Human Services (HHS) Inspector General (IG) Christi Grimm. The letter highlights concerns surrounding improper Medicaid payments and challenges States face in maintaining accurate enrollment during and after the eligibility redetermination process. “It is critical that CMS and States take action to reduce the number of improper Medicaid payments to ensure that taxpayer dollars are spent to care for the most vulnerable who Medicaid was designed to help,” Chairs Rodgers, Guthrie, and Griffith wrote. Background : In response to the COVID-19 pandemic, Congress passed the Families First Coronavirus Response Act (FFCRA), which included provisions for enhanced Federal Medical Assistance Percentage (FMAP) of 6.2% for States and required continuous Medicaid coverage through the COVID-19 Public Health Emergency (PHE). The PHE led to a surge in Medicaid enrollment, increasing from 70 million to nearly 95 million individuals. As part of the 2023 Consolidated Appropriations Act, States are authorized to start the process of redetermining Medicaid eligibility from April 1, 2023. Research demonstrates that even before the COVID-19 pandemic, maintaining accurate Medicaid enrollment posed a significant challenge for CMS. Past audits from the HHS IG have found inaccuracies in Medicaid enrollment resulted in improper payments. The Chairs requested a briefing from the Office of the Inspector General as well as an audit that reviews and analyzes the following information regarding ineligible beneficiaries: The reason for beneficiaries’ ineligibility The types of factors and information considered at the time of enrollment The causes of incorrect eligibility determinations The approximate time individuals were ineligibly enrolled The approximate dollar amount spent on recipients who were ineligible The approximate dollar amount delivered to insurance companies on behalf of ineligible enrollees [CLICK HERE](#) to read the letter to HHS IG Grimm.

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Meet the Chair

Cathy McMorris Rodgers is the Chair of the House Energy and Commerce Committee and represents Washington's Fifth Congressional District. She was appointed to the House Energy and Commerce Committee in 2010. In addition to her policy leadership on the Committee, Cathy also served in House Republican Leadership for 10 years as Vice Chair and Chair of the House Republican Conference.

[Cathy_McMorris_Rodgers_official_photo.jpeg](#)

Cathy grew up on an orchard and fruit stand in Kettle Falls, Washington, and learned the value of hard work helping her family run their small business. She saved and worked her way through school at McDonald's and in a housekeeping job. She was the first person in her family to graduate from college. Cathy says, "It wasn't the easiest or the most fun work but I'm so grateful for those experiences. I learned to appreciate the perseverance it takes to seize opportunities, earn a living, and achieve the American Dream."

After college, Cathy's first role in public service was as a staffer with Washington State Representative Bob Morton. When she was 24, Morton was appointed to fill an open seat in the State Senate. He encouraged her to consider his open seat in the House. Cathy worked to win the appointment and she committed herself to being the best representative that she could be. Later, she became Washington's first female leader from either party in the state.

Cathy was elected to Congress in 2004. In her first term, she met and married her husband Brian Rodgers—a retired Naval commander. They have three children—Cole, Grace, and Brynn.

As a leader on the Energy and Commerce Committee, Cathy has led on policy solutions to bolster America's all-the-above energy strategy, expand clean and renewable hydropower, bring more doctors to rural communities, support breakthrough medical research and cures, hold Big Tech accountable, protect free speech, and improve broadband in rural and suburban American communities. Before she was selected by her colleagues to lead the full Committee, Cathy was the Republican Leader for the Consumer Protection and Commerce Subcommittee. As the Subcommittee Republican Leader, she led a member-driven agenda to beat China and ensure American leadership in emerging technologies—including autonomous vehicles, artificial intelligence, and quantum computing.

Her mission as the Chair of the House Energy and Commerce Committee is to empower all

members to lead on solutions so we win the future and restore hope in the American Dream.

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Bob Latta

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Gus Bilirakis

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Bill Johnson

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Larry Bucshon

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Indiana - District 8

Richard Hudson

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Gary Palmer

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Neal Dunn, M.D.

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John Curtis

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Illinois - District 2

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Lisa Blunt Rochester

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Delaware

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News & Announcements

Jun 30, 2023

Press Release

E&C Leaders Press Biden Admin for Transparency on Lab Safety Measures

Effort Follows Disappointing COVID-19 Origins Declassification Washington, D.C. – House Energy and Commerce Committee Chair Cathy McMorris Rodgers (R-WA), Subcommittee on Health Chair Brett Guthrie (R-KY), and Subcommittee on Oversight and Investigations Chair Morgan Griffith (R-VA) today continued their investigation into the Biden administration’s biosafety practices. The Chairs have called for increased scrutiny of federal laboratory biosafety protocols following the Biden administration’s lack of transparency in declassifying information related to the origins of COVID-19: KEY EXCERPT FROM THE LETTERS : “Biosafety of laboratory research has purportedly been prioritized by the administration. In a September 12, 2022, executive order, President Biden set forth the goal to ‘elevate biological risk management’ as part of a broader effort to advance biotechnology and biomanufacturing. The order specifically noted that the administration’s efforts should include; providing for research and investment in applied biosafety and biosecurity innovation.’ “The Committee is interested in how biosafety practices are implemented and prioritized at key federal laboratories.” ADDITIONAL BACKGROUND : The letter builds upon an April 27, 2023, Subcommittee on Oversight and Investigations hearing titled "Biosafety and Risky Research: Examining if Science is Outpacing Policy and Safety.” [CLICK HERE](#) to read the letter to Centers for Diseases Control and Prevention Acting Director Nirav Shah. [CLICK HERE](#) to read the letter to Department of Health and Human Services Inspector General Christi Grimm. [CLICK HERE](#) to read the letter to the senior official performing the duties of Director of the National Institutes of Health, Lawrence Tabak.

Jun 28, 2023

Innovation, Data, & Commerce

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Jun 26, 2023

Communications & Technology

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The Committee on Energy and Commerce is the oldest standing legislative committee in the U.S. House of Representatives and is vested with the broadest jurisdiction of any congressional authorizing committee.

The committee was originally established on December 14, 1795, as the Committee on Commerce and Manufactures when the growing demands of the young nation required that

Congress establish a permanent panel to exercise its constitutional authority to "regulate Commerce with foreign Nations, and among the several States."

In 1819, the committee was renamed the Committee on Commerce in recognition that the committee's jurisdiction had expanded beyond its original scope. The name changed again in 1891, becoming the Committee on Interstate and Foreign Commerce. The committee assumed its present name in 1981 to emphasize its lead role in the nation's energy policy.

Today, the committee has responsibility for matters including telecommunications, consumer protection, food and drug safety, public health and research, environmental quality, energy policy, and interstate and foreign commerce among others.

Click here for the Energy and Commerce Committee Rules for the 118th Congress.
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Committee Chairs Rodgers and Jordan Demand Answers from Khan Following DAEO Ethics Report

Jun 28, 2023

Innovation, Data, & Commerce

Letter - Innovation, Data, & Commerce

Letter

Press Release

Washington, D.C. – Today, House Energy and Commerce Committee Chair Cathy McMorris Rodgers (R-WA), along with House Judiciary Committee Chairman Jim Jordan (R-OH), sent a letter to Federal Trade Commission (FTC) Chair Lina Khan raising concerns about her adherence to federal ethics guidance and whether she misled Congress about ethics advice from the FTC's Designated Agency Ethics Official (DAEO).

On April 18, 2023, Chair Khan testified before an Energy and Commerce subcommittee hearing regarding the FTC's budget. At that hearing, Chair Rodgers asked Chair Khan: "Are there any instances where you've not followed the DAEO's advice?" After pausing for a few seconds, Chair Khan responded, "no," and then attempted to explain her response by stating, "I have consulted with the DAEO and have taken actions that are consistent with the legal statements the DAEO has made."

On June 16, 2023, a journalist published a nonpartisan ethics memorandum, dated August 31, 2022, and written by the FTC's DAEO, analyzing Chair Khan's ability to sit as a judge in a specific proceeding before the FTC. According to the ethics memorandum, the DAEO "recommend[ed] Chair Khan recuse to avoid an appearance of partiality concern pursuant" to federal ethics regulations. The relevant ethics regulations specify that even if there is no per se conflict of interest, "where the employee determines that the circumstances would cause a reasonable person with knowledge of the relevant facts to question his impartiality in the matter, the employee should not participate in the matter..." The DAEO concluded that a reasonable person would question Chair Khan's partiality in the matter at hand, noting her "strong reservations with Chair Khan participating as an adjudicator in this proceeding."

The recent disclosure of the DAEO's memorandum suggests that Chair Khan's response to Chair Rodgers during the recent subcommittee hearing omitted an important recommendation by the DAEO. It raises serious questions about Chair Khan's commitment to the fair and impartial administration of the FTC's authorities.

[CLICK HERE](#) to read the full letter.

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Roger Williams represents the 25th congressional district of Texas which is located in North Texas and stretches from Tarrant County in the east to Callahan County in the west. Williams was raised in the Fort Worth area and attended Texas Christian University, where he was (...)

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Rep. Obernolte presents certificate to Congressional Award Gold Medal winner Nicole Seheult

Yucaipa resident Nicole Seheult recognized with Congressional Gold Medal Award

June 23, 2023

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U.S. Congressman Jay Obernolte (R-CA) recognized Yucaipa resident Nicole Seheult with a certificate presentation in the U.S. Capitol Building in recognition of her achievement of the Congressional Award Gold Medal.

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Reps. Mike Garcia, Jay Obernolte introduce bill to improve atmospheric river forecasting

June 9, 2023

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As a computer engineer and video game developer with a graduate degree in artificial intelligence, I understand the importance of cultivating innovation in technology.

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Each summer, the Office analyzes Bureau budget requests and identifies issues and provides information to help Departmental officials make resource decisions which are incorporated into the following documents:

The Secretary's Budget Request to OMB (in early September), and
The President's Budget Request to Congress (early February)

The first week in February the Office prepares a Budget-in-Brief which summarizes the President's Request.

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[31 FR 5261, Apr. 1, 1966; 64 FR 48900, Sept. 8, 1999, effective Oct. 30, 1999; 80 FR 33170, June 11, 2015, effective July 11, 2015]

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Office of Human Resources Management (OHRM)

Department of Commerce (DOC) employees may contact OHRM for strategic, consultative HR support using the OHRM Service Catalog, for specific service-related questions on OHRM offerings, research individual services and to find points of contact. DOC employees are encouraged to contact their Servicing HR Offices for additional offerings.

Enterprise Services (ES)

ES provides HR transactional services such as: Personnel Action Requests (PAR), Payroll, Benefits, Time and Attendance, and Talent Acquisition. Documents such as court orders, wage garnishments, or other employee documents that should be uploaded to the electronic Official Personnel Folder (eOPF), or mailed to the address below:

For a full list of HR transactional services, please reach out to Enterprise Services.

Contact Information:

Phone Number: +1 (888) 316-2285

Email Address: EnterpriseServices@doc.gov

Hours of Operation: 8:00AM - 5:00PM

Mailing Address:

Department of Commerce

Enterprise Services - Human Resources

1401 Constitution Avenue, N.W.

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Department of Commerce Payment Integrity Website
BACKGROUND

Agencies are required to periodically review all programs and activities and identify those that may be susceptible to significant improper payments, take multiple actions when programs and activities are identified as susceptible to significant improper payments, and annually report information on their improper payments monitoring and minimization efforts. The Department has not itself identified any programs or activities susceptible to significant improper payments.

The Department recognizes the importance of maintaining adequate internal controls to ensure proper payments, and the Department's commitment to continuous improvement in the overall disbursement management process remains high. Each of the Department's payment offices have implemented policies and procedures to detect and prevent improper payments. The Department continually works to ensure the integrity of its disbursements.

PAYMENT INTEGRITY INFORMATION ACT (PIIA) OF 2019 AND RELATED OMB GUIDANCE

PIIA was signed into law on March 2, 2020 with one intention being to serve as a more comprehensive Public Law for payment integrity legislation.

Office of Management and Budget Circular A-123, Management's Responsibility for Enterprise Risk Management and Internal Control (July 15, 2016), Appendix C, Requirements for Payment Integrity Improvement (March 5, 2021) contains guidance to federal agencies related to PIIA.

DEPARTMENT OF COMMERCE PAYMENT INTEGRITY ANNUAL REPORTING

The Department's annual Agency Financial Report, Other Information (Unaudited) section, Payment Integrity, refers readers to Departmental Payment Integrity information included at PaymentAccuracy.gov. This website is centralized location to publish information about U.S. government improper payments made to individuals, organizations, and contractors. This website also provides a centralized place where suspected incidents of fraud, waste, and abuse can be reported, and contains information about (1) current and historical rates and amounts of improper payments; (2) why improper payments occur; and (3) what agencies are doing to reduce and recapture improper payments.

Additionally, PaymentAccuracy.gov contains the Department's annual data for overpayments identified and verified as recaptured through all sources. The website also contains other Departmental payment integrity and fraud-related information, including prior year data.

DEPARTMENT OF COMMERCE KEY PAYMENT INTEGRITY EFFORTS

Improper Payments Risk Assessment Process

The Department annually assesses the effectiveness of internal control over financial reporting, in compliance with OMB Circular A-123, Appendix C. Furthermore, as scheduled, the assessment includes reviews of internal controls over various disbursement processes. The most recent reviews performed indicated that internal controls over various disbursement processes were sound.

Each of the Department's bureaus/reporting entities periodically completes or updates, over a one to three-year period (depending on the size of the entity), improper payments risk assessments covering all of its programs/activities as required by OMB Circular A-123, Appendix C. These improper payments risk assessments of the entity's programs/activities also incorporate improper payments risk assessments every three years of the control, procurement, and grants management environments.

Improper Payments and Recaptures of Improper Payments

The Department has extensive payment integrity monitoring, minimization, recapturing, and corrective actions efforts in place, including the identification of improper payments through bureau post-payment reviews, the Department's Office of Inspector General (OIG) audits or reviews, Single Audit Act audits of grants/cooperative agreements, other grants/cooperative agreements audits or reviews, contract closeout reviews, grants/cooperative agreements closeout reviews, other audits or reviews, and sample reviews of Department-wide sustained disallowed costs.

The Department's bureaus report improper payments and related recaptures information (recaptures information for improper payments of \$10 thousand or more) to the Department's Office of Financial Management (OFM) on a quarterly basis. OFM then tracks the improper payments of \$10 thousand or more that have not been fully recaptured, and periodically throughout the fiscal year requests updates from the responsible bureaus on tracked, unrecaptured improper payments.

OMB Circular A-123, Appendix C provides guidance for agencies to determine if cost-effective payment recapture audits can be performed. The Department periodically evaluates if there are any categories of disbursements for which payment recapture auditing could be or could become cost effective.

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BEA is an independent, principal federal statistical agency that promotes a better understanding of the U.S. economy by providing timely, relevant, and accurate economic accounts data in an objective and cost-effective manner. Although a relatively small agency, BEA produces some of the most closely watched and influential economic indicators, such as gross domestic product (GDP) and the trade balance, that directly affect decisions made by policy makers, business leaders, and the American public.

BEA's national, industry, regional, and international economic accounts present valuable information on U.S. economic growth, regional economic development, interindustry relationships, and the Nation's position in the world economy. Some of the widely used statistical measures produced by BEA include GDP, personal income and outlays, corporate profits, GDP by state and by metropolitan area, balance of payments, and GDP by industry. These statistics are used by federal, state, and local governments for budget development and projections; by the Federal Reserve for

monetary policy; by the business sector for planning and investment; and by the American public to follow and understand the performance of the nation's economy.

Leadership

Vipin Arora

Vipin Arora, Director

Director of the Bureau of Economic Analysis

Patricia Abaroa

Patricia Abaroa

Deputy Director of the Bureau of Economic Analysis

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News: Unemployment is at its Lowest Level in 54 years

February 3, 2023

Blog

Department of Labor Graphic: 517,000 Jobs Added: 3.4% Unemployment Rate

Today, the U.S. Department of Labor's Bureau of Labor Statistics released the monthly Jobs Report which shows the unemployment rate has dropped to 3.4% with more than a half million jobs created in January and 800,000 manufacturing jobs created in the last two years. "President Biden's economic plan is working," said Commerce Secretary Raimondo. "When President Biden took office, the unemployment...

Economic indicators

Jobs

Fact Sheet: Biden-Harris Administration Releases National Strategy to Put Nature on the Nation's

Balance Sheet

January 19, 2023

Press releases

Today, the Biden-Harris Administration released the final National Strategy to Develop Statistics for Environmental-Economic Decisions, a historic roadmap that will kick off a multi-year effort to put nature on the nation's balance sheet for the first time, with an emphasis on better data to understand nature's critical contributions to the U.S. economy and to guide policy and business decisions...

Secretary Gina Raimondo

Climate Change

Year in Review: Commerce's Top Stories and Accomplishments of 2022

January 13, 2023

Blog

President Biden signs the CHIPS and Science Act of 2022 at a White House ceremony on August 9, 2022.

As we welcome 2023, we reflect on some of the most popular stories, issues, and accomplishment from 2022. This past year, the Commerce Department remained at the forefront of many critical issues like no other time in history. Commerce played a central role in enacting President Biden's economic, trade, and innovation agenda to build a better America. One of the most important accomplishments of...

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Joint Readout on Cooperation between the U.S. Department of Commerce and Innovation, Science and Economic Development Canada

October 21, 2022

Press releases

Today, U.S. Secretary of Commerce Gina Raimondo and Canada's Minister of Innovation, Science, and Industry François-Philippe Champagne met in Washington to discuss issues of mutual interest and reiterated their commitment to work together to address challenging bilateral, multilateral, and global issues. Secretary Raimondo and Minister Champagne discussed the passage of the U.S. CHIPS and Science...

CHIPS and Science Act

Canada

Testimony by U.S. Secretary of Commerce Gina Raimondo at the Senate Commerce Committee FY 2023 Budget Hearing

April 27, 2022

Speeches

Chair Cantwell, Ranking Member Wicker, and members of the Committee, thank you for this opportunity to discuss President Biden's Fiscal Year 2023 Budget Request for the U.S. Department of Commerce. The priorities funded in this Budget build upon the investments you enacted in Fiscal Year 2022, and I am grateful for your support as we look forward to accomplishing even more in Fiscal Year 2023. The...

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National Black History Month: Honoring a Century of Excellence in Data Collection and Use

February 23, 2022

Blog

National Black History Month: Honoring a Century of Excellence in Data Collection and Use

For more than 100 years, African American leaders in the Department of Commerce have been making significant, innovative contributions to our collection of data to help us better understand our country and our world. Through locations across the country, the Commerce Department provides services crucial to deploying connectivity, data, and information to businesses, American communities, and...

African American History Month

Deputy Secretary Graves Applauds U.S. Census Bureau and Bureau of Economic Analysis for Producing Quality, Accurate and Equitable Data During Pandemic

July 27, 2021

Blog

Commerce Deputy Secretary Don Graves greets staff at the U.S. Census Bureau and Bureau of Economic Analysis (BEA) headquarters in Suitland, MD.

Today, the nation demands data about our people, places and the economy that is more timely, accurate, and granular than ever before. Earlier this month, Commerce Deputy Secretary Don Graves visited the U.S. Census Bureau and Bureau of Economic Analysis (BEA) headquarters in Suitland, MD and thanked them for their ongoing commitment to data innovation, quality and accuracy and for providing the...

Economic indicators

Population statistics

America's Strong Marine Economy Vital to Building Back Better

June 9, 2021

Blog

Cargo ship safely entering the port of Miami in Florida.

America's marine economy contributed about \$397 billion to the nation's gross domestic product in 2019 and grew faster than the nation's economy as a whole, according to the most current results of the first official Marine Economy Satellite Account released today by two Department of Commerce agencies. "America's strong marine economy is absolutely vital for building back better," said Secretary...

Environmental data

Climate Change

Gross domestic product [GDP]

Secretary Gina Raimondo

Commerce Department Honors Teacher Appreciation Week Providing Teachers Valuable Online Resources

May 5, 2021

Blog

Kids can learn about the importance of measurement in everyday life through NIST's animated series featuring metrics superheroes.

Ever wanted to take a virtual tour of the ocean, learn about the role of a hurricane hunter, be a part of a superhero "Measurement League," or collect cards on famous inventors? Are you looking to educate your students about the importance of being counted in the Decennial Census? In honor of Teacher Appreciation Week, we celebrate the impact teachers have on our kids including all the teachers...

Camp Invention

National Inventors Hall of Fame

Science, Technology, Engineering and Math [STEM]

Statement from U.S. Secretary of Commerce Gina M. Raimondo on Q1 2021 GDP Advance Estimate

April 29, 2021

Press releases

Today, the Department of Commerce's Bureau of Economic Analysis (BEA) released the advance estimate for gross domestic product (GDP) for the first quarter of 2021, finding that real gross domestic product increased at a 6.4-percent annual rate. Personal consumption expenditures increased by a robust 10.7-percent annual rate, while business investment in equipment and intellectual property products...

Economic indicators

Gross domestic product [GDP]

Secretary Gina Raimondo

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Bureau of Industry and Security

The Bureau of Industry and Security (BIS) advances U.S. national security, foreign policy, and economic objectives by ensuring an effective export control and treaty compliance system, and by promoting continued U.S. leadership in strategic technologies. BIS accomplishes its mission by maintaining and strengthening adaptable, efficient, effective export controls and treaty compliance systems, along with active leadership and involvement in international export control regimes.

Leadership

Alan F. Estevez

Alan F. Estevez

Under Secretary of Commerce for Industry and Security

Thea D. Rozman Kendler

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Assistant Secretary of Commerce for Export Administration

Matthew S. Axelrod

Matthew S. Axelrod

Assistant Secretary for Export Enforcement

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Secretary Raimondo Announces Presidential Proclamation Extending Temporary Suspension of 232 Tariffs on Ukraine Steel

May 31, 2023

Press releases

Today, Secretary of Commerce Gina Raimondo announced the United States will extend by one year the temporary suspension of tariffs on Ukrainian steel under Section 232 of the Trade Expansion Act of 1962, as amended. "Over the last year, the United States has provided a much-needed market for the steel that Ukraine is able to export. In doing so, Americans are directly supporting the people of...

Trade enforcement

Readout of Secretary Raimondo's Meeting with Minister of Economy, Trade and Industry of Japan Nishimura Yasutoshi

May 26, 2023

Press releases

Today, Secretary Gina Raimondo and Minister of Economy, Trade and Industry of Japan Nishimura Yasutoshi convened the second Ministerial meeting of the Japan-U.S.

Commercial and Industrial Partnership (JUCIP). During the meeting, the two discussed a range of issues and activities critical to the U.S.-Japan commercial relationship, including resilient semiconductor supply chains, cooperation on...

ICT Supply Chain

Trade enforcement

Export Controls

Secretary Gina Raimondo

Remarks by Deputy Secretary of Commerce Don Graves at the Space Symposium

April 19, 2023

Speeches

Thank you for that kind introduction, Tom, and thank you to the Space Foundation for inviting me to speak today. I also want to thank Jodi Slattery and her great team for

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putting together this event and extend my gratitude to Macy Miller and the team at The Broadmoor for hosting us and putting together such a great show. Before I dive into the meat of my speech, I want to remind folks of the...

Cybersecurity

Space commerce

Weather and satellites

Readout of Secretary's Meeting with European Commission Executive Vice President

Valdis Dombrovskis

March 2, 2023

Press releases

Today, Secretary of Commerce Gina Raimondo spoke with European Commission Executive Vice President Valdis Dombrovskis. During the call, the two discussed areas of shared interest including collaboration within the U.S.-EU Trade and Technology Council (TTC) and export controls. The Secretary underscored progress on semiconductor cooperation through the TTC and raised the potential to deepen...

Export and investment promotion

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Secretary Gina Raimondo

Export Controls

Readout of Deputy Secretary Don Graves Meeting with European Commission President

Ursula von der Leyen

February 28, 2023

Press releases

U.S. Deputy Secretary of Commerce Don Graves joined U.S. Ambassador to the European Union Mark Gitenstein in a meeting with Ursula von der Leyen, President of the European Commission, in Brussels on February 28. Deputy Secretary Graves expressed gratitude for the close cooperation with the European Union on sanctions and export controls given Russia's continued, unprovoked war in Ukraine. Deputy...

Trade enforcement

Export Controls

Remarks by Deputy Secretary of Commerce Don Graves at the CEPS Ideas Labs 2023 in Brussels

February 28, 2023

Speeches

Thank you, Joaquin, and thank you to CEPS for inviting me to be a part of this terrific program. We're gathered here days after marking the one-year anniversary of Russia's brutal full-scale invasion of Ukraine. Putin's land grab was a despicable act meant to divide the West, but instead was met with a wall of resistance: from the brave people in Ukraine, as well as from America and our allies in...

Export and investment promotion

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Readout of Deputy Secretary Graves' Meeting with European Commission Director-General for Taxation and Customs Union Gerassimos Thomas

February 28, 2023

Press releases

Today, Commerce Deputy Secretary Don Graves met with the European Commission's Director-General for Taxation and Customs Union Gerassimos Thomas. The two leaders

discussed U.S.-EU cooperation on the Ukraine crisis, including operationalizing enforcement of our mutual Russian sanctions and export controls. During the meeting Graves expressed his deep appreciation for the EU's solidarity in...

Trade enforcement

Export Controls

Readout of Deputy Secretary Graves' Meeting with European Commission Director-General for Trade Sabine Weyand

February 28, 2023

Press releases

Yesterday, Commerce Deputy Secretary Don Graves met with the European Commission's Director-General for Trade Sabine Weyand. The two leaders discussed U.S.-EU cooperation on the Ukraine crisis, including continued development and implementation of export control actions against Russia and Belarus. During the meeting Graves thanked the EU for the substantial trade restrictions imposed on Russia and...

Trade enforcement

Export Controls

Statement by Secretary of Commerce Gina Raimondo on the Imposition of Higher Section 232 Tariffs on Imports of Russian Aluminum

February 24, 2023

Press releases

Secretary of Commerce Gina Raimondo today released the following statement after the White House announced additional actions in coordination with the G7 to hold Russia accountable for its invasion of Ukraine: "The United States continues to rally the world to support Ukrainians as they defend their freedom. Today, one year after Russia began its brutal invasion of Ukraine, President Biden is...

Trade enforcement

Export Controls

Section 232 Investigation

Remarks by U.S. Secretary of Commerce Gina Raimondo: The CHIPS Act and a Long-term Vision for America's Technological Leadership

February 23, 2023

Speeches

Today, U.S. Secretary of Commerce Gina Raimondo delivered a speech titled "The CHIPS Act and a Long-term Vision for America's Technological Leadership" at Georgetown University's School of Foreign Service. In her remarks, Raimondo outlined the historic opportunity provided by the CHIPS and Science Act and the long-term goals she has set for the program to solidify America's technology and...

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The mission of the Bureau of the Census is to serve as the leading source of quality data about the nation's people and economy. To accomplish this mission, Census measures and disseminates information about the nation's dynamic economy, society, and institutions, fostering economic growth and advancing scientific understanding, and facilitating informed decisions.

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Robert Santos
Director Robert Santos
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Deputy Director of the U.S. Census Bureau

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Pride Month: U.S. Census Bureau Data on Same-Sex Households

June 30, 2023

Blog

Pride Month: U.S. Census Bureau Data on Same-Sex Households

Every ten years, the U.S. Census Bureau counts everyone in the United States on April 1. The U.S. Constitution mandates the enumeration to determine how to apportion the House of Representatives among the states. The 2021 American Community Survey gave people the option to identify a relationship as same sex. All demographic surveys collect information about same-sex couples. Responses from the...

LGBT Pride Month

U.S. Census Bureau Releases Key Stats in Honor of 2023 Asian American, Native Hawaiian, and Pacific Islander Heritage Month

May 1, 2023

Blog

Graphic of Asian American and Native Hawaiian/Pacific Islander (AANHPI) Businesses
In 1992, Congress established May as Asian American and Pacific Islander Heritage Month to coincide with two key milestones: the arrival of the nation's first Japanese immigrants (May 7, 1843) and Chinese workers' pivotal role in building the transcontinental railroad (completed May 10, 1869). The move expanded what had been Asian/Pacific American Heritage Week since 1978. In 2021, a presidential...

Asian American and Pacific Islander Heritage Month

Women's History Month: Carrying the Torch of Public Service from Tehran to New York
March 27, 2023

Blog

Roxanne Moadel-Attie, New York Regional Office Program Manager, U.S. Census Bureau
Roxanne Moadel-Attie, New York Regional Office Program Manager, U.S. Census Bureau
When asked what most motivated me to join the Commerce Department's U.S. Census Bureau, I often point to my graduate research on the psychosocial impacts of racial classification, modeling the integration of both Middle Eastern North African (MENA) and Hispanic/Latino race categories as well as other recommendations...

Women's History Month

Women's History Month: Serving My New Country with a Passion for Increasing Participation in Census Surveys

March 15, 2023

Blog

Photo of Zakera Ahmed, New York Regional Office Program Manager, U.S. Census Bureau
By Zakera Ahmed, New York Regional Office Program Manager, U.S. Census Bureau
My love for and pride in public service came from watching my father, Badaruddin Ahmed, serve as a government official in Bangladesh. From the time I was a young child, I saw the respect he received from the community and learned the importance of civic duty. I knew I wanted to serve in the U.S. government since moving...

Women's History Month

2022 Economic Census is Underway. Have you responded?

March 8, 2023

Blog

The 2022 Economic Census: Your response makes a difference.

The March 15, 2023 deadline to respond to the 2022 Economic Census is fast approaching! Have you responded yet? Let me tell you why it's important to do so. The economic census is, by far, the most comprehensive measure of our U.S. economy. Every five years we gather data about America's businesses, its workforce, and trillions of dollars in sales by products and services. Data from the economic...

Remembering the Progress Our Nation Has Made and Our Obligation to Continue Moving Forward

March 1, 2023

Blog

Commerce Celebrates Black History Month

Black History Month is an opportunity to celebrate the extraordinary achievements of Black Americans and recognize their contributions to our country. It's also an opportunity to reflect on our work here at the Department to ensure economic growth and opportunity for all communities. The Biden-Harris Administration remains committed to using the power of the Federal Government to address the long...

African American History Month

Black History Month: A Leader in the Use of Satellite Imagery in Assisting with Natural Disasters and Ensuring an Accurate Population Count

February 27, 2023

Blog

Black History Month: A Leader in the Use of Satellite Imagery in Assisting with Natural Disasters and Ensuring an Accurate Population Count

By Paul Watson, Team Lead, Geospatial Reference Data Branch, U.S. Census Bureau I was born in the Bronx, New York, and raised in Prince George's County, Maryland. My family is from Jamaica, and always placed a strong emphasis on professionalism and passion. Many of my family members worked in architecture, construction, and engineering jobs that helped shape the earth beneath our feet. Their...

African American History Month

Test Your Knowledge: Census Trivia in Honor of Black History Month

February 23, 2023

Blog

Celebrating Black History Month

By Michele Lee, Intern, Office of the Secretary, Office of Public Affairs Every February, people in the United States celebrate the achievements and history of African Americans as a part of Black History Month. Black Americans have been integral to the development and advancement of innovation in the United States, with more than 30% employed in management, business, science and arts occupations...

African American History Month

Commerce Celebrates Its 120th Birthday!

February 14, 2023

Blog

Photo of Herbert C. Hoover. The sweeping changes that Hoover made during his time as Secretary shaped the Department of Commerce of today. His influence extends to the building that now bears his name.

By Iris Fan, Intern, Office of the Secretary, Office of Public Affairs Today marks the 120th anniversary of the creation of the U.S. Department of Commerce. The Department of Commerce was originally the U.S. Department of Commerce and Labor, originating on February 14, 1903. Two days after its creation, President Roosevelt

nominated his personal Secretary, George B. Cortelyou, as the first...
Black History Month: A Leader in Supporting Diversity and Inclusion at the U.S.
Census Bureau
February 7, 2023

Blog

LaTisha I. White, Risk Manager, Geography Division, U.S. Census Bureau
By: LaTisha I. White, Risk Manager, Geography Division, U.S. Census Bureau To Whom
Much is Given, Much is Required (Luke 12:48) Each year, Black History Month reminds
me of the rich heritage, sacrifice and contributions of African Americans. My Black
history began in Prince George's County, Maryland. As a young girl, I was heavily
influenced by my mother, who began her government career as a GS-2...

African American History Month

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Economic Development Administration

Since EDA's inception 52 years ago, EDA has led the federal economic development agenda by promoting innovation and competitiveness, preparing American regions for growth and success in the worldwide economy. EDA is proud of the investments it has made in economically distressed regions, based on their locally-driven strategies and needs, and the outcomes of those investments which spurred local innovation and entrepreneurship creating and saving jobs and leveraging private investments.

Leadership

Alejandra Y. Castillo

Alejandra Y. Castillo

Assistant Secretary of Commerce for Economic Development

Dennis Alvord

Thumbnail

Deputy Assistant Secretary for Economic Development and Chief Operating Officer

Related Content

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Commerce's EDA Celebrates Pride

June 30, 2023

Blog

The Progress Pride flag flies in front of the U.S. Department of Commerce Pride Month – observed each June – is both a celebration of progress for LGBTQI+ rights, and an opportunity to raise awareness of persistent challenges to those rights. President Biden's proclamation on Lesbian, Gay, Bisexual, Transgender, Queer, and Intersex Pride Month recognizes it as a time to "honor a movement that has grown stronger, more vibrant, and more inclusive with every passing year."...

LGBT Pride Month

Biden-Harris Administration Launches Recompete Pilot Program Funding Opportunity

June 29, 2023

Press releases

The Biden-Harris administration, through the U.S. Department of Commerce's Economic Development Administration (EDA), today launched the Distressed Area Recompete Pilot Program (Recompete) competition. Recompete was authorized by the CHIPS and Science Act and is a part of President Joe Biden's Investing in America agenda, stimulating private sector investment, creating good-paying jobs...

Investing in communities and workers

Workforce Development

CHIPS and Science Act

U.S. Department of Commerce Designates First Economic Development District in Puerto Rico

May 12, 2023

Press releases

Today, U.S. Deputy Secretary of Commerce and Puerto Rico Economic Growth Coordinator Don Graves announced that the Department's Economic Development Administration (EDA) has designated the first regional Economic Development District (EDD) in Puerto Rico, the Southern Puerto Rico Economic Development District (SPREDD). "President Biden is committed to ensuring that no community or demographic...

Investing in communities and workers

Puerto Rico

Biden-Harris Administration Launches First Tech Hubs Funding Opportunity

May 12, 2023

Press releases

As part of the President's Investing in America Agenda, the U.S. Department of Commerce seeks applications to develop regional centers of innovation and job creation. The Biden-Harris administration, through the U.S. Department of Commerce's Economic Development Administration (EDA), today launched the Regional Technology and Innovation Hubs (Tech Hubs) competition. This program will create tech...

Investing in communities and workers

CHIPS and Science Act

Innovation

Investing in America

Remarks by U.S. Secretary of Commerce Gina Raimondo at the National Action Network National Convention

April 14, 2023

Speeches

Thank you, Rev. Sharpton, and thank you to the National Action Network for your tireless work advancing civil rights and expanding opportunity for everyone in America. It's an honor to be here today to talk about the work we've done and the work ahead of us to create a better, more equal, more prosperous United States of America. Now, I am the Secretary of Commerce. And we do a lot of things...

Infrastructure

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Workforce Development

Broadband

Secretary Gina Raimondo

Women's History Month: Impacting Innovation and Economic Growth

March 24, 2023

Blog

Brittany Sickler, Networks Program Manager, U.S. Economic Development Administration (EDA)

By Brittany Sickler, Networks Program Manager, U.S. Economic Development Administration (EDA) Women's History Month has always been an important time for me to consider the remarkable—and ordinary—stories in our country's journey to equality. For instance, just after my mother was born in 1944, a bill was introduced proposing employers be required to pay women equal pay for equal work - an...

Investing in communities and workers

Women's History Month

Remembering the Progress Our Nation Has Made and Our Obligation to Continue Moving

Forward

March 1, 2023

Blog

Commerce Celebrates Black History Month

Black History Month is an opportunity to celebrate the extraordinary achievements of Black Americans and recognize their contributions to our country. It's also an opportunity to reflect on our work here at the Department to ensure economic growth and opportunity for all communities. The Biden-Harris Administration remains committed to using the power of the Federal Government to address the long...

African American History Month

Black History Month: At EDA, Equity is Our Number One Investment Priority

February 24, 2023

Blog

Black History Month: At EDA, Equity is Our Number One Investment Priority

By Angela Ewell-Madison, Director of Legislative and Intergovernmental Affairs, U.S. Economic Development Administration As a Black American and a career employee at the Commerce Department's U.S. Economic Development Administration (EDA), I believe that Black history is American history. I am proud to join generations of Black public servants in my over 30 years of Federal service. My Journey to...

Investing in communities and workers

African American History Month

Remarks by Deputy Secretary of Commerce Don Graves at the Department of Labor Good Jobs Event

February 21, 2023

Speeches

Thank you, Deputy Secretary Su, for bringing us together today. Providing good jobs for ALL workers is an important conversation. We've lived through an historic moment. The pandemic changed our daily lives, including the way we work. American workers are seeking new skills and quality jobs, and U.S. employers are transforming how they do business. Increasing access to the new, high-quality jobs...

Investing in communities and workers

U.S. Commerce Deputy Secretary Don Graves Meets with Members of Multiple Sectors and Community-Led Organizations, Announces Funding for Puerto Rico, and Urges Participation in the Economic Census

February 14, 2023

Blog

Deputy Secretary Graves visits Lufthansa Technik facilities in Aguadilla, which has been a leader in the expansion of Puerto Rico's aerospace industry and local workforce development.

From February 6-9, U.S. Deputy Secretary of Commerce Don Graves traveled to Puerto Rico to meet with members of academia, public policy, government, community-led organizations, and the private sector -- including leaders of the construction, manufacturing, banking segments -- to discuss the outcome of December's Puerto Rico Economic Dialogue. While in San Juan, the Deputy Secretary joined Puerto...

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[Office of the Under Secretary for Economic Affairs](#)

[Our Mission](#)

To advance Departmental initiatives by conducting economic analysis, promoting business and commerce, guiding data-driven decision-making and evidence-building activities, and increasing access to government data while ensuring privacy and confidentiality.

[Principal Responsibilities](#)

Under the direction of the Secretary of Commerce, the Under Secretary for Economic Affairs carries out initiatives and duties, including:

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Coordinates economic analysis needs across the Department

Leads Departmental initiatives involving the promotion of domestic business and commerce

Provides policy direction and oversight of the Bureau of Economic Analysis (BEA) and the Census Bureau

Leads the Department's initiatives and programs related to data, data policy, and data management

Serves as the lead executive on other matters of importance to the Secretary

The duties of the Under Secretary's Office fall into broad categories—activities related to economic policy analysis and business development, directed by the Chief Economist and Deputy Chief Economist, and responsibilities related to the Federal Data Strategy and the Foundations for Evidence-Based Policymaking Act of 2018 ("Evidence Act"), guided by the Department's Chief Data Officer, Statistical Official, and Evaluation Officer.

Leadership

Jed Kolko

Jed Kolko

Under Secretary of Commerce for Economic Affairs

Oliver Wise

Oliver Wise

Chief Data Officer

Jane Dokko

Jane Dokko

Chief Economist

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Geographic Inequality on the Rise in the U.S.

June 15, 2023

Blog

Regional Economic Research Initiative Blog

Geographic inequality has widened over the past four decades. The gap in incomes between richer places and poorer places has grown. Strikingly, geographic income inequality continued to climb in recent years even though many measures of overall income and wage inequality have narrowed somewhat as wage growth has been strongest for lower-wage workers. Rising geographic inequality suggests that...

Regional Economic Research Initiative

Regional Economic Research Initiative

The Regional Economic Research Initiative is a Department of Commerce data effort to improve our nation's understanding of local regional economies and their ability to compete. Launched in March 2023, the Regional Initiative aims to equip public and private sector decision-makers at every level with research and data tools to address entrenched and growing geographic inequality and better meet...

Department of Commerce Launches Regional Economic Research Initiative

March 27, 2023

Press releases

Today, the U.S. Department of Commerce is announcing the Regional Economic Research Initiative (Regional Initiative), a new data initiative to improve our nation's

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understanding of local regional economies and their ability to compete. The importance of place has often been overlooked in favor of other ways of studying and growing the economy, which has contributed to the concentration of economic...

Regional Economic Research Initiative

National Concrete Masonry Products Board

⇒ Meet the members of the Concrete Masonry Products Board Voting board members:
Member Company Region
Brendan Quinn Ernest Maier, Inc Northeast
Richard O'Connor Taylor Concrete Products, Inc Northeast
Heidi Jandris Jandris Block Northeast
Preston Sparkman Quality Block & Supply Southeast
Major Ogilvie Cemex (Ready Mix) Southeast
Gary Hensley Oldcastle APG Southeast
Gregg Gibbel Best Block...

Hispanic Heritage Month: Equity and Inclusivity for a Stronger Nation

October 13, 2022

Blog

Collage of Commerce Department employees featured for Hispanic Heritage Month, 2022
As Secretary of Commerce, I have one overarching goal: improving America's competitiveness so all our workers and companies can succeed in the global economy. And equity is at the core of our mission and underpins everything we do at the Commerce Department. This pursuit of equity is not just the right thing to do - it's the right thing to do for our economy. America has over 4.7 million Latino...

Minority business growth

Entrepreneurship

Hispanic Heritage Month

U.S. Secretary of Commerce Gina Raimondo Appoints 15 to the Inaugural National Concrete Masonry Products Board

August 30, 2022

Press releases

Today, U.S. Secretary of Commerce Gina Raimondo announced the appointment of 15 business leaders to a new National Concrete Masonry Products Board, an industry-funded program devoted to research, education, and promotion of concrete masonry products. The program, fully funded by industry through assessments on the sale of its products, does not use taxpayer dollars. "I am thrilled to appoint a...

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International Trade Administration

The mission of the International Trade Administration (ITA) is to create prosperity by strengthening the international competitiveness of U.S. industry, promoting trade and investment, and ensuring fair trade and compliance with trade laws and agreements.

Commerce has established a new formal channel for stakeholders to provide information related to unfair subsidies for foreign producers and exporters of seasonal and perishable products, including those in Mexico.

Leadership

Marisa Lago

Marisa Lago

Under Secretary of Commerce for International Trade

Diane Farrell

Diane Farrell is the Acting Deputy Under Secretary for International Trade.

Deputy Under Secretary for International Trade

Related Content

Bureaus and Offices

Issues

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Commerce Pride and Bringing Cultural Awareness and Inclusion to Commerce

June 30, 2023

Blog

Commerce Pride and Bringing Cultural Awareness and Inclusion to Commerce

Matt Phan (he/him) is the President of Commerce PRIDE, the Department's cross-bureau queer employee resource group. He has also been the Librarian with the Commerce Research Library for over five years, helping the Department with its diverse information needs. Specifically, he manages the library's technology solutions, providing mission-critical information access. Through his proactive outreach...

LGBT Pride Month

Readout of Secretary Raimondo's Meeting with Members of the U.S. Section of the U.S.-Brazil CEO Forum

June 29, 2023

Press releases

Today, U.S. Secretary of Commerce Gina Raimondo met with the U.S. Section of the U.S.-Brazil CEO Forum for the first time to welcome members to the 2023-2026 term and prepare for the 12th Plenary Session of the Forum. At the meeting, the members discussed strategic opportunities in their respective sectors and highlighted the importance of trade with Brazil to their domestic operations. The...

Export and investment promotion

Brazil

U.S. Department of Commerce Secretary Gina Raimondo Releases 2023 National Export Strategy

June 29, 2023

Press releases

Today, Secretary of Commerce Gina Raimondo released the 2023 National Export Strategy (NES). This report to Congress establishes U.S. trade promotion priorities and a coordinated, whole-of-government framework to better equip American companies and workers to compete in global markets and grow through international trade. The NES highlights government programs and resources to assist U.S...

Export and investment promotion

Exports

Remarks by Deputy Secretary of Commerce Don Graves at the Aerospace Industries Association's Supply Chain Fireside Chat

June 20, 2023

Speeches

Thank you, Eric, for the kind introduction. I'm delighted to be here today. The Aerospace Industries Association is an important partner of the Commerce Department. I know one issue on the front of everyone's mind is supply chains. The Biden Administration is working hard to create a business environment that encourages reshoring of critical supply chain needs. The CHIPS and Science Act, the...

Aerospace

Supply Chain

Remarks by Deputy Secretary of Commerce Don Graves at the U.S. Pavilion Opening

June 19, 2023

Speeches

Hello, everyone! It is my great pleasure to be here with you today at the return of the Paris Air Show after four long years. On behalf of the Department of Commerce, I would like to thank Tom Kallman and his outstanding team at Kallman Worldwide for their impressive work in putting together this amazing U.S. pavilion - you should all be very proud. I am very excited to be here as part of a robust...

Export and investment promotion

Aerospace

Readout of Deputy Secretary Graves' Meeting with Singapore's Minister for Foreign Affairs Vivian Balakrishnan

June 16, 2023

Press releases

Today, Deputy Secretary Don Graves met with Singapore's Minister for Foreign Affairs Vivian Balakrishnan to discuss U.S. economic engagement in Southeast Asia and the Indo-Pacific region. Deputy Secretary Graves underscored the Commerce Department's commitment to promoting a peaceful, secure, and prosperous Southeast Asia and thanked Singapore for its continued support and leadership in the Indo...

Export and investment promotion

Indo-Pacific Economic Framework

United States to Participate in Fourth Indo-Pacific Economic Framework for Prosperity (IPEF) Negotiating Round in South Korea

June 13, 2023

Press releases

The U.S. Department of Commerce and the Office of the United States Trade Representative today announced that a U.S. delegation will travel to Busan, South Korea, for the fourth negotiating round of the Indo-Pacific Economic Framework (IPEF) from July 9-15, 2023. The U.S. interagency delegation will be co-led by Sharon H. Yuan, U.S. Department of Commerce Counselor and Chief Negotiator for Pillars...

Indo-Pacific Economic Framework

U.S.-UK Joint Statement on the U.S-UK Data Bridge

June 9, 2023

Press releases

U.S. Secretary of Commerce Gina Raimondo and UK Secretary of State for Science, Innovation, and Technology the Rt Hon Chloe Smith MP issued a joint statement yesterday on the announcement that both countries have committed in principle to establish a data bridge. "Today, President Joseph R. Biden and Prime Minister Rishi Sunak announced that they have committed in principle to establish a data...

EU-U.S. Privacy Shield

European Union

Readout of Deputy Secretary Graves' Meeting with Dutch Minister of Economic Affairs and Climate Micky Adriaansens

June 8, 2023

Press releases

Today, Deputy Secretary Don Graves met with Dutch Minister of Economic Affairs and Climate Micky Adriaansens to discuss transatlantic cooperation and the U.S.-EU relationship, including progress from the fourth U.S.-EU Trade and Technology Council (TTC) Ministerial meeting on May 31. Deputy Secretary Graves highlighted opportunities within the CHIPS and Science Act and underscored the United...

Export and investment promotion

European Union

Trade and Technology Council

Joint Statement: The U.S. Department of Commerce and Singapore Ministry of Trade and Industry Meet for the Second U.S.-Singapore Partnership for Growth and Innovation Annual Dialogue

June 8, 2023

Press releases

Export and Investment Promotion, ICT Supply Chain, Manufacturing, Clean Energy, Standards U.S. Under Secretary of Commerce for International Trade Marisa Lago and Singapore Permanent Secretary (Policy) of the Ministry of Trade and Industry Gabriel Lim met in Singapore on Monday for the second U.S.-Singapore Partnership for Growth and Innovation (PGI) annual dialogue. The PGI is a bilateral...

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[2023 National Export Strategy Report](#)

[National Export Strategy 2023](#)

Just released is a new whole-of-government strategy to better equip American companies and workers to compete in global markets and grow through international trade, including small and medium enterprises, historically underrepresented businesses, and those new to exporting.

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Man using a laptop to access world markets with air, land, water transports behind him.

[Export Solutions](#)

Your essential international business toolkit of practical exporting advice and business tools developed for you by our trade professionals

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See Trade Data & Analysis

Red and white traffic barrier pole

Running Into Problems?

Are you encountering a trade barrier in a foreign market? We can help!

Resolve a Trade Problem

Businesswoman using on smartphone and tablet as concept in virtual icon diagram.

Virtual Services

Take advantage of our virtual services

Virtual Services

About ITA

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Illustration of a group of people

Leadership

The International Trade Administration is led by the Under Secretary of Commerce for International Trade. Learn about ITA's executive leadership team

ITA Senior Staff

ITA Emblem

About Us

Learn more about who we are and what we do at the International Trade Administration

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Illustration of an hierarchal organization chart

Organization Charts

ITA is organized into three primary business units working to improve the global business environment and help U.S. organizations compete at home and abroad

ITA Organization Charts

stack of books

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There's no better time to export than now. Explore our exporting tools and resources to get started!

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Travel picture with passports, airline tickets, cell phone with travel instructions and a map background and compass.

Research Foreign Markets

Learn how to find the right export markets for you

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wood letter stamps

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ITA Successes

A construction crane is shown reaching into a large mound of scrap metal

Success Story

From Trash to Treasure to Trade: How ITA Drives Economic Growth in the U.S. Recycling Industry

June 20, 2023

We've all heard the concept: reduce, reuse, recycle or commonly known as the 'Three R's' when we talk about sustainability and protecting our environment.

Scrap Materials Standards

[Read More](#)

Chocolate cookies being taken out of the oven

Success Story

Craving Chocolate? ITA Helps Ghana Get a Sweet Deal in the U.S. Market

May 17, 2023

Niche Cocoa Ghana finds sweet success when opening a plant in the United States.

Confectionary Products Ghana Economic Development

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Cardboard box with packaging blueprint

Success Story

The Total Package: ITA Helps Packaging Machinery Reflect Excellence by Design

May 09, 2023

Learn how ITA's Market Development Cooperator Program (MDCP) funding is helping companies achieve success!

Design and Construction Economic Development and Investment

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Minority Business Development Agency

The mission of the Minority Business Development Agency (MBDA) is to promote the growth of minority owned businesses through the mobilization and advancement of public and private sector programs, policy, and research. MBDA has accomplished this mission by funding a network of centers that provide Minority Business Enterprises (MBEs) a variety of business assistance services.

Leadership

Donald R. Cravins Jr.

Donald R. Cravins Jr.

Under Secretary of Commerce for Minority Business Development

Jessica G. Cavazos

Jessica G. Cavazos

Deputy Under Secretary of Commerce for Minority Business Development

Jeremiah "JJ" Jones

Jeremiah "JJ" Jones Photo

Chief Operating Officer

Related Content

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Issues

Tags

Remarks by Deputy Secretary of Commerce Don Graves at the Minority Business Development Agency's AANHPI Business Summit

May 17, 2023

Speeches

Thank you, Under Secretary Cravins, for that kind introduction. Hello everyone, and Happy Asian American, Native Hawaiian, and Pacific Islander Heritage Month! It is such an honor to be here at MBDA's National AA and NHPI Business Summit. This event is so important, and we are grateful to MBDA for putting all of this together. I'm especially pleased to be joined here today by Congresswoman Chu, as...

Minority business growth

Asian American and Pacific Islander Heritage Month

Remarks by Deputy Secretary of Commerce Don Graves Before Roundtable with Young Men of Color Small Business Owners and Entrepreneurs

May 16, 2023

Speeches

Good afternoon everyone. Thank you, Madam Vice President, for having me here today to join in this important conversation. Seeing all of the business owners here today, I am reminded of my own family's story. I know firsthand that America's road to prosperity runs through our minority business communities. My four-time great-grandparents, former slaves, built a successful horse and buggy taxi...

Minority business growth

Entrepreneurship

Commerce's Minority Business Development Agency to Host 2023 National AANHPI Business Summit

May 11, 2023

Blog

2023 National AANHPI Business Summit logo

400+ entrepreneurs and small business owners will gather to understand opportunities within the CHIPS and Science Act, staying competitive in a digital world, and

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accessing capital The U.S. Department of Commerce's Minority Business Development Agency (MBDA) is celebrating Asian American, Native Hawaiian, and Pacific Islander (AANHPI) Heritage Month by hosting the National AANHPI Business Summit...

Minority business growth

Asian American and Pacific Islander Heritage Month

Commerce Deputy Secretary Don Graves Travels to Chicago and Promotes New Grant Competition Focused on Supporting Minority and Women Businesses and Entrepreneurs
January 17, 2023

Blog

Deputy Secretary of Commerce Don Graves participates in a roundtable discussion to highlight the recently announced Minority Business Development Agency's Capital Readiness Program

On Friday, January 13, U.S. Deputy Secretary of Commerce Don Graves traveled to Chicago to highlight the recently announced Minority Business Development Agency's Capital Readiness Program, a \$93.5 million grant competition dedicated to helping minority, women, and other underserved entrepreneurs grow and scale their businesses. "Entrepreneurship is a pathway to the American Dream," said Deputy...

Minority business growth

Year in Review: Commerce's Top Stories and Accomplishments of 2022

January 13, 2023

Blog

President Biden signs the CHIPS and Science Act of 2022 at a White House ceremony on August 9, 2022.

As we welcome 2023, we reflect on some of the most popular stories, issues, and accomplishment from 2022. This past year, the Commerce Department remained at the forefront of many critical issues like no other time in history. Commerce played a central role in enacting President Biden's economic, trade, and innovation agenda to build a better America. One of the most important accomplishments of...

Export and investment promotion

First responder network

ICT Supply Chain

Intellectual property

Investing in communities and workers

Minority business growth

Trade enforcement

Broadband

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Export Controls

Secretary Raimondo Statement on Fiscal Year 2023 Appropriations

December 29, 2022

Press releases

U.S. Secretary of Commerce Gina Raimondo issued the following statement on the Fiscal Year 2023 appropriations for the U.S. Department of Commerce after President Joseph R. Biden, Jr. signed the Consolidated Appropriations Act, 2023 into law. "Once again, President Biden is showing that the United States government is capable of delivering on its promises to the American people and I congratulate..."

ICT Supply Chain

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Investing in communities and workers

Manufacturing

Minority business growth

Secretary Gina Raimondo

Entrepreneurship

Broadband

Commerce Department's Minority Business Development Agency Announces Nearly \$100 Million to Expand Opportunities for Underserved Entrepreneurs

December 21, 2022

Press releases

The Capital Readiness Program grant competition marks the largest investment to support minority entrepreneurs and businesses from the Commerce Department Today, the U.S. Department of Commerce launched the Capital Readiness Program grant competition, which dedicates \$93.5 million to help minority and other underserved entrepreneurs launch and scale their businesses. This program, administered by...

Minority business growth

Remarks by Deputy Secretary of Commerce Don Graves at the EXIM Annual Conference

December 13, 2022

Speeches

Thank you, my friend and colleague, Chair Lewis, for that warm introduction. It is indeed a pleasure to be here at the EXIM Annual Conference. The range of topics you are discussing at this conference - from clean energy technologies to global infrastructure, from small business financing to semiconductors and transformational exports - reflect the incredible breadth and depth of EXIM's work with...

Export and investment promotion

Exports

Ensuring Tribal Communities Have the Resources Needed for Economic Growth

November 29, 2022

Blog

U.S. Department of Commerce Celebrates Native American Heritage Month

Native American Heritage Month is a time to celebrate and honor the rich history and heritage of Native Americans and recognize their vast contributions to our country's progress and to our economy. The Commerce Department is committed to ensuring that Tribal communities have the resources they need for economic growth. That commitment starts with high-speed internet. For too long, Tribal...

Intellectual property

Investing in communities and workers

Minority business growth

Native American Heritage Month

Science, Technology, Engineering and Math [STEM]

Secretary Gina Raimondo

Breaking Ground in Design: MBDA Helps Support New Indigenous Projects

November 28, 2022

Blog

Commerce Celebrates Native American Heritage Month: Breaking Ground in Design: MBDA Helps Support New Indigenous Projects

In honor of Native American Heritage Month, the U.S. Department of Commerce has recognized the extensive impact of Native American innovators, entrepreneurs, and minority business enterprises throughout the nation. As the only federal agency solely dedicated to the growth and global competitiveness of minority business enterprises, Commerce's Minority Business Development Agency (MBDA) offers a...

Minority business growth

Native American Heritage Month
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[Jessica Cavazos Sworn-in As Deputy Under Secretary of Commerce for Minority Business Development](#)

The U.S. Department of Commerce, Minority Business Development Agency (MBDA) has announced the appointment of Jessica G. Cavazos as Deputy Under Secretary of Commerce for Minority Business Development.

Success Stories

EntrepreneurshipEducationSuccess Stories

MBDA Success Stories

We are proud to share the success stories from our network of grantees who operate programs in 35 States, Puerto Rico, and the District of Columbia. Your Success = Our Success.

Disparity Studies

Disparity StudiesResearchBusiness

MBDA Disparity Studies

New disparity studies are available paying attention to the metrics that exist in public procurement and contracting that affect minority business.

MED Week 2023

EntrepreneurshipSuccess StoriesMED Week

2022 Year in Review

2022 was a historic year for the Minority Business Development Agency (MBDA). This marks the first full calendar year since President Biden signed into law the Minority Business Development Act on November 15, 2021. It continues to be the honor of my lifetime to serve at the helm of MBDA during this exciting new chapter in the Agency's 53-year history.

Twitter Feed

Tweets by @USMBDA

Latest News

The Orgs Looking Out For Minority Entrepreneurs In Conn.

Maryland Snags The No. 2 Spot For Minority Entrepreneurs To Succeed

Black Business Spotlight: Harmonious Wellness

Minority Business Highlight: Importin' Joe's Ethiopian Coffee

Lendistry and SHOPPE BLACK Partner to Help Black-Owned Businesses...

Black Entrepreneur Blueprint 471 - Jay Jones - The Science Of Getting...

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Minority Business Development Act of 2021

Minority Business Development Act of 2021

MBDA Grantees: Pilot Programs and Projects

MBDA Grantees: Pilot Programs and Projects

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National Institute of Standards and Technology

The National Institute of Standards and Technology (NIST) was founded in 1901 and is now part of the U.S. Department of Commerce. NIST is one of the nation's oldest physical science laboratories. Congress established the agency to remove a major challenge to U.S. industrial competitiveness at the time—a second-rate measurement infrastructure that lagged behind the capabilities of the United Kingdom, Germany, and other economic rivals.

From the smart electric power grid and electronic health records to atomic clocks, advanced nanomaterials, and computer chips, innumerable products and services rely in some way on technology, measurement, and standards provided by the National Institute of Standards and Technology.

Today, NIST measurements support the smallest of technologies to the largest and most complex of human-made creations—from nanoscale devices so tiny that tens of thousands can fit on the end of a single human hair up to earthquake-resistant skyscrapers and global communication networks.

Leadership

Laurie E. Locascio

Laurie E. Locascio

Director of NIST and the Under Secretary of Commerce for Standards and Technology

Dr. James K. Olthoff

James K. Olthoff

Associate Director for Laboratory Programs

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Biden-Harris Administration Outlines Plan to Strengthen Semiconductor Supply Chains as Part of Investing in America Agenda

June 23, 2023

Press releases

Today, the U.S. Department of Commerce shared the Biden-Harris Administration's strategic vision to strengthen the semiconductor supply chain through CHIPS for America investments. To advance this vision, the Department announced a funding opportunity and application process for large semiconductor supply chain projects and will release later in the fall a separate process for smaller projects...

CHIPS and Science Act

CHIPS for America

Semiconductor Industry

Supply Chain

Biden-Harris Administration Announces New NIST Public Working Group on AI

June 22, 2023

Press releases

The group will build on NIST's Risk Management Framework to tackle risks of rapidly advancing generative AI Today, U.S. Secretary of Commerce Gina Raimondo announced that the National Institute of Standards and Technology (NIST) is launching a new public working group on Artificial Intelligence (AI) that will build on the success of the NIST AI Risk Management Framework (RMF) to address this...

Artificial Intelligence

CHIPS for America Outlines Vision for the National Semiconductor Technology Center

April 25, 2023

Press releases

Semiconductor R&D Strategy is Part of President Biden's Investing in America Agenda to Advance U.S. Competitiveness and Technological Leadership Today, the U.S.

Department of Commerce's National Institute of Standards and Technology (NIST) released a paper outlining its vision and strategy for a National Semiconductor Technology Center (NSTC), a key component of the research and development...

ICT Supply Chain

Investing in America

Remarks by Deputy Secretary of Commerce Don Graves at the Space Symposium

April 19, 2023

Speeches

Thank you for that kind introduction, Tom, and thank you to the Space Foundation for inviting me to speak today. I also want to thank Jodi Slattery and her great team for putting together this event and extend my gratitude to Macy Miller and the team at The Broadmoor for hosting us and putting together such a great show. Before I dive into the meat of my speech, I want to remind folks of the...

Cybersecurity

Space commerce

Weather and satellites

When Wildfires Rage, Firefighters Need Voice, Situational Awareness and Alerting Tech in the Wildland Urban Interface

April 12, 2023

Blog

Emergency Operations Technical Specialist from the Houston Fire Department speaking in a PSCR 2022 WUI campfire session.

Between January and September 2022, nearly 7 million acres burned across the United States. In recent years, the country has experienced unparalleled challenges regarding wildfires. The season is no longer contained within a portion of the year but has become a year-round battle. Wildfire suppression consistently exceeds \$1 billion per year, and these incidents are becoming lengthier, more...

First responder network

Public Safety

Remarks by Deputy Secretary of Commerce Don Graves at the Center for Strategic and International Studies (CSIS): The Private Sector as a Champion for Democracy - A Call to Action

March 28, 2023

Speeches

Thank you, John, for that introduction and thank you to the Center for Strategic and International Studies for hosting this forum. I also want to thank the private sector leaders that are here today. You play a vital role in countering democratic backsliding and ensuring that democracies deliver for their people. As President Biden has said, "democracy does not happen by accident...democracy needs...

Infrastructure

Trade enforcement

Export Controls

National Security

Remarks by Deputy Secretary of Commerce Don Graves at the National Institute of Standards and Technology's National Cybersecurity Center of Excellence

March 7, 2023

Speeches

Thank you, Dr. Locascio, and welcome, everyone. Thank you to Secretary Anderson and County Executive Elrich for being here. At the Commerce Department, we're focused on supporting economic growth, opportunity, and innovation here in America. America is the world leader in technological innovation yet cyberattacks increasingly threaten our economic and national security. These attacks can endanger...

Cybersecurity

Infrastructure

Deputy Secretary Graves Statement on the Release of the National Cybersecurity Strategy

March 2, 2023

Press releases

Today, the Biden-Harris Administration released the National Cybersecurity Strategy to position the United States and its allies and partners to build a digital ecosystem that is defensible, resilient, and aligned with our values. After the announcement, U.S. Deputy Secretary of Commerce Don Graves released the following statement: "The National Cybersecurity Strategy reinforces the need for...

Investing in communities and workers

Cybersecurity

Remembering the Progress Our Nation Has Made and Our Obligation to Continue Moving Forward

March 1, 2023

Blog

Commerce Celebrates Black History Month

Black History Month is an opportunity to celebrate the extraordinary achievements of Black Americans and recognize their contributions to our country. It's also an opportunity to reflect on our work here at the Department to ensure economic growth and opportunity for all communities. The Biden-Harris Administration remains committed to using the power of the Federal Government to address the long...

African American History Month

Biden-Harris Administration Launches First CHIPS for America Funding Opportunity

February 28, 2023

Press releases

As part of Implementing the Bipartisan CHIPS and Science Act, Department of Commerce Seeks Applications to Revitalize Domestic Semiconductor Industry and Bring Supply Chains Back to the U.S. The Biden-Harris Administration through the U.S. Department of Commerce's National Institute of Standards and Technology today launched the first

CHIPS for America funding opportunity for manufacturing...
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Jennifer Huergo, 301-975-6343 // cell: 202-309-1027
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Manufacturing Extension Partnership (MEP), Manufacturing USA

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resilience

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Topic areas: standard reference materials, Hollings Marine Laboratory

Chad Boutin, 301-975-4261
Topic areas: advanced communications (Gaithersburg-based research), cybersecurity,
information technology, biometrics, cryptography, neutron research

Boulder Outreach Coordinator
Rebecca Jacobson, 720-552-3829
Topic areas: media access to the Boulder campus, timekeeping, physics, advanced
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prize challenges, community relations, campus emergencies

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National Oceanic and Atmospheric Administration

The mission of the National Oceanic and Atmospheric Administration (NOAA) is to provide daily weather forecasts, severe storm warnings, climate monitoring to fisheries management, coastal restoration, and the supporting of marine commerce. NOAA's products and services support economic vitality and affect more than one-third of America's gross domestic product. NOAA's dedicated scientists use cutting-edge research and high-tech instrumentation to provide citizens, planners, emergency managers and other decision makers with reliable information they need when they need it.

Leadership

Richard W. Spinrad, Ph.D.

Richard W. Spinrad, Ph.D.

Under Secretary of Commerce for Oceans and Atmosphere & NOAA Administrator

Benjamin Friedman

Benjamin Friedman

Deputy Under Secretary for Operations

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Biden-Harris Administration Invests \$60 Million to Create a Climate-Ready Workforce through Investing In America Agenda

June 29, 2023

Press releases

Today, the Department of Commerce's National Oceanic and Atmospheric Administration (NOAA) opened a competitive funding opportunity for the Climate Ready Workforce for Coastal States, Tribes, and Territories Initiative to connect people across the country to good-paying jobs, such as landscape technicians, heat health outreach specialists and climate equity officers, that tackle the climate crisis...

Climate Change

Biden-Harris Administration Announces \$575 Million for Coastal and Great Lakes

Climate Resilience through Investing in America Agenda

June 20, 2023

Press releases

NOAA opens applications for first ever Climate Resilience Regional Challenge Today, the U.S. Department of Commerce announced its first-ever Climate Resilience Regional Challenge, which will provide \$575 million in funding through President Biden's Investing in America agenda to help coastal and Great Lakes communities, including Tribal communities in those regions, become more resilient to...

Climate Change

Investing in America

June 8 Marks World Ocean Day

June 8, 2023

Blog

North Pacific storm waves as seen from the M/V NOBLE STAR

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June is National Ocean Month through a Presidential Proclamation, coinciding with World Ocean Day, celebrated annually on June 8th. While the ocean is well-known for its natural beauty and mysteries, it also provides practical benefits such as producing oxygen and absorbing carbon dioxide emissions, influencing global climate and weather patterns, providing sustainable sources of protein, and...

Weather and satellites

World Ocean Day

Biden-Harris Administration Announces \$2.6 Billion Framework Through Investing in America Agenda to Protect Coastal Communities and Restore Marine Resources

June 6, 2023

Press releases

NOAA releases plans to build climate resilience and support coastal communities, including ocean and Great Lakes coasts, with Inflation Reduction Act funds Today, the U.S. Department of Commerce unveiled a \$2.6 billion framework to invest in coastal climate resilience through President Biden's Inflation Reduction Act. As part of the President's Investing in America Agenda, this initiative will...

Climate Change

Investing in America

AA and NHPI: Communities Made Visible Together

May 25, 2023

Blog

AA and HNPI: Communities Made Visible Together

The National Oceanic and Atmospheric Administration (NOAA), an agency of the U.S. Department of Commerce, is crucial in serving and safeguarding communities across the United States, particularly in Hawaii and American Samoa. The employees at NOAA are committed to preserving and protecting our marine resources in a region that reflects the diverse cultures of Asian Americans, Native Hawaiians, and...

Asian American and Pacific Islander Heritage Month

National Marine Sanctuaries

NOAA Fisheries

NOAA Predicts a Near-Normal 2023 Atlantic Hurricane Season

May 25, 2023

Press releases

El Nino, above-average Atlantic Ocean temperatures set the stage NOAA forecasters with the Climate Prediction Center, a division of the National Weather Service, predict near-normal hurricane activity in the Atlantic this year. NOAA's outlook for the 2023 Atlantic hurricane season, which goes from June 1 to November 30, predicts a 40% chance of a near-normal season, a 30% chance of an above-normal...

Weather and satellites

Remarks by U.S. Deputy Secretary of Commerce Don Graves at NOAA's Atlantic Hurricane Season Outlook News Conference

May 25, 2023

Speeches

Hello everyone. Thank you, Dr. Spinrad, for the introduction, and for having me here today. And thank you all for joining us. Welcome to NOAA's National Center for Weather and Climate Prediction. At the Commerce Department, we are fully committed to NOAA's mission to study, forecast, and issue watches, warnings, and other decision support for tropical systems in our ocean basins. Here and in...

Weather and satellites

Hurricanes

AA and NHPI Heritage Month: Advancing the White House Initiative on Asian Americans, Native Hawaiians, and Pacific Islanders (WHIAANHPI)

May 22, 2023

Blog

Becky Cruz Lizama, Senior Tribal Liaison, National Oceanic and Atmospheric Administration (NOAA)

Profile on Becky Cruz Lizama, Senior Tribal Liaison, National Oceanic and Atmospheric Administration (NOAA) Since day one, in partnership with the Biden-Harris Administration, U.S. Commerce Secretary Gina Raimondo and Deputy Commerce Secretary Don Graves have made equity core to the work of Commerce. In May 2021, President Biden signed Executive Order 14031 establishing the White House Initiative...

Asian American and Pacific Islander Heritage Month

White House Initiative on Asian Americans and Pacific Islanders

Deputy Secretary of Commerce Don Graves Attends 2023 Space Symposium, Provides Keynote on Importance of Space to U.S. Economy

April 26, 2023

Blog

. Deputy Secretary of Commerce Don Graves addressed space leaders from around the world on the future of the space sector and ways to make space more commercially accessible at the Space Foundation's Space Symposium in Colorado Springs.

On April 19, U.S. Deputy Secretary of Commerce Don Graves addressed space leaders from around the world on the future of the space sector and ways to make space more commercially accessible at the Space Foundation's Space Symposium in Colorado Springs. During his keynote address, Deputy Secretary Graves stressed President Biden's commitment to ramp up U.S. government engagement with American...

Space commerce

Commercial Space

Biden-Harris Administration Recommends \$562 million Investment to Make Communities Resilient to Climate Impacts as Part of Investing in America Agenda

April 21, 2023

Press releases

Today, Vice President Harris announced that the Department of Commerce has recommended \$562 million in funding for nearly 150 projects across 30 coastal states and territories to make communities and the economy more resilient to climate change, as part of the Biden-Harris Administration's Investing in America agenda. At an event in Miami, Florida, Vice President Harris will highlight how this...

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Richard W. Spinrad, Ph.D.

Under Secretary of Commerce for Oceans and Atmosphere & NOAA Administrator

National Oceanic and Atmospheric Administration

Richard W. Spinrad, Ph.D.

Richard (Rick) W. Spinrad, Ph.D., was sworn in on June 22, 2021, as the Under Secretary of Commerce for Oceans and Atmosphere and the 11th NOAA Administrator. Dr. Spinrad is responsible for the strategic direction and oversight of the agency and its over 12,000 employees, including developing NOAA's portfolio of products and services to address the climate crisis, enhancing environmental sustainability and fostering economic development, and creating a more just, equitable, diverse, and inclusive NOAA workforce.

Most recently, Dr. Spinrad served as a Professor of Oceanography and Senior Adviser

to the Vice President of Research at Oregon State University (OSU). He was also Vice President for Research at OSU from 2010-2014.

Dr. Spinrad served as NOAA's Chief Scientist under President Barack Obama from 2014 until 2016. He also led NOAA's Office of Oceanic and Atmospheric Research and National Ocean Service from 2003-2010. While at NOAA, Dr. Spinrad co-led the White House Committee that developed the nation's first set of ocean research priorities and oversaw the revamping of NOAA's research enterprise, including the development of the agency's Scientific Integrity policy.

Prior to initially joining NOAA, Dr. Spinrad held leadership positions at the U.S. Office of Naval Research and Oceanographer of the Navy, where he was awarded the Distinguished Civilian Service Award – the highest award given by the U.S. Navy to a civilian. He has held faculty appointments at OSU, the U.S. Naval Academy, and George Mason University; served as Executive Director at the Consortium for Oceanographic Research and Education; was President of Sea Tech, Inc.; and worked as a research scientist at OSU and the Bigelow Laboratory for Ocean Sciences. He also developed the National Ocean Sciences Bowl for high school students. In the international arena, Dr. Spinrad served as the U.S. permanent representative to the United Nations' Intergovernmental Oceanographic Commission from 2005-2009.

He is the recipient of Presidential Rank Awards from presidents George W. Bush and Barack H. Obama. Dr. Spinrad is past president of The Oceanography Society (TOS) and the Marine Technology Society. He is a Fellow of the American Meteorological Society, Marine Technology Society, TOS, and the Institute of Marine Engineering, Science and Technology (IMarEST), and an IMarEST Chartered Marine Scientist.

Dr. Spinrad received his B.A. in Earth and Planetary Sciences from The Johns Hopkins University, and his M.S. and Ph.D. in Oceanography from Oregon State University.

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jennifer.huergo@nist.gov

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Forms and Telephone Numbers You Can Use to Contact BIS

(Office hours are Monday-Friday, 8:30am-5:00pm unless otherwise noted)

Contact a Counselor

Eastern Region | Western Region

To speak with an export counselor, you may call one of the following numbers:

(202) 482-4811 - Outreach and Educational Services Division (located in Washington, DC - open Monday-Friday, 8:30am-5:00pm ET)

(949) 660-0144 - Western Regional Office (located in Irvine, CA - open Monday-Friday, 8:00am-5:00pm PT)

(408) 998-8806 - Northern California branch (located in San Jose, CA - open Monday-Friday, 8:00am-5:00pm PT)

or e-mail your inquiry to the Export Counseling Division of the Office of Exporter Services at: ECDOEXS@bis.doc.gov

Export Control Seminars and Workshops

View Upcoming Seminars

Eastern Region | Western Region

Export Seminars - (202) 482-6031

Report a Possible Violation

Use this form to confidentially report possible violations of the export control or antiboycott regulations.

Export Enforcement Hotline - 1-(800) 424-2980

Denied Persons List Inquiry

BIS maintains a list of individuals and firms with whom U.S. parties may not do business. Use this form to ask questions about the list and those who appear on it.

SNAP-R Questions

BIS maintains multiple help desks to assist you with our electronic application submission system, SNAP-R.

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For password reset or software-related technical performance questions (e.g., browser incompatibility, difficulty loading attachments), please contact the SNAP-R Help Desk via e-mail at snapr@bis.doc.gov . Office hours are Monday-Friday, 6:30am-7:00pm ET.

For company identification number (CIN) and login ID questions, please call BIS's Outreach and Educational Services Division at (202) 482-4811 or via e-mail at snapr@bis.doc.gov for assistance.

For general assistance with SNAP-R, please call BIS's export counseling desks at:

(202) 482-4811 - Outreach and Educational Services Division (located in Washington, DC)

(949) 660-0144 - Western Regional Office (located in Irvine, CA)

(408) 998-8806 - Northern California branch (located in San Jose, CA)

or e-mail your inquiry to the Export Counseling Division of the Office of Exporter Services at: ECDOEXS@bis.doc.gov

Download/Order Forms Online

BIS provides the option for users to request forms be mailed to them as well as the ability to download selected BIS Export Licensing forms. Directions are also provided for obtaining paper copies of Antiboycott Compliance forms.

Submit an Advisory Opinion Request or Make a Regulatory Inquiry

Export Management and Compliance Inquiries: Please email: emsinquiries@bis.doc.gov

Ask a Boycott-Related Question

U.S. antiboycott laws were adopted to encourage, and in specified cases, require U.S. firms to refuse to participate in foreign boycotts that the United States does not sanction. This form allows you to ask questions about our "antiboycott" regulations.

Antiboycott Compliance Advice Line - (202) 482-2381

Contact the Office of Strategic Industries and Economic Security

Allows you to contact OSIES and submit:

- Defense Priorities and Allocations Systems inquiries

- National Defense Stockpile inquiries

- Offsets in Defense Trade inquiries

- NATO International Competitive Bidding Eligibility for U.S. companies

Call SIES at (202) 482-4506

Contact the Office of Technology Evaluation

This office is the focal point within BIS:

Surveying and assessing the economic health and competitiveness of the U.S. defense industrial base
Conducting Section 232 Investigations on the effect of imports on the national security
Analyzing the impact of export controls on U.S. competitiveness

Industrial Base Assessments & Section 232 Investigations
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National Technical Information Service

The mission of the National Technical Information Service (NTIS) is to provide innovative data services to federal agencies, through agile partnerships with the private sector, to advance federal data priorities, promote economic growth, and enable operational excellence. NTIS brings industry-leading partners to government agency customers at the velocity of the government's needs.

Leadership
Greg Capella
Greg Capella
National Technical Information Service (NTIS) Acting Director

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[National Telecommunications and Information Administration](#)

The National Telecommunications and Information Administration (NTIA), located within the Department of Commerce, is the Executive Branch agency that is principally responsible by law for advising the President on telecommunications and information policy issues.

NTIA's programs and policymaking focus largely on expanding broadband Internet access and adoption in America, expanding the use of spectrum by all users, and ensuring that the Internet remains an engine for continued innovation and economic growth. These goals are critical to America's competitiveness in the 21st century global economy and to addressing many of the nation's most pressing needs, such as improving education, health care, and public safety.

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Remarks by U.S. Secretary of Commerce Gina Raimondo at the Broadband Equity, Access, and Deployment (BEAD) Program Announcement

June 26, 2023

Speeches

Thank you. It's great to be at the White House to celebrate such an important milestone. More than two years ago, as President Biden took office, we were emerging from a pandemic that forced so much of our lives to move online. While many Americans could work from home, expand their business online, or have a virtual doctor's appointment, there were millions who couldn't connect just because of...

Investing in communities and workers

Broadband

Biden-Harris Administration Announces State Allocations for \$42.45 Billion High-Speed Internet Grant Program as Part of Investing in America Agenda

June 26, 2023

Press releases

The Department of Commerce's National Telecommunications and Information Administration (NTIA) today announced how it has allocated funding to all 50 states, the District of Columbia, and five territories to deploy affordable, reliable high-speed Internet service to everyone in America. This "Internet for All" initiative is a key component of President Biden's "Investing in America" agenda. States...

Infrastructure

Broadband

Biden-Harris Administration Announces \$930 Million to Expand and Strengthen America's High-Speed Internet Networks as Part of the Investing in America Agenda

June 16, 2023

Press releases

The Department of Commerce's National Telecommunications and Information Administration (NTIA) announced \$930,021,354.34 to expand middle mile high-speed Internet infrastructure across 35 states and Puerto Rico as part of President Biden's Investing in America agenda. Under the Biden-Harris Administration's Internet for All Initiative, the Enabling Middle Mile Broadband Infrastructure Program...

Infrastructure

Secretary Gina Raimondo

Broadband

Remarks by Deputy Secretary of Commerce Don Graves at the CTIA 5G Summit

May 17, 2023

Speeches

Hello everyone. I want to thank CTIA and Meredith Baker for inviting me to speak today. It was great to see Meredith at our recent celebration of NTIA's 45th anniversary. It was a terrific opportunity to celebrate NTIA and its vital role and take stock of how far we've come in our pursuit of a wireless world. NATIONAL SPECTRUM STRATEGY The Biden-Harris Administration is committed to maintaining...

Infrastructure

Spectrum Management

U.S. Secretary of Commerce Gina Raimondo Announces Appointment of Chief Richard Carrizzo as Chair of FirstNet Authority Board

April 27, 2023

Press releases

Today, U.S. Secretary of Commerce Gina Raimondo announced the appointment of Chief Richard Carrizzo to serve as Board Chair of the First Responder Network Authority (FirstNet Authority). Carrizzo is the Fire Chief for the Southern Platte Fire Protection District in Missouri who was first appointed to the FirstNet Authority Board in 2018 and reappointed in 2021, serving as the Board Vice Chair and...

First responder network

Public Safety

Secretary Gina Raimondo

Remarks by Deputy Secretary of Commerce Don Graves at the Space Symposium

April 19, 2023

Speeches

Thank you for that kind introduction, Tom, and thank you to the Space Foundation for inviting me to speak today. I also want to thank Jodi Slattery and her great team for putting together this event and extend my gratitude to Macy Miller and the team at The Broadmoor for hosting us and putting together such a great show. Before I dive into the meat of my speech, I want to remind folks of the...

Cybersecurity

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Weather and satellites

Remarks by U.S. Secretary of Commerce Gina Raimondo at the National Action Network National Convention

April 14, 2023

Speeches

Thank you, Rev. Sharpton, and thank you to the National Action Network for your tireless work advancing civil rights and expanding opportunity for everyone in America. It's an honor to be here today to talk about the work we've done and the work ahead of us to create a better, more equal, more prosperous United States of America. Now, I am the Secretary of Commerce. And we do a lot of things...

Infrastructure

Investing in communities and workers

Workforce Development

Broadband

Secretary Gina Raimondo

U.S. Secretary of Commerce Gina Raimondo Announces Fiber Manufacturing Expansions in North Carolina

March 29, 2023

Press releases

Today, as part of the Biden-Harris Administration's Investing in America tour, U.S. Secretary of Commerce Gina Raimondo and Assistant Secretary of Commerce for Communications and Information Alan Davidson traveled to Hickory, NC to celebrate the announcement of new fiber optic cable production in the U.S. made possible by the Administration's Internet for All Initiative. The Bipartisan...

Broadband

Bipartisan Legislation

Internet for All Initiative

Investing in America

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Remarks by Deputy Secretary of Commerce Don Graves at the Satellite 2023 Government and Military Forum

March 15, 2023

Speeches

Thank you for that kind introduction, and thanks to the SATELLITE 2023 team and the Satellite Industry Association for inviting me to speak today. Our job at the Commerce Department is to improve America's competitiveness so that our companies can succeed in the global economy. We want to ensure the United States remains the flag of choice for businesses operating in space. That means engaging...

Weather and satellites

U.S. Commerce Deputy Secretary Don Graves Meets with Members of Multiple Sectors and Community-Led Organizations, Announces Funding for Puerto Rico, and Urges Participation in the Economic Census

February 14, 2023

Blog

Deputy Secretary Graves visits Lufthansa Technik facilities in Aguadilla, which has been a leader in the expansion of Puerto Rico's aerospace industry and local workforce development.

From February 6-9, U.S. Deputy Secretary of Commerce Don Graves traveled to Puerto Rico to meet with members of academia, public policy, government, community-led organizations, and the private sector -- including leaders of the construction, manufacturing, banking segments -- to discuss the outcome of December's Puerto Rico Economic Dialogue. While in San Juan, the Deputy Secretary joined Puerto...

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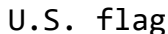
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Office of the Secretary

The Office of the Secretary is the general management arm of the department and provides the principal support to the Secretary in formulating policy and in providing advice to the President. It provides program leadership for the department's functions and exercises general supervision over the operating units. It also directly carries out program functions as may be assigned by the Secretary and provides - as determined to be more economical or efficient - administrative and other support services for designated operating units.

The Office of the Secretary consists of the Secretary, certain secretarial officers, designated staff immediately serving those officials and a number of offices which serve department-wide functions or perform program functions directly on behalf of the Secretary.

Leadership

Gina M. Raimondo

Gina M. Raimondo, Secretary of Commerce

Secretary of Commerce

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U.S. Department of Commerce Announces Zoë Baird as Senior Counselor to Secretary Gina Raimondo

September 27, 2022

Press releases

Today, the U.S. Department of Commerce announced Zoë Baird as Senior Counselor to the

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Secretary for Technology and Economic Growth. In her role, Baird will focus on expanding employer-based training and apprenticeship programs throughout the country. She will also help advance U.S. competitiveness in key technologies and ensure broadly shared economic benefit from new technologies, including by...

Happy Earth Day!

April 22, 2021

Blog

2021 Earth Day Photo Challenge

Happy Earth Day from the Department's Office of Sustainable Energy and Environmental Programs (OSEEP)! OSEEP received nearly 200 wonderful submissions for the 2021 Earth Day Photo Challenge and is excited to share a selection of featured photos. From photos of Diving With a Purpose volunteers at the Florida Keys National Marine Sanctuary to shots of colleagues picking up trash together, the Earth...

Spotlight on Commerce: Tanika Hawkins, Acting Director of Administration, Office of the Secretary

March 30, 2021

Blog

Tanika Hawkins, Acting Director of Administration, Office of the Secretary

Guest blog post by Tanika Hawkins, Acting Director of Administration, Office of the Secretary I have served for 25 years at the U.S. Department of Commerce. I am the

Acting Director of Administration with the Commerce Department's Chief of Staff and oversee, coordinate, and perform all administrative functions and essential tasks for

the Office of the Secretary. Each day, I directly support the...

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Enterprise Services

Enterprise Services provides mission-enabling, shared-service solutions for the Department of Commerce. By leveraging our shared service model, individual bureaus can focus on accomplishing their goals and implementing their unique missions.

At the core of Enterprise Services and the work we do, is the belief that success is built by establishing a culture of respect, creativity, honesty, care, leadership, and commitment. Our customer bureaus are the focal point of our work, and our core values are the foundation from which Enterprise Services fortifies unity across the Department.

Our Services

Acquisition (ACQ): ES Acquisition provides full life-cycle acquisition support to the Office of the Secretary, Department of Commerce headquarters bureaus, and shared services acquisition support for all Commerce through the ES managed services contracts and a Strategic Sourcing Portfolio, which consist of 29 ordering vehicles. Our Strategic Sourcing Portfolio resulted in the Department achieving cost avoidance of \$105 million in the Fiscal Year 2021.

- Strategic Sourcing
- Contract Vehicles
- Transactional Ordering

⇒ Christopher Wallis, Director of Acquisition Services and Senior Bureau Procurement Official

Human Resources (HR): Enterprise Services provides HR transactional services support to the Department of Commerce Bureaus and is responsible for Personnel Action Requests, Payroll, and Benefits processing. This also includes HR records management, and time and attendance support. Enterprise Services also offers end-to-end recruitment and classification services for customer Bureaus and delivers a platform for unified HR services to Department of Commerce employees, managers, and HR professionals.

- Personnel Action Requests
- Payroll Processing
- Benefits Enrollment

Recruitment and Hiring
Time and Attendance

⇒ LaMarsha DeMarr, Director of Human Resources

Information Technology (IT): IT provides the service delivery of essential technologies in support of our Enterprise Services customers for tools like WebTA, HRConnect, Commerce Learning Center, and various ServiceNow platform capabilities, including the Human Resources Services Delivery module. These applications offer services to over 22,000 Department employees. Additionally, IT provides the Department's headquarters bureaus with a fully managed printing platform that offers secure printing, scanning, copying, and fax services.

Managed Printing Services
Enabling Technologies
Account Management (Proxy)

Leadership
Kurt Bersani

- ⇒ Kurt Bersani, Deputy Director
- ⇒ Judy Grady, Chief of Staff
- ⇒ Paula E Patrick, Strategic Advisor
- ⇒ Tim Bass, Director, Service Management

For more on Enterprise Services, please enjoy this overview video

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“We must make the promise of the country real for everybody -- no matter their race, their ethnicity, their faith, their identity, or their disability.”

– President Joe Biden

Read more about EEO

Jefferson Memorial at sunrise

Office of Civil Rights Resources

Allegations of Harassment Prohibited by Federal Law

Equal Employment Opportunity Policy Statement

MD-715 Reports

No FEAR Act

First Generation Professionals (FGP) Events

FirstGen Professionals Logo

First Generation Professionals Initiative

For information about FGP Initiative related events, please visit us here.

If you're a federal employee interested in joining the Federal Interagency First Generation Professionals...

Training Opportunities

FISCAL YEAR 2023 DIVERSITY AND PROACTIVE PREVENTION WORKSHOP SERIES

...

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Special Emphasis Programs

Special Emphasis Programs (SEP) were established in the Federal Government to remove barriers to equal employment opportunity for groups that were traditionally not represented or subjected...

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Office of Civil Rights Staff

Larry J. Beat

Larry J. Beat, Director

Larry J. Beat, who goes by his nickname, Jerry, is currently the Director of the Office of Civil Rights at the U.S. Department of Commerce. Jerry has worked in the field of Equal Employment Opportunity and Diversity, Equity, Inclusion, and Accessibility for more than 29 years and has worked for the Department of Transportation, Food and Drug Administration, Internal Revenue Service, Treasury, National Oceanic and Atmospheric Administration, and the U.S. Merit Systems Protection Board. He was inspired to go pursue a career in Civil Rights by his mother, a high school educator, and first-generation Mexican-American who was active in the Chicano Civil Rights Movement in the late 1960s and early 1970s. Jerry launched his Federal career in DOC OCR in the early 90s and is excited to be back at DOC and serving as OCR's Director.

In 2001, Jerry served on a year-long Intergovernmental Personnel Act Assignment from NOAA to the national non-profit, Society for Advancement for Chicanos and Native Americans in Science, located in Santa Cruz, CA. He left Federal service to become SACNAS' Executive Director in 2002 and 2003 and returned to Washington DC in 2004 and worked in FDA's OEEODM.

While serving as Merit Systems Protection Board's Equal Employment Opportunity Director, Jerry and two colleagues from other agencies founded the Small Agency Council's EEO, Diversity and Inclusion Committee in 2014-15 and later served as its chair from 2016-2018.

Jerry received his Bachelor's degree in Political Science and Classics (Attic Greek and Latin) from the University of Pittsburgh and completed graduate work in Counseling Psychology (MFT) at Dominican University in Marin County, CA. For more than two decades Jerry also taught English and Citizenship to speakers of other languages in Pittsburgh, PA, Rockville, MD, Washington, DC, and in San Mateo County, CA.

For general inquiries, please contact us at ocr@doc.gov

Select OCR Staff contact information:

Title	Name	Email Address
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Director		
----------	--	--

Chief Diversity, Equity, and Inclusion Officer		
--	--	--

Jerry Beat

Junish Arora

lbeat@doc.gov

jarora@doc.gov

Senior Advisor to the Director Edith McCloud emccloud1@doc.gov

Director, Program Implementation Division

(NOTE: as of October 1, 2022, 2020 Decennial Census cases previously processed by the Decennial Investigations and Adjudication Office will be processed by the Program Implementation Division)

Paul Redpath predpath@doc.gov

Chief Investigator

Sandra Zanelotti

szanelot@doc.gov

Director, Policy & Evaluation Division Stacy Carter scarter@doc.gov

Director, Client Services & Resolution Division and EEO Officer (all bureaus except PTO, NOAA, Census, and NIST)* Bernadette Worthy bworthy@doc.gov

Senior Management/Budget Analyst (Budget Formulation/Execution, Procurement and Administrative Matters) Robin Mack rmack@doc.gov

Alternative Dispute Resolution (ADR) Manager Barbara Toy btoy@doc.gov

Senior Affirmative Employment Program Manager, Hispanic Employment Program Manager, Federal Women's Program Manager, Lesbian, Gay, Bisexual, Transgender, Queer and Questioning (LGBTQ+) Initiative Manager, Workforce Barrier Analyst. Laura Soria lsoria@doc.gov

Senior Disability Program Manager, Black Employment Program Manager. Monique Dismuke mdismuke@doc.gov

Data Analyst Dr. Lester Facey lfacey@doc.gov

Reasonable Accommodations Coordinator (OS, EDA, ITA, MBDA, BIS, NTIA), Asian American and Pacific Islander (AAPI) Program Manager, and American Indian/Alaska Native Program Manager. Laura Soria lsoria@doc.gov

Sign Language Interpreting Service (Hoover Building)** Sign Language Interpreters' Desk slanguage@doc.gov

*PTO, NOAA, Census, and NIST employees and applicants: contact your Bureau EEO Officer.

To locate OCR staff not listed here, use the DOC Staff Directory.

Contact the Office of Civil Rights Office/Group Phone Number

Director's Office (202) 482-0625 - Voice

Questions about:

EEO Counseling
Mediation/Alternative Dispute Resolution
EEO and Sexual Orientation Discrimination Complaints

(202) 482-4993 - Voice

Complaints about discrimination and accessibility in Commerce and Commerce-funded programs and services (202) 482-0625 - Voice

Questions about:

Affirmative Employment Program
Management Directive 715
Workforce Demographics

(202) 482-5691

Sign Language Interpreting Service ** (202) 482-4481 - Voice
(202) 888-7763 (VP)

** For Bureaus/Offices outside the Hoover Building, contact your Bureau EEO Officer to find out what sign language interpreting services are available.

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The Office of Acquisition Management (OAM) supports the Acquisition, Grants, Program Management, Cost Estimation, Risk, and Audit communities at the U.S. Department of Commerce.

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[Learn about the laws, policies, and procedures that shape our acquisition environment.](#)

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[Learn about the Department's Suspension and Debarment process, which protects the](#)

federal government from doing business with non-responsible contractors and grantees.

Program Management

Program Management

Learn about the Department's Acquisition Program and Project Management Framework.

Financial Assistance Policy

Financial Assistance Policy

Learn about the laws, policies, and procedures that shape our financial assistance environment.

Risk Management Process

Risk Management

Learn more about the Enterprise Risk Management (ERM) Program and GAO/OIG Audit

Liaison functions for the Department.

Cost Estimation

Cost Estimation

Learn about the Department's program for cost estimating and implementing independent cost estimates (ICE) for Mission Critical programs.

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[Office of Small and Disadvantaged Business Utilization](#)

The Office of Small and Disadvantaged Business Utilization (OSDBU) is an advocacy and advisory office responsible for promoting the use of small, small disadvantaged, 8(a), women-owned, veteran-owned, service-disabled veteran-owned, and HUBZone small businesses within the U.S. Department of Commerce's acquisition process. This involves promoting small business prime and subcontracting opportunities in accordance with Federal laws, regulations, and policies and the utilization of products from the National Industries for the Blind

and services provided by SourceAmerica

.

The goals of the OSDBU are to institutionalize the use of small businesses and to fully integrate them into Commerce's competitive base of contractors. The OSDBU itself is not a procurement office but serves as a liaison between the small business community and Commerce procurement offices. The Small Business Act of 1953, as amended by Public Law 95-507, established the OSDBU.

The OSDBU focuses on the following:

- Increasing contract and subcontract awards to small businesses

- Sharing information via the Internet

- Identifying potential small businesses for use by Commerce, its bureaus, and prime contractors

- Negotiating Commerce contract goals with the Small Business Administration in an effort to continually increase awards to small business

- Publishing the annual 'Forecast of Contract Opportunities', which lists upcoming procurements

- Reviewing procurement requisitions to maximize small business participation

- Monitoring small business legislation

- Establishing partnerships with internal customers and industry to obtain feedback, improve customer service, achieve goals, and disseminate information

- Creating awareness of the benefits of working with small businesses through in-reach/marketing and training, and;

- Giving special recognition and awards to individuals and bureaus that have made outstanding contributions to the success of Commerce's Small Business Program.

Leadership

Virna Winters, VWinters@doc.gov

, Acting Director, OSDBU

Yvonne Vines, Business Industry Specialist

Additional Information

Small Business

Subcontracting

Report Forms

Related Content

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OSDBU: Small Business Report Forms

The forms listed below are used to collect data regarding small business concerns

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used in contracts that require subcontracting plans, data on the participation of small disadvantaged business concerns, set-aside justifications, and related information. On contracts requiring subcontracting plans, Federal Contracting Officers and Prime Contractors must complete and submit the SF294 and SF295...

OSDBU: Subcontracting

Any business concern, other than a small business, responding to a solicitation or an invitation to bid to perform a contract that is expected to exceed \$650,000 (or \$1 million for construction) and that has subcontracting possibilities must submit a subcontracting plan. The business concern must agree in the contract that small business, veteran-owned small business, service-disabled veteran...

OSDBU: Small Business

A firm, including affiliates that is independently owned and operated, not dominant in the field in which it is competing for government contracts, and in conformity with specific industry criteria defined by the Small Business Administration (SBA). The Small Business Administration has established a size standard for most industries in the economy. About one-fourth of industries have a size...

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Grants Management

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Grants Management

The Department of Commerce (DOC) was established on February 14, 1903, to promote
American businesses and trade. Its broad range of responsibilities include, but are
not limited to, expanding U.S. exports, developing innovative technologies, gathering
and disseminating statistical data, measuring economic growth, granting patents,
promoting minority entrepreneurship, providing effective management and environmental

stewardship of the nations coastal and marine resources, and predicting and monitoring the weather. Although DOCs missions are very diverse, they are unified by one over arching mandate to work with the business community to foster economic growth and the creation of new American jobs to ensure sustainable economic opportunities.

There is no general Department-wide financial assistance authority. Such authority must be provided by specific statute. The Federal Grant and Cooperative Agreement Act (31 U.S.C. 6301-6308), in and of itself, does not provide such authority. The operating units basic legislation must be analyzed to determine whether an assistance relationship is authorized, and if so, under what circumstances and conditions. The following operating units are authorized to provide financial assistance.

Census

Economic Development Administration (EDA)

International Trade Administration (ITA)

Minority Business Development Agency (MBDA)

National Institute of Standards and Technology (NIST)

National Oceanic and Atmospheric Administration (NOAA)

National Telecommunications and Information Administration (NTIA)

For Department of Commerce Build America Buy America Waivers [Click Here](#)

Grants Management Division

Office of Acquisition Management

Office of the Chief Financial Officer and Assistant Secretary for Administration

Office of the Secretary

U.S. Department of Commerce

Send questions and comments about this page to Grants Management Division

This page was updated on March 30, 2020

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Office of Facilities and Environmental Quality

The Office of Facilities and Environmental Quality (OFEQ) provides a variety of facility support and infrastructure management services to the Department of Commerce, enabling the operating units to achieve their strategic goals. OFEQ is part of the Office of the Secretary (OS) and reports directly to the Deputy Assistant Secretary for Administration.

OFEQ establishes and implements policies and procedures for real property management including Facility acquisition and space management and Energy conservation and environmental stewardship programs

In accordance with the GSA/DOC Building Delegation agreement, OFEQ also provides building services for the Herbert C. Hoover Building (HCHB) and oversees the HCHB Renovation Project – a \$1 billion, 13-year project to renovate and modernize the building.

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 Department Organization Orders

Department Organization Orders (D00s) are used to prescribe the basic management structure and organizational arrangements of the Department of Commerce. D00s are issued for each Secretarial Officer, Departmental Office, and Operating Unit of the Department of Commerce.

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D00 10-3	Under Secretary for International Trade	2023-2-2
D00 10-4	Assistant Secretary for Economic Development	2020-09-22
D00 10-5	Chief Financial Officer and Assistant Secretary for Administration	2011-01-05
D00 10-6	Office of the General Counsel	2021-01-15
D00 10-9	Under Secretary for Economic Affairs	2021-01-14
D00 10-10	Assistant Secretary for Communications and Information	1992-09-28
D00 10-12	Assistant Secretary for Legislative and Intergovernmental Affairs	2008-12-10
D00 10-13	Inspector General	2020-10-21
D00 10-14	Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office	2012-09-28
D00 10-15	Under Secretary of Commerce for Oceans and Atmosphere and Administrator of the National Oceanic and Atmospheric Administration	2011-12-12
D00 10-16	Under Secretary for Industry and Security	2022-04-12
D00 10-19	Department of Commerce Privacy Council	2014-12-18
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Department Administrative Orders (DAOs) are used to document and mandate continuing policies, standards requirements, and procedures prescribed by the Office of the Secretary for Departmentwide application or for application to two or more major program areas of the Department. The DAOs cover substantive program matters as well as administrative management, legal, or special staff functions.

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Vipin Arora
Director of the Bureau of Economic Analysis
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Vipin Arora is the Director of the Bureau of Economic Analysis.

Dr. Arora oversees the production of closely watched economic statistics that provide an objective and timely picture of the U.S. economy. These include gross domestic product (GDP), personal income, and other national statistics, as well as data about states, counties, and industries, and U.S. international trade and investment.

He works with BEA's executive team to expand the agency's statistical programs and collaborates with BEA's researchers to bolster the impact and effectiveness of research that furthers the agency's mission. He is working with leadership to position BEA as an employer of choice for years to come.

Before joining BEA, Dr. Arora served as acting deputy assistant director of the Social, Behavioral, and Economic Sciences Directorate of the National Science Foundation (NSF). He also served as deputy director of NSF's National Center for Science and Engineering Statistics, one of the federal government's 13 principal statistical agencies.

At NSF, Dr. Arora oversaw data analysis, information dissemination, operations, human capital management, and multiple special projects. He led the creation of new partnerships between the National Center for Science and Engineering Statistics and other federal agencies to better understand topics of importance in science and engineering.

Before that, he led economic analysis at the U.S. Energy Information and Administration. Dr. Arora also served as an intelligence officer in the U.S. Army, as an analyst at the U.S. Government Accountability Office, and in the private sector in

multiple organizations.

Dr. Arora holds a Ph.D. in economics from the Australian National University, a master's degree in public administration from Syracuse University, and a bachelor's degree in chemical engineering from the University of Illinois.

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Alan F. Estevez
Under Secretary of Commerce for Industry and Security
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Alan F. Estevez

Alan F. Estevez serves as the Under Secretary of Commerce for Industry and Security. As Under Secretary, Mr. Estevez leads the Bureau of Industry and Security, which advances U.S. national security, foreign policy, and economic objectives by ensuring an effective export control and treaty compliance system and promoting U.S. strategic technology leadership.

Mr. Estevez arrived at BIS following an accomplished 36-year career at the Department of Defense, including in two Senate-confirmed leadership positions. His last

position at the Department of Defense was Principal Deputy Under Secretary of Defense (Acquisition, Technology & Logistics), where he was responsible for developing, implementing, and managing acquisition, contracting, installation, and logistics programs and policies that increased combat effectiveness, as well as the department's efficiency and buying power.

Prior to his last government appointment, Mr. Estevez held several key positions within the Office of the Secretary of Defense, including as the Assistant Secretary of Defense for Logistics and Materiel Readiness. In this position, he was responsible for providing world-class military logistics support to the men and women of the United States Armed Forces. He was the first career Federal official to hold this position. Mr. Estevez also served as the Principal Deputy Assistant Secretary of Defense for Logistics and Material Readiness. Mr. Estevez has been honored numerous times, including three Department of Defense Distinguished Public Service Medals, the Distinguished Civilian Service Medal, two Presidential Rank Awards, and the Service to America Medal (SAMMIE) for National Security.

Most recently, Mr. Estevez served as a national security strategy and logistics executive with Deloitte Consulting, where he worked with clients to innovate and transform their acquisition, contracting, logistics, and supply chain operations.

Mr. Estevez holds a Bachelor of Arts in political science from Rutgers University and a Master of Science in national resource strategy from the Industrial College of the Armed Forces at the National Defense University.

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Robert Santos
Director of the U.S. Census Bureau
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Robert Santos is the 26th director of the U.S. Census Bureau. He was sworn in on January 5, 2022.

Santos' career spans more than 40 years in survey research, statistical design and analysis, and executive-level management. He previously served for 15 years as vice president and chief methodologist at the Urban Institute and directed its Statistical Methods Group. He was executive vice president and partner of NuStats, a social science research firm in Austin, Texas.

Santos has held leadership positions in the nation's top survey research organizations, including the National Opinion Research Center (NORC) at the University of Chicago, where he served as vice president of statistics and methodology and director of survey operations; the Institute for Social Research at the University of Michigan, as director of survey operations; and Temple University's Institute for Survey Research, as senior study director and sampling statistician.

Santos specializes in quantitative and qualitative research design, including program evaluation, needs assessments, survey methodology, and survey operations. He also has expertise in demographic and administrative data, decennial censuses, social policy research, and equity issues in research.

Santos served as the 2021 president of the American Statistical Association (ASA) and is an ASA Fellow and recipient of the ASA Founder's Award in 2006. He was the 2014 president of the American Association for Public Opinion Research (AAPOR) and received the 2021 AAPOR Award for Exceptionally Distinguished Achievement. Santos is also an elected member of the International Statistical Institute, and he served from 2017 to 2020 as a member of the Board of Scientific Counselors for the National Center for Health Statistics at the Centers for Disease Control and Prevention. He was a longtime member of the editorial board of Public Opinion Quarterly.

Santos was born and raised in San Antonio, Texas. He earned a B.A. in mathematics from Trinity University in San Antonio and an M.A. in statistics from the University of Michigan at Ann Arbor.

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[Alejandra Y. Castillo](#)

[Assistant Secretary of Commerce for Economic Development](#)

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[Alejandra Y. Castillo](#)

Alejandra Y. Castillo's first lessons about business came as a child. She rose at 4 a.m. to help her father run his bodega in the Bronx and refined her math skills by calculating sales tax for her mother's Avon business.

Throughout her career and life, Castillo has sought to build bridges and help others. She was the first in her family to learn English, which she did with the help of PBS shows like Sesame Street and The Electric Company. Like many immigrant children, she was tapped to help her family and community navigate life in America. She accompanied non-English speakers to appointments and helped neighbors translate letters from their landlords or the Social Security Administration.

As an adolescent, she was always trying to help others. Her father died when she was 13, which thrust her further into a "problem solver" role. Castillo is a relentless visionary and change-maker dedicated to building community-based solutions that advance individual empowerment.

The eldest child of immigrants from the Dominican Republic, Castillo is the first woman of color to be appointed Assistant Secretary of Commerce for Economic Development. In this role, she leads the U.S. Economic Development Administration

(EDA) and is responsible for fulfilling the bureau's mission of leading the federal economic development agenda.

The New York City native has served in leadership positions under three presidential administrations – Presidents Biden, Obama, and Clinton. Castillo's professional career spans two decades in Washington, D.C. Her work has focused on creating equitable and inclusive opportunities for all Americans.

Castillo considers herself an embodiment of the American Dream. Her personal experiences of helping her parents run small businesses to support her family have given her a unique perspective and fuels her determination to create transformative change.

When she was 11-years old, she moved from New York to the Dominican Republic – just after Category 5 storm Hurricane David hit in 1979. Her family set up an import-export business, and once again, she got a first-hand glimpse at entrepreneurial grit through trips to the ports with her father where products were shipped to the U.S.

After briefly living in Portugal, Castillo returned to the United States. She earned her bachelor's degree in economics and political science from the State University of New York at Stony Brook, becoming the first in her family to complete a university degree. Her 1992 college graduation occurred against the backdrop of tectonic shifts in American civil rights, highlighted by that year's landmark decision in *Kentucky v. Wasson*, and the shooting of Yoshihiro Hattori. Drawing inspiration from the achievements and setbacks of that year, Castillo committed to a life of public service, joining the Senate Labor and Resources Committee staff where she worked under Senator Ted Kennedy before leaving to earn a master's degree in public policy at the University of Texas.

During the Clinton Administration, she served as a Senior Policy Analyst to the Deputy Director of the White House Office of National Drug Control Policy, where she was responsible for developing and analyzing White House initiatives on anti-drug trafficking and interdiction, anti-money laundering policies, and drug prevention and treatment programs.

In 2001, Castillo left government employment but not public service. Continuing her lifelong commitment to transformative change, she applied – and was accepted – to American University's Washington College of Law, receiving her Juris Doctor in 2004. She went on to serve as the executive director of the Hispanic National Bar Association (HNBA), where she was instrumental in working with the White House and non-profit organizations like Latinos for a Fair Judiciary in support of the nomination and confirmation of Supreme Court Justice Sonia Sotomayor.

Her current role with EDA brings her back to the Department of Commerce, an agency she first joined in 2008 as a Special Advisor to the Under Secretary for the U.S. Department of Commerce's International Trade Administration (ITA). In this capacity, she was responsible for business outreach and intergovernmental affairs and assisting in the development of policy initiatives geared at trade promotion and enforcement of U.S. trade laws.

In 2014, Castillo was appointed by President Obama to serve as the national director of the Commerce Department's Minority Business Development Agency (MBDA), becoming the first Hispanic woman to lead the agency. Her work at MBDA was tremendously rewarding and close to her heart, as her father was a minority business owner.

During her tenure, she led MBDA's efforts to boost the growth and global competitiveness of minority business enterprises (MBEs). Under her leadership, MBDA expanded its effort to help MBEs gain access to capital, contracts, and business opportunities. Before her appointment as director, she served as MBDA's national deputy director, managing day-to-day operations and its network of 44 business centers.

Immediately prior to President Biden appointing her to lead the EDA, Castillo was the Chief Executive Officer of YWCA USA, where she championed the 163-year-old organization and its 204 associations serving over 2.3 million women and families across 1,300 communities in the United States. YWCA's mission is to eliminate racism and empower women.

Castillo is an active member in various civic and professional organizations, including the Hispanic National Bar Association, Hispanic Bar Association of the District of Columbia, American Bar Association, and American Jewish Committee. She also serves as a Board Trustee for the University of the District of Columbia. In 2010, Hispanic Business Magazine recognized Castillo among the Top 100 Influential Latinos in the U.S. She received the 2010 Rising Star Award by the Hispanic Bar Association of the District of Columbia.

Castillo has ten nieces and nephews, two of whom she is raising, leading the next generation of trailblazing entrepreneurs. She believes the economy does not function without women fully participating in the workforce and, through hard work and perseverance, continues to advocate as a strong Hispanic female role model.
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Jed Kolko
Under Secretary of Commerce for Economic Affairs
Office of the Under Secretary for Economic Affairs
Jed Kolko

Jed Kolko is Under Secretary of Commerce for Economic Affairs. In this position, Kolko coordinates economic analysis for the Commerce Department and provides direction and oversight for the Census Bureau, the Bureau of Economic Analysis, and the Chief Economist in the Office of the Under Secretary for Economic Affairs.

Before joining the Commerce Department, Kolko served as chief economist at Indeed, a global jobs site, and Trulia, an online real-estate firm in the United States. Kolko also served on the boards of directors of the National Association for Business Economics and the California Budget and Policy Center. His research spans employment, housing, local economic development, and technology issues. He received his A.B. in social studies and his Ph.D. in economics from Harvard University.

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Federal Data Strategy – Data, accountability, and transparency: creating a data strategy and infrastructure for the future

The Federal Data Strategy (FDS) encompasses a 10-year vision for how the Federal Government will accelerate the use of data to deliver on mission, serve the public, and steward resources while protecting security, privacy, and confidentiality.

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[H.R.4174 - Foundations for Evidence-Based Policymaking Act of 2018](#) 115th Congress (2017-2018)

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Sponsor: Rep. Ryan, Paul D. [R-WI-1] (Introduced 10/31/2017)

Committees: House - Oversight and Government Reform | Senate - Homeland Security and Governmental Affairs

Committee Meetings: 11/02/17 10:00AM

Committee Reports: H. Rept. 115-411

Latest Action: 01/14/2019 Became Public Law No: 115-435. (All Actions)

Roll Call Votes: There has been 1 roll call vote

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There are 2 summaries for H.R.4174.

Bill summaries are authored by CRS.

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Public Law No: 115-435 (01/14/2019)

Foundations for Evidence-Based Policymaking Act of 2018

This bill requires agency data to be accessible and requires agencies to plan to develop statistical evidence to support policymaking.

TITLE I--FEDERAL EVIDENCE-BUILDING ACTIVITIES

(Sec. 101) The bill requires agencies to submit annually to the Office of Management and Budget (OMB) and Congress a systematic plan for identifying and addressing policy questions. The plan must include, among other things

- questions for developing evidence to support policymaking;
- data the agency intends to collect, use, or acquire to facilitate the use of evidence in policymaking;
- methods and analytical approaches that may be used to develop evidence to support policymaking; and
- challenges to developing evidence to support policymaking, including any statutory or other restrictions to accessing relevant data.

Each agency shall designate a senior employee as Evaluation Officer to coordinate evidence-building activities and an official with statistical expertise to advise on statistical policy, techniques, and procedures.

The OMB shall establish an Advisory Committee on Data for Evidence Building.

Agency strategic plans shall contain an assessment of the coverage, quality, methods, effectiveness, and independence of the agency's statistics, evaluation, research, and analysis efforts. The Government Accountability Office (GAO) shall submit to Congress a report that (1) summarizes agency findings and highlights trends in the assessment, and (2) recommends actions to further improve agency capacity to use evaluation techniques and data to support evaluation efforts.

The OMB shall issue guidance for program evaluation and identify best practices for evaluation. Agencies must implement such guidance.

The Office of Personnel Management shall, for program evaluation within an agency, identify key skills and competencies, establish or update an occupational series, and establish a new career path.

TITLE II--OPEN GOVERNMENT DATA ACT

Open, Public, Electronic, and Necessary Government Data Act or the OPEN Government Data Act

(Sec. 202) This bill requires public government data assets to be published as machine-readable data. The General Services Administration must maintain an online federal data catalogue to provide a single point of entry for the public to access agency data.

Each agency shall develop and maintain a comprehensive data inventory and designate a Chief Data Officer.

The bill establishes in the OMB a Chief Data Officer Council for establishing government-wide best practices for the use, protection, dissemination, and generation of data and for promoting data sharing agreements among agencies.

The GAO shall report to Congress identifying (1) the value of information made available to the public as a result of this bill, (2) whether publishing information that has not yet been published would be valuable to the public, and (3) the completeness of each comprehensive data inventory developed.

The OMB shall biennially report on agency performance and compliance with this bill.

TITLE III--CONFIDENTIAL INFORMATION PROTECTION AND STATISTICAL EFFICIENCY

Confidential Information Protection and Statistical Efficiency Act of 2018

(Sec. 302) The bill codifies and revises the Confidential Information Protection and Statistical Efficiency Act of 2002.

Each statistical agency or unit shall (1) produce and disseminate relevant and timely statistical information; (2) conduct credible, accurate, and objective statistical activities; and (3) protect the trust of information providers by ensuring the confidentiality and exclusive statistical use of their responses.

(Sec. 303) An agency shall make data assets available to any statistical agency or unit for purposes of developing evidence. This shall not apply to any data asset that is subject to a statute that prohibits the sharing or intended use of such asset. Each statistical agency or unit shall expand access to data assets acquired or accessed to develop evidence while protecting such assets from inappropriate access and use.

The OMB shall (1) make public all standards and policies established under this bill; (2) ensure that statistical agencies and units have the ability to make information public on the federal data catalogue; and (3) establish a process through which agencies, the Congressional Budget Office, state, local, and tribal governments, researchers, and other individuals may apply to access the data assets accessed or acquired by a statistical agency or unit for purposes of developing evidence.

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[Marisa Lago](#)
Under Secretary of Commerce for International Trade
International Trade Administration
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Marisa Lago is the Under Secretary of Commerce for International Trade. She was appointed by President Joseph R. Biden and sworn in on Dec. 28, 2021, to lead the federal government's efforts to assist American businesses entering or expanding into international markets, enforce fair trade policies, promote travel and tourism to the United States and U.S. products and services overseas, provide in-depth trade analyses, develop strategies that will shape the future of international trade, and engage in commercial diplomacy across the globe.

Under Secretary Lago has a distinguished career in public service with expertise in international markets, trade, financial regulation, and enforcement. Before joining ITA, she led the New York City Department of City Planning and the City Planning Commission. In the Obama-Biden Administration, she served in the Department of the Treasury as Assistant Secretary for International Markets and Development.

Lago has also served as the Director of the Boston Redevelopment Authority and Chief Economic Development Officer for the City of Boston, President, and CEO of the Empire State Development Corporation, Director of the Office of International Affairs for the U.S. Securities and Exchange Commission, and Global Head of Compliance for a major investment bank.

She received a Bachelor of Science in Physics from The Cooper Union and her Juris Doctor cum laude from Harvard Law School.

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Donald R. Cravins Jr.
Under Secretary of Commerce for Minority Business Development
Minority Business Development Agency
Donald R. Cravins Jr.

Mr. Donald “Don” R. Cravins, Jr. serves as the first Under Secretary of Commerce for Minority Business Development where he leads the Minority Business Development Agency (MBDA) in its service of the nation’s 9.7 million minority business enterprises. After more than 52 years in existence, in 2021 President Biden signed the Minority Business Development Act of 2021 expanding and making permanent MBDA as the United States’ newest federal agency. Under Secretary Cravins was unanimously confirmed by the United States Senate on August 4, 2022.

Mr. Cravins holds a Bachelor of Arts in Political Science from Louisiana State University and a Juris Doctor from the Southern University Law Center, where he served on the Law Review and the Moot Court Board. In 2004, he was elected to the Louisiana House of Representatives and in 2006 elected to the Louisiana State Senate. While in the Louisiana Legislature, he represented hundreds of businesses –many were minority-owned, and many located in rural communities. Following Hurricanes Katrina and Rita, Mr. Cravins served as a voice and champion for business owners, assisting with navigating the challenges related to recovery and rebuilding.

In January 2009, Mr. Cravins left the Louisiana Legislature to serve as Staff Director and Chief Counsel for the United States Senate Committee on Small Business and Entrepreneurship, where he played a vital role in the successful passage of the Small Business Jobs Act of 2010. In January 2013, he became the Chief of Staff to United States Senator Mary L. Landrieu of Louisiana. At that time, he was the only African American Chief of Staff in the United States Senate.

Since leaving the United States Senate in 2015, Mr. Cravins has served in key executive positions for some of America’s largest non-profit and for-profit corporations, including the National Urban League where he most recently served as

its Executive Vice President and Chief Operating Officer. As one of the Nation's preeminent Civil Rights Organizations, Mr. Cravins led the National Urban League's entrepreneurial initiatives and spearheaded its corporate Diversity, Equity, and Inclusion program.

In addition to serving as Under Secretary, Mr. Cravins is a United States Army Major in the District of Columbia Army National Guard Judge Advocate General (JAG) Corps. His awards and military decorations include two Meritorious Service Medals, three Army Commendation Medals, the Air Force Commendation Medal, and the Army Achievement Medal. He is also a Life Member of Alpha Phi Alpha Fraternity, Inc., a Life Member of The Rocks, Inc., and a member of the 100 Black Men of Prince George's County.

His honors include induction into the Southern University Law School Hall of Fame in 2018, recognition as a Distinguished Alumnus of Louisiana State University in 2015, and in 2020 he was named Advocate of the Year by the National Bar Association.

Under Secretary Cravins and his wife, Yvette Puckett Cravins, Esq., have three children and reside in Maryland.

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Laurie E. Locascio

Director of NIST and the Under Secretary of Commerce for Standards and Technology
National Institute of Standards and Technology

Laurie E. Locascio

Laurie E. Locascio is the 17th director of NIST and the fourth Under Secretary of Commerce for Standards and Technology. In this role, she provides high-level oversight and direction of NIST.

Dr. Locascio most recently served as vice president for research at the University of Maryland College Park and University of Maryland Baltimore, where she focused on the development of large interdisciplinary research programs, technology commercialization, innovation and economic development efforts, and strategic partnerships with industry, federal, academic and nonprofit collaborators. She also served as a professor in the Fischell Department of Bioengineering at the A. James Clark School of Engineering with a secondary appointment in the Department of Pharmacology in the School of Medicine.

Before joining the University of Maryland, Dr. Locascio worked at NIST for 31 years, rising from a research biomedical engineer to eventually leading the agency's Material Measurement Laboratory (MML). She also served as the acting associate director for laboratory programs, the No. 2 position at NIST, providing direction and operational guidance for NIST's lab research programs.

As director of MML, one of NIST's largest scientific labs, Dr. Locascio oversaw 1,000 research staff in eight locations around the United States and a \$175 million annual budget and recruited top talent, fostered excellence, and built a collegial and collaborative workplace. She implemented strategic partnerships with universities, industry and other government labs, including a partnership with the University of Maryland's Institute for Bioscience and Biotechnology Research at the Universities at Shady Grove. Before that, Dr. Locascio served as chief of MML's Biochemical Sciences Division.

Dr. Locascio's most recent honors and awards include the 2021 induction as a fellow of the National Academy of Inventors, the 2017 American Chemical Society Earle B. Barnes Award for Leadership in Chemical Research Management, and the 2017 Washington Academy of Sciences Special Award in Scientific Leadership. She has published 115 scientific papers and has received 12 patents in the fields of bioengineering and analytical chemistry. Her honors and awards also include the Department of Commerce Silver and Bronze Medal Awards, the American Chemical Society Division of Analytical Chemistry Arthur F. Findeis Award, the NIST Safety Award and the NIST Applied Research Award. She is also a fellow of the American Chemical Society and the American Institute for Medical and Biological Engineering.

Dr. Locascio has a B.S. in chemistry from James Madison University, an M.S. in bioengineering from the University of Utah, and a Ph.D. in toxicology from the University of Maryland Baltimore.

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A mirror reflects Yoshi Ohno, seated, and Jane Li, standing, as they view a computer screen showing lighting data.

The Eye of the Beholder: How Lighting Affects Our Color Perception

Diagram shows microprojectile as a small ball moving upward through a square of polymer film with camera to the right.

New Laser-Based Method Could Help Scientists Discover New Puncture-Resistant Materials

A blue-tinged drawing shows a schematic of the two qubits and resonator above a white rectangle, which represents the SQUID device that controls the connections and relationships among the qubits and resonator elements.

NIST 'Toggle Switch' Can Help Quantum Computers Cut Through the Noise
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A man wearing safety goggles leans over a scientific device.

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Diagram shows microprojectile as a small ball moving upward through a square of polymer film with camera to the right.

New Laser-Based Method Could Help Scientists Discover New Puncture-Resistant Materials

July 3, 2023

Using tiny laser-launched projectiles and troves of data, scientists can more quickly bridge the gap between a material's microscopic properties and its real

A blue-tinged drawing shows a schematic of the two qubits and resonator above a white rectangle, which represents the SQUID device that controls the connections and relationships among the qubits and resonator elements.

NIST 'Toggle Switch' Can Help Quantum Computers Cut Through the Noise

June 26, 2023

The novel device could lead to more versatile quantum processors with clearer outputs.

Composite image representing artificial intelligence. Image of graphic human head with images representing healthcare, cybersecurity, transportation, energy, robotics, and manufacturing.

Biden-Harris Administration Announces New NIST Public Working Group on AI

June 22, 2023

The group will build on NIST's Risk Management Framework to tackle risks of rapidly advancing generative AI.

A city skyline is overlaid with digital static and numbers.

National Artificial Intelligence Advisory Committee Releases First Report

June 22, 2023

NAIAC's work supports the Biden-Harris administration's ongoing efforts to advance a comprehensive approach to AI-related risks and opportunities.

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Events

2023 Time and Frequency Seminar Event Visual

2023 NIST Time and Frequency Seminar

Mon, Jul 10 - Wed, Jul 12 2023

NIST Time and Frequency Division's annual seminar covers clocks, oscillators, atomic frequency standards, rf and optical

29th CHRNS School on Methods and Applications of Neutron Spectroscopy

Mon, Jul 17 - Fri, Jul 21 2023

The twenty-ninth annual Center for High Resolution Neutron Scattering (CHRNS) "29th CHRNS School on Methods and

Auto Vehicle Workshop

Standards and Performance Metrics for On-Road Automated Vehicles Workshop

Tue, Sep 5 - Fri, Sep 8 2023

On-road automated vehicles (AVs) are expected to significantly influence key aspects of everyday life. However, these

Person pushing slider from 5G 100 Mbps to 6G 200 Gbps

6G Core Networks Workshop

Tue, Sep 12 2023, 8:30am - 5:00pm EDT

Transitioning into a 6G ecosystem from the current 5G landscape will be a great challenge and could bring on profound

Shutterstock Image Additive Construction -- The Path to Standardization

Additive Construction - The Path to Standardization

Tue, Sep 26 - Wed, Sep 27 2023

The event, Additive Construction - The Path to Standardization, will bring together industry stakeholders to discuss

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Baldrige Program External Review
Baldrige Program External Review text showing a group of people talking.
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News and Updates

New Resource Available: The Foundations for a Successful Business

June 5, 2023

To be successful as a new business, a growing business, or a well-established business exploring major changes, what do you most need to consider? The 2023-2024 Now Available Online: Updated Baldrige State-by-State Impacts

May 8, 2023

Would you like to know the specific impacts in your state of the Baldrige Performance Excellence Program and its network of nonprofit partner programs across Baldrige Executive Fellows Program

Executives Embark on Year-Long Fellowship, with Baldrige Framework as Foundation

April 19, 2023

In April 2023, 17 executives began a year-long leadership development journey in the prestigious Baldrige Executive Fellows Program, the only leadership Baldrige Executives Explore Best Practices, Leadership Challenges as They Complete Yearlong Fellowship

April 14, 2023

Fourteen senior leaders from a variety of U.S. organizations recently joined more than 135 other executives as graduates of the Baldrige Executive Fellows

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Happy Independence Day 2023

Independence Day 2023

June 29, 2023

From Your Friends at the Baldrige Performance Excellence Program

Rosemary plants for sale.

Caveat Emptor. The "Mudgeon" Rides Again!

June 20, 2023

I was in the middle of writing a blog on leadership lessons from this season's professional baseball rule changes (watch for it in the future) when I had to Scott McIntyre, Guidehouse (formerly PricewaterhouseCoopers Public Sector), 2014 Baldrige Award Recipient.

Leading for Innovation, Part 3: Insights from Guidehouse's Scott McIntyre

June 6, 2023

Five-Part Leadership Blog Series Senior leaders of five recent Baldrige Award recipients representing diverse sectors of the U.S. economy—The Charter School of What we learned: 1. Embracing the Baldrige Framework Is Innovation showing a rustic wood background with a notebook that says innovation above photo of mountain.

Leading for Innovation, Part 2: Insights from Elevations Credit Union's Gerry Agnes

May 23, 2023

Five-Part Leadership Blog Series Senior leaders of five recent Baldrige Award recipients representing diverse sectors of the U.S. economy—The Charter School of View more

Baldrige Executive Fellows Program

Baldrige Executive Fellows Program showing man pointing to Leadership Development in the Criteria Overview.

Credit: sdecoret/Shutterstock

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What Executive Fellows Are Saying
walk the talk

Jo Ann Jenkins, Chief Executive Officer, AARP Inc.

I was able to establish invaluable connections and garner insights into how other leading organizations are developing and implementing innovative, effective strategies in support of operational excellence and leadership development. A significant insight is that the leadership and senior management must model its organization's mission consistently, with passion and enthusiasm. They must truly "walk the talk"—particularly during times of change.

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The calibration services of the National Institute of Standards and Technology (NIST) are designed to help the makers and users of precision instruments achieve the highest possible levels of measurement quality and productivity.

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Shop for your Calibration Service on the New Storefront

On March 27, 2019, NIST introduced a new online shopping experience to order Calibration services. As NIST is committed to providing high quality products and services, we are sure you will enjoy this new customer experience! To access the new NIST storefront and shop for Calibration Services, [click here](#). To place an order, be sure to check out the New Customer link and information on the storefront homepage and register for an account.

What if I Need Assistance?

If you have questions about ordering a measurement service, please email the Calibrations Group or call 1-866-NIST-SHOP (or 1-866-647-8746). You may also contact our NIST Calibration Administrators: Martin Wilson - 301-975-2356, Wendy Bailey - 303-497-3842, or John Lomax - 303-229-2242.

Who Do I Call?

If you have difficulty finding a contact for your measurement service need or you need administrative assistance, please call 1-866-NIST-SHOP (or 1-866-647-8746). If

you prefer, you can send email to the Calibrations Group.

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Calibrations Customer Survey

Calibrations Customer Survey

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The CNST NanoFab: Through the Users' Eyes

The CNST NanoFab: Through the Users' Eyes

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2023 Congressional Testimony

On May 10, 2023, Dr. Laurie Locascio, Under Secretary of Commerce for Standards and Technology/Director, National Institute of Standards and Technology, United States Department of Commerce testified before the Committee on Science, Space, and

Technology, United States House of Representatives. The hearing was entitled An Overview of the Budget Proposal for the National Institute of Standards and Technology for Fiscal Year 2024. The purpose of the hearing was to review the Administration's Fiscal Year 2024 budget request for the National Institute of Standards and Technology.

On March 29, 2023, Jim St. Pierre, Acting Director for the Information Technology Laboratory testified before the House Committee on Oversight and Accountability, Subcommittee on Government Operations and the Federal Workforce. The hearing was entitled Login.gov Doesn't Meet the Standard. The purpose of the hearing was to examine the technical and operational capabilities of Login.gov, a sign-on service provided by the General Services Administration's Technology Transformation Services.

2022 Congressional Testimony

On September 29, 2022, Elham Tabassi, Chief of Staff for the Information Technology Laboratory testified before the House Committee on Science, Space, and Technology, Subcommittee on Research and Technology. The hearing was entitled "Trustworthy AI: Managing the Risks of Artificial Intelligence". The purpose of the hearing was to review tools, best practices, and challenges in the design, development, testing, and deployment of trustworthy artificial intelligence AI systems.

On July 28, 2022, Matthew A. Scholl, Chief, Computer Security Division, Information Technology Laboratory testified before the House Committee on Science, Space, and Technology Subcommittee on Space and Aeronautics. The hearing was entitled "Exploring Cyber Space: Cybersecurity Issues for Civil and Commercial Space Systems". The purpose of the hearing was to examine cybersecurity for civil and commercial space systems, including current and potential cybersecurity risks, the status of policies and guidance regarding cybersecurity for space systems, and opportunities for facilitating and strengthening cybersecurity for civil and commercial space systems, among other issues.

On June 29, 2022, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Science, Space, and Technology, Subcommittee on Investigations and Oversight. The hearing entitled "Privacy in the Age of Biometrics" focused on evaluating the privacy implications of biometrics technologies.

On June 23, 2022, Dr. Eric Lin, Director of the NIST Material Measurement Laboratory testified before the House Committee on Science, Space, and Technology, Subcommittee on Research and Technology and Subcommittee on Environment. The hearing was entitled "Assessing Federal Programs for Measuring Greenhouse Gas Sources and Sinks". The purpose of the hearing was to explore Federal programs focused on monitoring, measuring, and verifying sources and sinks of greenhouse gas emissions (GHGs).

On May 17, 2022, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Homeland Security, Subcommittee on Cybersecurity, Infrastructure Protection, & Innovation. The hearing was entitled "Securing the DotGov: Examining Efforts to Strengthen Federal Network Cybersecurity".

On March 17, 2022, Dr. James Olthoff, Performing the Non-Exclusive Functions and Duties of the Under Secretary of Commerce for Standards and Technology & Director, National Institute of Standards and Technology testified before the House Committee on Science, Space, and Technology, Subcommittee on Research and Technology. The hearing was entitled "Setting the Standards: Strengthening U.S. Leadership in Technical Standards". The purpose of the hearing was to discuss the nature and importance of the standards-setting process to U.S. competitiveness and national security.

2021 Congressional Testimony

On November 10, 2021, Dr. Scott Weaver, Director, National Windstorm Impact Reduction Program, Engineering Laboratory testified before the House Committee on Science, Space, and Technology, Subcommittee on Research and Technology. The hearing was entitled "Weathering the Storm: Reauthorizing the National Windstorm Impact Reduction Program". The purpose of the hearing was to review the activities of the National Windstorm Impact Reduction Program (NWIRP), including the importance of interagency collaboration.

On May 25, 2021, Matthew A. Scholl, Chief, Computer Security Division, Information Technology Laboratory testified before the House Committee on Science, Space, and Technology Subcommittee on Investigations and Oversight and Subcommittee on Research and Technology. The hearing was entitled "SolarWinds and Beyond: Improving the Cybersecurity of Software Supply Chains". The purpose of the hearing was to examine the causes and impacts of recent supply chain attacks on Federal Agencies, explore how Federal Agencies currently mitigate their software supply chain risks, and consider how best to improve software supply chain security.

2020 Congressional Testimony

On March 11, 2020, Dr. Walter G. Copan, Under Secretary of Commerce for Standards and Technology and Director of NIST testified before the House Committee on Science, Space, and Technology Subcommittee on Research and Technology. The hearing was entitled "Reauthorization of the National Institute of Standards and Technology" and was focused on highlighting NIST's plans and accomplishments in critical technology areas.

On February 11, 2020, Rodney Petersen, Director of the National Initiative for Cybersecurity Education (NICE) testified before the House Committee on Science, Space, and Technology Subcommittee on Research and Technology. The hearing was entitled "More Hires, Fewer Hacks: Developing the U.S. Cybersecurity Workforce" and focused on exploring the challenges faced by organizations in both the public and private sectors in recruiting skilled cybersecurity professionals and discussed the strategies to expand and diversify the cybersecurity workforce pipeline to meet the demand.

On February 6, 2020, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Homeland Security. The hearing was entitled "About Face: Examining the Department of Homeland Security's Use of Facial

Recognition and Other Biometric Technologies, Part II". The hearing was focused on examining the Department of Homeland Security's use of facial recognition technology.

On January 15, 2020, Dr. Walter G. Copan, Under Secretary of Commerce for Standards and Technology and Director of NIST testified before the Senate Committee on Commerce, Science, and Transportation. The hearing entitled "Industries of the Future" was focused on how the United States can maintain its global economic edge through its leadership in the Industries of the Future.

On January 15, 2020, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Oversight and Reform. The hearing was entitled "Facial Recognition Technology (Part III): Ensuring Commercial Transparency & Accuracy". The purpose of the hearing was to examine the various ways that private sector entities use facial recognition technology; the potential transparency, privacy, accuracy, ownership, and security implications involved in its use and the partnerships these companies develop with government entities; and the possible legislative solutions that can be implemented to avoid these risks.

2019 Congressional Testimony

On December 5, 2019, Dr. Scott Weaver, Director, National Windstorm Impact Reduction Program testified before the House Committee on Science, Space, and Technology Subcommittee on Research and Technology and Subcommittee on Environment. The hearing, entitled "Calm Before the Storm: Reauthorizing the National Windstorm Impact Reduction Program" was focused on reviewing the activities of NWIRP.

On September 10, 2019, Ms. Susan Ballou, Program Manager, Forensic Science Research Program testified before the House Committee on Science, Space, and Technology. The purpose of the hearing was to review the "Progress and Future Needs of Forensic Science".

On July 10, 2019, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Homeland Security. The title and purpose of this hearing was "Use of Biometric Technologies at the Department of Homeland Security."

On June 25, 2019, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Science, Space and Technology, Subcommittee on Investigations & Oversight and Subcommittee on Research & Technology. The title and purpose of this hearing was "Election Security: Voting Technology Vulnerabilities."

On June 4, 2019, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Oversight and Reform. The title and purpose of this hearing was "Facial Recognition Technology: Ensuring Transparency in Government Use."

On May 21, 2019, Jason Averill, Chief of the Materials and Structural Systems Division testified before the House Committee on Science, Space, and Technology,

Subcommittee on Investigations and Oversight. The title of this hearing was "The Need for Resilience: Preparing America's Transportation Infrastructure for Climate Change."

On April 30, 2019, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the Senate Committee on Commerce, Science, and Transportation, Subcommittee on Security. The purpose of the hearing was to discuss "Strengthening the Cybersecurity of the Internet of Things (IoT)."

On April 9, 2019, Dr. Walter G. Copan, Under Secretary of Commerce for Standards and Technology and Director of NIST testified before the House Committee on Science, Space and Technology, Subcommittee on Research and Technology. The purpose of the hearing was to review the NIST Fiscal Year 2020 Budget Request.

On March 26, 2019, Mr. Michael Molnar, Director of the NIST Office of Advanced Manufacturing testified before the House Committee on Science, Space and Technology, Subcommittee on Research and Technology and Subcommittee on Energy. The title of his hearing was "Revitalizing American Leadership in Advanced Manufacturing."

On March 13, 2019, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the Senate Committee on Small Business and Entrepreneurship. The title of his hearing was "Cyber Crime: An Existential Threat to Small Business."

2018 Congressional Testimony

On July 25, 2018, Dereck Orr, Division Chief of the Public Safety Communications Research Division testified before the House Committee on Homeland Security, Subcommittee on Emergency Preparedness, Response, and Communications. The title of his hearing and testimony was "Innovations in the Field of Emergency Preparedness".

On July 11, 2018, Donna Dodson, Director of the National Cybersecurity Center of Excellence and NIST Chief Cybersecurity Advisor testified before the Senate Commerce, Science, and Transportation Committee. The title of her hearing and testimony was "Complex Cybersecurity Vulnerabilities: Lessons Learned from Spectre and Meltdown".

On July 11, 2018, Dr. Charles Romine, Director of the NIST Information Technology Laboratory, testified before the Senate Committee on Rules and Administration. The title of his hearing and testimony was "Election Security Preparations: Federal and Vendor Perspectives".

On June 27, 2018, Dr. Charles Romine, Director of the NIST Information Technology Laboratory, testified before the House Committee on Science, Space, and Technology, Subcommittee on Oversight. The title of his hearing and testimony was "Bolstering Data Privacy and Mobile Security: An Assessment of IMSI Catcher Threats".

On May 31, 2018, Dr. Steven McCabe, Director of the National Earthquake Hazards Reduction Program (NEHRP), testified before the House Committee on Science, Space, and Technology. The focus of the hearing was "A Review of the National Earthquake Hazards Reduction Program (NEHRP)".

On April 18, 2018, Dr. Joannie Chin, Deputy Director of the NIST Engineering Laboratory, testified before the House Committee on Science, Space, and Technology, Subcommittee on Research and Technology. The title of the hearing was "Composite Materials - Strengthening Infrastructure Development".

On February 14, 2018, Dr. Charles Romine, Director of the NIST Information Technology Laboratory, testified before the House Committee on Science, Space, and Technology, Subcommittee on Oversight and Subcommittee on Research and Technology. The title of the hearing and his testimony was "Beyond Bitcoin: Emerging Applications for Blockchain Technology".

On January 30, 2018, Dr. Walter G. Copan, NIST Director, testified before the Senate Commerce, Science, and Transportation Committee. The title of the hearing and his testimony was "One Year Later: The American Innovation and Competitiveness Act".

2017 Congressional Testimony

On December 13, 2017, Dr. Michael J. Fasolka, Acting Director of the Material Measurement Laboratory testified before the House Committee on Science, Space, and Technology, Subcommittee on Research and Technology. The title of the hearing and his testimony was "Head Health Challenge: Preventing Head Trauma from Football Field to Shop Floor to Battlefield".

On October 25, 2017, Donna Dodson, Director of the National Cybersecurity Center of Excellence and NIST Chief Cybersecurity Advisor testified before the House Committee on Science, Space, and Technology. The title of the hearing and her testimony was "Bolstering the Government's Cybersecurity: Assessing the Risk of Kaspersky Lab Products to the Federal Government".

On October 24, 2017, Dr. Carl J. Williams, Acting Director of the NIST Physical Measurement Laboratory testified before the House Committee on Science, Space, and Technology on "American Leadership in Quantum Technology".

On October 11, 2017, Dr. Kent Rochford, Acting Undersecretary of Commerce for Standards and Technology and Director of NIST testified before the House Committee on Science, Space, and Technology, Subcommittee on Oversight and Subcommittee on Research and Technology. The title of the hearing and his testimony was "Physical Security: NIST and Commerce Need to Complete Efforts to Address Persistent Challenges".

On June 28, 2017, Dr. Laurie Locascio, Director of the NIST Material Measurement Laboratory testified before a Joint House Subcommittee on Energy and Subcommittee on Research & Technology Hearing on "Material Science: Building the Research" .

On March 22, 2017, Dr. Charles Romine, Director of the NIST Information Technology Laboratory, testified before the House Committee on Oversight and Government Reform on "Facial Recognition Technology".

On March 8, 2017, Dr. Charles Romine, Director of the NIST Information Technology

Laboratory, testified before the House Committee on Small Business. The title of the Hearing and his testimony was "Small Business Cybersecurity: Federal Resources & Coordination".

On February 14, 2017, Dr. Charles Romine, Director of the NIST Information Technology Laboratory testified before the House Committee on Science Space and Technology Subcommittee on Research and Technology. His testimony was entitled "Strengthening U.S. Cybersecurity Capabilities."

Resources

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[Appropriations Legislation Status](#)

[House Floor Schedule](#)

[Senate Floor Schedule](#)

[NIST Organic Act - As Amended](#)

[The Malcolm Baldrige National Quality Improvement Act of 1987 - Public Law 100-107](#)

Information for Kids

[Ben's Guide to the U.S. Government](#)

[Kids in the House](#)

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Office of the Director

NIST works with the private sector, other government agencies, and universities to develop and apply the technology, measurements and standards needed for new and improved products and services.

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Welcome to the National Institute of Standards and Technology (NIST). As an agency of the Department of Commerce, NIST plays a key role in enabling the hard work and innovative ideas of the American people to strengthen our infrastructure for innovation to advance manufacturing, service, and science; to promote trade; and to improve public safety and security. This leads to improved quality of life and better and more jobs.

NIST works with the private sector, other government agencies, and universities to develop and apply the technology, measurements and standards needed for new and improved products and services. In short, we help to enable the future. We encourage you to learn more about NIST's activities, how to make use of our products and services, and how to work with NIST.

NIST Director and under secretary of commerce for standards and technology

Laurie Locascio poses for a photo.

Laurie E. Locascio

Credit: B. Hayes/NIST

Laurie E. Locascio is the 17th director of NIST and the fourth Under Secretary of Commerce for Standards and Technology. In this role, she provides high-level

oversight and direction of NIST.

Dr. Locascio most recently served as vice president for research at the University of Maryland College Park and University of Maryland Baltimore. She also served as a professor in the Fischell Department of Bioengineering at the A. James Clark School of Engineering with a secondary appointment in the Department of Pharmacology in the School of Medicine.

Before joining the University of Maryland, Dr. Locascio worked at NIST for 31 years, rising from a research biomedical engineer to eventually leading the agency's Material Measurement Laboratory (MML).

Read Dr. Locascio's full bio.

Associate Directors & Chief of Staff

Associate Director for Laboratory Programs
Associate Director for Innovation & Industry Services

Associate Director for Management Resources

Chief of Staff

News and Updates

Graphic image with scale in front and person typing on a laptop

OWM Announces New Legal Metrology Publications: NIST SP 2200 series

July 3, 2023

The NIST Office of Weights and Measures (OWM) is currently developing a Legal Metrology Publication Series: SP 2200 to provide our stakeholders with current
Diagram shows microprojectile as a small ball moving upward through a square of polymer film with camera to the right.

New Laser-Based Method Could Help Scientists Discover New Puncture-Resistant Materials

July 3, 2023

Using tiny laser-launched projectiles and troves of data, scientists can more quickly bridge the gap between a material's microscopic properties and its real

Business women figures, teamwork image, girl power colorful illustration, beautiful ladies silhouettes vectors

Women in Weights and Measures

July 3, 2023

NIST OWM is pleased to be participating in the new Women in Weights and Measures panel session at the 108th NCWM Annual Meeting this month. This concept was

Dunkler Kompass - Konzept Vision

NIST OWM Strategic Plan

June 30, 2023

As the NIST OWM Chief, I am very pleased to announce the completion and launch of the OWM Strategic Plan (FY2023 - FY2027). OWM has a long-standing history in U

View All News and Updates

Taking Measure Blog

NIST researchers Yoshi Ohno and Jane Li demonstrate different types of lighting in their lab on NIST's campus in Gaithersburg, Maryland.

The Eye of the Beholder: How Lighting Affects Our Color Perception

June 28, 2023

Remember the social media controversy over the color of a dress? That's a lighthearted example of how illumination can affect the things we see, but this is Renaissance-style illustration shows Blaise Pascal

Under Pressure: Blaise Pascal, the Barometer and Bike Tires

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On his 400th birthday, we celebrate the scientist whose name is the unit of measurement for air, bike and car tire pressure. You should read it. No pressure. Christine McGinn wears safety glasses as she handles plastic bottles and other materials in the lab.

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Representation and mentors are key to promoting diversity in America's science and technology workforce into the future.

Aly Artusio-Glimpse smiles and holds up a tiny glass square.

Benchy the Boat, Irradiated Mangoes, Quantum Window: A Sample of Stories on NIST Social Media

May 31, 2023

NIST's research can range from the complex to the downright whimsical, but all the papers published here can trace back to a benefit for everyone in the U.S.

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Events

5830 State Laboratory Annual Submission Process

Thu, Jul 6 2023, 2:00 - 4:00pm EDT

The State Laboratory Annual Submission Process webinar provides guidance on how to successfully submit all required

2023 Time and Frequency Seminar Event Visual

2023 NIST Time and Frequency Seminar

Mon, Jul 10 - Wed, Jul 12 2023

NIST Time and Frequency Division's annual seminar covers clocks, oscillators, atomic frequency standards, rf and optical

5842 Advanced Mass Seminar

Mon, Jul 17 - Thu, Jul 27 2023

The 9-day, hands-on Advanced Mass calibration seminar focuses on the comprehension and application of the advanced mass

Advances in Automation of Quantum Dot Devices Control

Wed, Jul 19 - Thu, Jul 20 2023

The purpose of the workshop is to convene stakeholders from industry, academia, and the government interested in the

[View All Events](#)

Awards

Nicole Yunger Halpern

2023 ASPIRE Prize U.S. Winner - Nicole Halpern

Nicole Yunger Halpern is an emerging young leader reconciliation and mutual strengthening of the concepts of thermodynamics and quantum

Word collage (reference materials, QC tools, repeatability, compatibility, reproducibility, etc. over top of small jar

2023 - Best Review Award Published in 2022---Clay Davis, Goncalo Gouveia, Christina Jones, Katrice Lipka, and Tracey Schock

"Reference Materials for MS-based Untargeted Metabolomics and Lipidomics: A Review by the Metabolomics Quality Assurance and Quality Control

Headshot of Edwin Chan

2022 - Arthur S. Flemming Award---Edwin P. Chan

Dr. Edwin Chan is recognized for his outstanding contributions to NIST and the federal government. He developed innovative measurement

Amy Mensch

Amy Mensch Receives The 2023 Young Investigator Award in Engineering Sciences

To recognize a promising young investigator who is making outstanding contributions to progress in science or engineering.

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Engineering Laboratory

The Engineering Laboratory promotes U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology for engineered systems in ways that enhance economic security and improve quality of life.

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Equipment stands on tripods on a balcony with the beach and sea in the background.

Update on NIST's Champlain Towers South Investigation

Animation shows particles as blue dots circulating through a living room via windows, fans and filters.

Streamlined NIST Tool Could Help Homeowners, Renters Reduce Airborne Exposure to COVID

Joannie Chin stands with arms crossed, wearing safety goggles, in front of scientific equipment.

Building in a Changing Climate: A Q&A With NIST's Joannie Chin

News and Updates

A woman in a NIST hard hat and other safety gear stands inside a warehouse holding a plastic bag labeled "Evidence."

New Videos Available on the NCST Champlain Towers South Investigation

June 23, 2023

See new video interviews of team members and footage from recent sample concrete coring.

Photo on top showing researchers studying a large concrete structure on a wall; image on bottom showing a heat map of forces on a similar simulated structural column.

New Building Standard Paves the Way for Collapse-Resistant Structures

June 5, 2023

A new building standard can help engineers prevent the worst.

Photo shows a NIST firefighter on standby watching a shed burn next to a target

structure representing a wall of a residence during an experiment.

For Sheds in Wildfire Zones, NIST Researchers Determine How Close Is Too Close to Home

June 1, 2023

How far away do sheds need to be located to significantly limit fire spread to homes? New research led by NIST has begun to provide important answers.

Concrete columns lay on their sides inside a warehouse with lots of space around each one.

May 31, 2023, Champlain Towers South Investigation Update: Second Warehouse Will Allow New Phase of Testing

May 31, 2023

On May 11, 2023, the MDPD and the NCST finished moving a portion of evidence retrieved from the Champlain Towers South building into a second warehouse.

[View All News and Updates](#)

Events

Images of Tornado, House Fire, Sink Hole, and Hurricane (2023 Disaster Resilience Symposium)

2023 Disaster Resilience Symposium

Wed, Aug 23 - Thu, Aug 24 2023

The Engineering Laboratory at NIST, Gaithersburg, is proud to host the 6th annual symposium featuring the Disaster

Auto Vehicle Workshop

Standards and Performance Metrics for On-Road Automated Vehicles Workshop

Tue, Sep 5 - Fri, Sep 8 2023

On-road automated vehicles (AVs) are expected to significantly influence key aspects of everyday life. However, these

Shutterstock Image Additive Construction -- The Path to Standardization

Additive Construction - The Path to Standardization

Tue, Sep 26 - Wed, Sep 27 2023

The event, Additive Construction - The Path to Standardization, will bring together industry stakeholders to discuss

[View All Events](#)

Software

Tool for Evaluation of Vaporized Hydrogen Peroxide Disinfection of N95 Masks in Small Rooms

June 3, 2020

This spreadsheet tool estimates the vaporized hydrogen peroxide (VHP) concentration in air of rooms used for VHP mask disinfection systems. The tool employs a

FDS and Smokeview

Fire Dynamics Simulator (FDS) is a computational fluid dynamics (CFD) model of fire-driven fluid flow. The software solves numerically a form of the Navier

BEES

February 5, 2018

The BEES (Building for Environmental and Economic Sustainability) software brings to your fingertips a powerful technique for selecting cost-effective

CONTAM

January 8, 2021

CONTAM is a multizone indoor air quality and ventilation analysis computer program designed to help you determine: (a) airflows: infiltration, exfiltration, and

[NIST STEP File Viewer screenshot](#)

STEP File Analyzer and Viewer

The STEP File Viewer supports parts, assemblies, dimensions, tolerances, and more. The Analyzer generates a spreadsheet of all entity and attribute information

evapcond

EVAP-COND, Version 4

May 1, 2016

EVAP-COND is a software package that contains NIST's simulation models for a finned-tube evaporator and condenser. The "tube-by-tube" modeling scheme allows for

CFAST

CFAST

The Consolidated Model of Fire and Smoke Transport, CFAST, is a computer program that fire investigators, safety officials, engineers, architects and builders

VCCTL Software

(Return to Cement Hydration and Degradation Modeling Software) The Virtual Cement and Concrete Testing Laboratory (VCCTL) software provides a virtual testing

View All Software

Tools and Instruments

Smart Manufacturing Systems (SMS) Test Bed

The Smart Manufacturing Systems (SMS) Test Bed is comprised of three major components: Computer-Aided Technologies (CAx) Lab Manufacturing Lab Data publication

Integrating Sphere-based Weathering Device

Integrating Sphere-based Weathering Device

In the artificial ultraviolet (UV) weathering of materials, a need exists for weathering devices that can uniformly illuminate test specimens with a high level

Manufacturing Robotics Testbed

Manufacturing Robotics Testbed

The NIST manufacturing robotics test bed consists of several labs located in three buildings on the main NIST campus. Combined, these serve as a resource for

FCD-landing-page.jpg

Fire Calorimetry Database (FCD)

The National Fire Research Laboratory (NFRL) operates calorimeters to measure the heat and combustion products for fires in the range of 50 kW to 20,000 kW

View All Tools & Instruments

Awards

Amy Mensch

Amy Mensch Receives The 2023 Young Investigator Award in Engineering Sciences

To recognize a promising young investigator who is making outstanding contributions to progress in science or engineering.

Jordan Weaver

Jordan Weaver Receives 2022 Acta Materialia Outstanding Reviewer Award

The Outstanding Reviewer awards are for excellence in reviewing throughout the calendar year of 2022. These awards are selected by the

2022 Bronze Awardees

2022 - Bronze Medal Award---Jennifer Helgeson, Priya Lavappa, David Webb

For developing a software tool that supports prioritizing alternative solutions and investment decisions for improving community resilience.

Geodong Shao Bronze Award 2022

2022 - Bronze Medal Award---Guodong Shao

For the development of an ISO standard that facilitates the implementation of digital twins in manufacturing to improve analysis and decision-making.

[View All Awards](#)

[Taking Measure Blog](#)

NIST researchers Yoshi Ohno and Jane Li demonstrate different types of lighting in their lab on NIST's campus in Gaithersburg, Maryland.

[The Eye of the Beholder: How Lighting Affects Our Color Perception](#)

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[Benchy the Boat, Irradiated Mangoes, Quantum Window: A Sample of Stories on NIST Social Media](#)

May 31, 2023

NIST's research can range from the complex to the downright whimsical, but all the papers published here can trace back to a benefit for everyone in the U.S.

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Manufacturing Extension Partnership (MEP)

MEP is a public-private partnership with Centers in all 50 states and Puerto Rico dedicated to serving small and medium-sized manufacturers. Last year, MEP Centers interacted with more than 33,500 manufacturers, leading to \$18.8 billion in sales, \$2.5 billion in cost savings, \$6.4 billion in new client investments, and helped create or retain more than 116,700 jobs.

[NIST MEP Logo](#)

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MEP NATIONAL NETWORK
EXECUTIVE ORDER 14005
SUPPLIER SCOUTING
CYBERSECURITY RESOURCES FOR MANUFACTURERS
MATTR
MATTR+
MANUFACTURING INFOGRAPHICS

MANUFACTURING REPORTS
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How the Network Helps Manufacturers

How the Network Helps Manufacturers

Locate Your Local Center

Connect with Your Local MEP Center

rolls of steel in a manufacturing facility

Supplier Scouting

manufacturing employee working on equipment

The Manufacturers' Guide to Finding and Retaining Talent

woman on a forklift

Executive Order on Ensuring the Future Is Made in All of America by All of America's
Workers

made in usa

MEP National Network: Meeting the Challenges of 2020 and 2021

MANUFACTURING VIDEOS: REAL STORIES, REAL RESULTS

For the past 30 years, the MEP National Network™ has equipped small and medium-sized manufacturers with the resources needed to grow and thrive. Our industry experts work side-by-side with manufacturers to reduce costs, improve efficiencies, develop the next generation workforce, create new products, find new markets and much more.

Together, they strengthen communities and U.S. manufacturing. Watch More Videos

Heroes of American Manufacturing: Sweet Grass Dairy

Heroes of American Manufacturing: Sweet Grass Dairy

GaMEP client Sweet Grass Dairy uses cheese as the vehicle to tell the story of high-quality milk and a unique farming style. These cheese manufacturers, located in South Georgia, have handcrafted the most flavorful and high quality cheeses possible from the milk of healthy, barn-free cows grazing on grass grown year round under the Georgia sun. Small and medium-sized manufacturers like Sweet Grass Dairy are an essential part of their community and of the food manufacturing industry. What they do makes a difference, even though they are small. Food manufacturers are a powerful group and are important to our country.

The Manufacturing Workforce

The Manufacturing Workforce

For years, U.S. manufacturers have struggled to find and keep good workers. The pandemic brought this to crisis level, and the difficult labor market has led companies to find creative workforce solutions. Beyond offering competitive pay and benefits, firms need to provide a great culture where employees understand how they contribute to the organization's success. Many workers want opportunities for training, a clear career pathway, and encouragement to move up within the organization. This video features manufacturers discussing common workforce challenges and solutions their companies have found.

Manufacturing Innovation Blog

Charging stations for electric cars at a parking lot

Do You Have a Plan for Becoming Part of the EV Supply Chain?

June 20, 2023

David Boulay

The growth of electric vehicles (EVs) poses various degrees of risk to auto suppliers that make parts for internal combustion engines (ICE). While no one can

Man holding part made in manufacturing facility

Video: Heroes of American Manufacturing - AMG Engineering and Machining

June 14, 2023

Katie Rapp

Small and medium-sized manufacturers sometimes think they're too small to be a target for hackers. They may not have the resources to put into cybersecurity

Miriam Kmetzo

Miriam Kmetzo: Passionate About Performance Excellence

June 8, 2023

This blog is part of series recognizing the contributions of Asian American, Native Hawaiian and Pacific Islanders to the manufacturing industry. Born and

Warehouse with supplies and workers

8 Ways to Improve Your Supplier Selection Process

May 30, 2023

Art Thomas

Many manufacturers have had to make significant changes in suppliers in recent years because of various disruptions. Small and medium-sized manufacturers are

Professional video camera for shooting video

Seriously, Drop What You're Doing and Launch this Manufacturing Youth Engagement Program Right Now.

May 24, 2023

Matt Fieldman

A manufacturing youth engagement program that excites local businesses, offers templates and guidance to effectively launch in new regions, has a 10-year track

Visit Our Blog

News and Updates

Boxes on a conveyor belt in a warehouse are overlaid with icons representing transportation and logistics.

Biden-Harris Administration Awards \$20 Million to Make Domestic Supply Chains More Resilient

June 9, 2023

NIST's Manufacturing Extension Partnership has awarded roughly \$400,000 to each of its centers in every state and Puerto Rico.

Nevada Industry Excellence logo

Nevada Industry Excellence Has Rebranded as Manufacture Nevada

April 4, 2023

Nevada Industry Excellence (NVIE) is an Outreach Program of the University of Nevada, Reno's Research & Innovation division. To better align with its vision and U.S. map shows highlighted states: S.D., Neb., Ken., R.I.

NIST Announces Awards to Manufacturing Centers in Kentucky, Nebraska, Rhode Island and South Dakota

October 17, 2022

The centers will provide services to small and medium-sized manufacturers in their states.

innovate hawaii entrepreneurs sandbox

SBA Administrator joins U.S. Sen. Mazie Hirono for a tour of INNOVATE Hawaii's Entrepreneurs Sandbox

August 26, 2022

Small Business Administration (SBA) Administrator Isabella Guzman joined U.S. Sen. Mazie Hirono for a tour of Hawaii Technology Development Corporation-INNOVATE

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Awards

2022 George A. Uriano Awardees

2022 - George A. Uriano Award---Sheena Simmons, Diane Henderson, Kimberly Coffman, Michele Montgomery

For the development and implementation of the Merit Review Automation Program to streamline the State Competition review process for MEP.

2022 - Gold Medal Award---Anita Balachandra, Karen Swasey, David Boylan, Erika Maynard, Maura Weber, Christopher Denbow, Jason Bolton, Luke Myers, Kyle Johnson, Dorothea Blouin

For professional execution of the 100-day report on the risks in the semiconductor manufacturing and the information and communication technology supply chains.

2021 - Distinguished Mentoring Award---Dileep Thatte

For fostering exceptional personal and professional development of NIST staff, and unparalleled support and dedication to the NIST Mentoring Program.

2021 - George A. Uriano Award---Marlon Walker

For leadership in developing and implementing MATTR service to connect NIST Laboratory capabilities and resources with needs of small U.S. manufacturers.

[View All Awards](#)

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Circular design includes elements of the AI Risk Management Framework: Govern, Measure, Manage, Map.

NIST Risk Management Framework Aims to Improve Trustworthiness of Artificial Intelligence

Icons for methods of establishing online identity, including a password and a physical ID card, are shown near a screen reading "ACCESS GRANTED."

NIST Drafts Revised Guidelines for Digital Identification in Federal Systems

A closeup photograph of person's hands as they solder a wire onto a circuit board.

NIST Finalizes Report on Scientific Foundations of Digital Forensic Methods

WELCOME TO THE INFORMATION TECHNOLOGY LABORATORY

ITL's Purpose | Cultivating Trust in IT and Metrology

The Information Technology Laboratory (ITL) is one of NIST's six research laboratories. ITL focuses on IT measurements, testing, and standards, and is a globally recognized and trusted source of high-quality, independent, and unbiased research and data. ITL's mission, to cultivate trust in information technology (IT) and metrology, is accomplished using its world-class measurement and testing facilities and encompassing a wide range of areas of computer science, mathematics, statistics, and systems engineering.

This non-regulatory role, along with ITL's deep technical expertise in all fields of information technology, helps increase trust in IT worldwide.

ITL Newsletters

Published bimonthly, the ITL Newsletter features news articles on ITL's programs, projects, activities, and accomplishments. It announces selected new ITL publications and describes upcoming technical conferences and workshops.

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News and Updates

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Five people dressed in business casual and wearing conference badges on lanyards pose with a framed award certificate.

Spotlight: The Runaway Hit for Software Testing

June 30, 2023

It's a research tool that helps test software more effectively than ever before – including the software that helps keeps cars and airplanes operating safely.

A city skyline is overlaid with digital static and numbers.

National Artificial Intelligence Advisory Committee Releases First Report

June 22, 2023

NAIAC's work supports the Biden-Harris administration's ongoing efforts to advance a comprehensive approach to AI-related risks and opportunities.

Composite image representing artificial intelligence. Image of graphic human head with images representing healthcare, cybersecurity, transportation, energy, robotics, and manufacturing.

Biden-Harris Administration Announces New NIST Public Working Group on AI

June 22, 2023

The group will build on NIST's Risk Management Framework to tackle risks of rapidly advancing generative AI.

NIST 2022 Cybersecurity & Privacy Annual Report

May 31, 2023

This week, NIST released the newly redesigned and streamlined Special Publication 800-225, Fiscal Year (FY) 2022 Cybersecurity and Privacy Annual Report. In FY

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Events

Advances in Automation of Quantum Dot Devices Control

Wed, Jul 19 - Thu, Jul 20 2023

The purpose of the workshop is to convene stakeholders from industry, academia, and the government interested in the

AI Metrology Colloquia Series

Thu, Jul 20 2023, 12:00 - 1:00pm EDT

As a follow-on to the National Academies of Science, Engineering, and Medicine workshop on Assessing and Improving AI

The Third NIST Workshop on Block Cipher Modes of Operation 2023

Tue, Oct 3 2023, 9:00am - Wed, Oct 4 2023, 4:30pm EDT

NIST will host the Third NIST Workshop on Block Cipher Modes of Operation on October 3-4, 2023, at the National

2nd International Workshop on FAIR Containerized Computational Software (Dec 5-7, 2023)

2nd International Workshop on FAIR Containerized Computational Software

Tue, Dec 5 - Thu, Dec 7 2023

With the increasing size of collected data, distributed computational environments provide an acceleration option for

[View All Events](#)

Awards

Raghu Kacker (ACMD) and D. Richard Kuhn (CSD) awarded the ICST Most Influential Paper Award (MIP)

Congratulations to Raghu Kacker (ACMD) and D. Richard Kuhn (CSD) for recently winning the Most Influential Paper Award (MIP) award for a

Irena Bojanova

Irena Bojanova honored with IEEE Computer Society Golden Core Member Award

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Irena Bojanova has been presented with the IEEE Computer Society Golden Core Member pin and plaque as one of the distinguished core of
Avi Gopstein, Cuong Nguyen, Nelson Hastings and David Wollman bronze award
2022 - Bronze Medal Award---Avi Gopstein, Cuong Nguyen, Nelson Hastings, David Wollman

For exemplary leadership and technical contributions in modernizing NIST's strategic vision for power systems communication and information exchange.

Huong Giang T. Nguyen, Laura Espinal, Blaza Toman Bronze award

2022 - Bronze Medal Award---Huong Giang T. Nguyen, Laura Espinal, Blaza Toman
For the determination of high-pressure reference isotherms of nanoscale porous adsorbents.

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General Information

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Find and contact your local National Weather Service forecast office. You can also get your local forecast online by entering your Zip Code at <http://www.weather.gov/>.

Human Resources: NOAA's Office of Human Capital Services

For questions related to human resource matters, including benefits, please visit www.noaa.gov/human-capital.

Jobs and careers with NOAA

To learn more about jobs and careers with NOAA, please visit our Work With Us page. You can also search for the latest open positions at NOAA on USAJobs.gov.

Media resources

Reporters looking for a NOAA expert, image, video or other information should visit the NOAA Communications page. News releases, media advisories, webstories and multimedia content are all available from our News & Features page.

Nautical charts

The entire suite of U.S. coastal and Great Lakes charts are available for download from our Charting page.

NOAA organizations

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To contact a specific NOAA organization, please visit Our Agency page to find separate pages for NOAA's main line and program offices. You might also want to check out our NOAA Headquarters organizational chart.

Outreach requests

You can request formal and informal educational and outreach resources by e-mail to outreach@noaa.gov or by phone at (301) 713-1208.

Report an injured or stranded marine mammal

Reporting a sick, injured, entangled, stranded, or dead animal is the best way to make sure professional responders and scientists know about it and can take appropriate action.

Numerous organizations around the country are trained and ready to respond. If you see a sick, injured, stranded, or dead marine mammal or sea turtle, immediately contact your local stranding network.

You can also use our Dolphin and Whale 911 app to report a stranded marine mammal. The app is available for Android devices [offsite link](#) as well as Apple devices. [offsite link](#)

Report a violation: Please call us at (800)-893-1964 if you see a possible violation of a federal marine resource law.

Staff directory

To contact a NOAA staff member, please visit the online NOAA Staff Directory. For assistance, email the NOAA Staff Directory Help Desk at NOAA.Staff.Directory@noaa.gov.

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Please visit the NOAA National Centers for Environmental Information or the NCEI contact page.

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With All Sincerity,
Robert Colaco [RC]
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Jeremy Pelter

Jeremy Pelter

Acting Chief Financial Officer and Assistant Secretary of Commerce for Administration

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19:48 2023-07-03

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ADDRESSES: Correspondence should be sent to Thomas N. Pyke, Jr., Chief Information Officer, Department of Commerce, 14th St. and Constitution Ave. NW, Room 5029B, Washington, DC 20230. Send e-mail to informationquality@doc.gov.

FOR FURTHER INFORMATION CONTACT: Jennifer Jessup, Office of the Chief Information Officer, Department of Commerce, 14th St. and Constitution Ave. NW, Room 6625, Washington, DC 20230. Telephone (202) 482-0336 or by e-mail to JJessup@doc.gov

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To better protect our customers from scams and fraudulent activities related to the trademark register, earlier this year the United States Patent and Trademark Office (USPTO) introduced identity verification for USPTO.gov account holders who use the Trademark Electronic Application System (TEAS) and TEAS international (TEASi). To complete this one-time process, customers can choose to verify their identities through a paper identity verification process or online via ID.me. Legal professional support staff, such as paralegals, must use the sponsorship tool and have their identities verified by their sponsoring attorney.

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